



# YOUR TRUST CHARITY



## ANNUAL REPORT AND ACCOUNTS OF THE CORPORATE TRUSTEE 2019 - 2020





FRONT COVER PHOTOS  
MAIN PHOTO - New name for Midland Metropolitan University Hospital is unveiled in February 2020

PHOTO 2 - Michelle Hemmings  
Michelle Hemmings who underwent a successful lung transplant in 2018. She raised over £600 for Your Trust Charity in September 2019

PHOTO 3 - Sally, Sunshine Bags  
Sally Gutteridge with her sunshine bag, which she created for our cancer and end of life patients

# Contents

1. Foreword	4
2. About Your Trust Charity	6
3. We Are Metropolitan	7
How you can get involved	12
4. Our Appeals	13
5. What we have achieved	14
6. Measuring Impact: Our Grants Programme	16
7. Fundraising and working within our Community	22
8. Our Future Plans	33
9. Our Supporters	34
10. Appendix 1 - Reference and Administrative Information	36
11. Appendix 2 - Legal and Administrative Data	44
12. Appendix 3 - Annual Accounts for the year ended 31 March 2020	51

## Foreword

**'As this financial year drew to a close, the country faced an unprecedented crisis in containing the impact of the COVID-19 Virus. This placed an immeasurable demand upon the NHS, in particular our own staff and volunteers in continuing to care for our patients, families and their carers. Never before is the need for the NHS charity sector greater.**

As Chair of the Charitable Funds Committee for Your Trust Charity - the registered charity of Sandwell and West Birmingham (SWB) NHS Trust - I would like to extend a heartfelt and sincere thanks to those within our communities and local businesses who have risen to such an extraordinary situation in standing alongside us.

Your Trust Charity has been delighted with the overwhelming support, donated items, kind messages of thanks, and financial contributions from so many in such a short space of time. The charity will be indebted to our supporters for many years to come, as the long-term impact of the virus continues to be felt. We will do all we can to continue playing a key role in our community.

During this extremely challenging time, I would like to reflect upon the many successes that Your Trust Charity has achieved in 2019/20. There have also been a number of successful fundraising activities which the charity has run this year, ranging from some of our more 'eccentric' supporters, to those that have tugged at our heartstrings.

This last year has also seen Your Trust Charity achieve some major milestones. The charity received the largest grant in its history from Homes England, which enabled our Trust to undertake a feasibility study with key partners into an exciting potential community housing scheme on our estate.

The domestic violence support service in our Accident & Emergency department, which receives ongoing grant aid from Sandwell Safer Partnership (SSP), continues to deliver great work as we now see a growth in demand for this vital project. Your Trust Charity also launched the hugely beneficial 'World of Work' programme from our City Hospital site alongside our volunteer service. More details of these fabulous projects are contained within this report.

Just before social distancing measures were introduced, we also launched our 'We Are Metropolitan' public fundraising campaign for the Midland Metropolitan University Hospital, due to open in 2022. I know that the role of our new hospital will play a vital part in our community's recovery from the pandemic. Please do find out more about how you can get involved and work with Your Trust Charity.

This year - more than ever - I feel extremely proud to chair the Charitable Funds Committee, and I hope you will feel as inspired as I do as you read on about Your Trust Charity's work and our achievements.'

**Councillor Waseem Zaffar,**  
Chair of Charitable Funds



Councillor Waseem Zaffar

**// This year - more than ever - I feel extremely proud to chair the Charitable Funds Committee, and I hope you will feel as inspired as I do as you read on about Your Trust Charity's work and our achievements. //**



## About Your Trust Charity

Your Trust Charity - the registered charity of Sandwell and West Birmingham NHS Trust - has the following mission:

**“To enhance the experience of all people using our services including staff, patients and their families. We will do this by providing additional facilities and supporting innovative projects that create a comfortable and secure environment.”**

We exist to achieve the following four priorities:

### 1. Infrastructure

- Improving the organisation's environment and making the capital improvements to facilities
- Supporting integrated care across the estate of SWBH and allied providers

### 2. Education

- Supporting the educational development of clinical and non-clinical staff
- Aims to secure the long term future of health and social care in Sandwell and West Birmingham
- To support education within the local community

### 3. Innovation

- Help the Trust to be a leader of innovation, pump priming activities, running pilots and testing out new ideas and technologies for care that enhances outcomes for local people

### 4. Community resilience

- Support communities to improve their health outcomes, enabling them to provide outstanding, compassionate care independent of statutory providers

Moreover, the challenges of tackling COVID-19, and its disproportionate effect on local communities the Trust serves, has only strengthened the need to create a world-class facility - one that can truly be deemed to be more than a hospital.



Children from Ryder's Green Primary School get ready to sing on Newton 4 to celebrate Harvest in October 2019

## We Are Metropolitan

We Are Metropolitan is the most important and ambitious fundraising campaign in our charity's history.

The cost of building the Midland Metropolitan University Hospital is covered. But our charity has been working hard in public and behind the scenes to make it more than a hospital. Though what do we mean by more than a hospital. Our Trust could simply build and equip the hospital and in doing so deliver exceptional care in an amazing building. But the charity is committed to raising over £2 million so that we can fund things that are above and beyond core NHS funding. And that's how we will make it more than a new building, that's why it will be more than a hospital.



The Midland Metropolitan University Hospital



St Matthew's Church of England Primary School on a visit to the site

The new hospital will house one of the busiest Accident and Emergency departments in Europe. It will be a place of great activity. Now imagine you are the parent of a child with autism or an adult with autism - then simply waiting in that space would create a sensory overload that would become unsettling and stressful. But with the money we raise from the campaign we will create quiet rooms where people who need space will be able to relax until they are treated. And we will equip bereavement rooms in other departments so parents and loved ones can take time to grieve in private. But let's look beyond the hospital as just being a place to receive care. At the charity we also see the new hospital as a place that can be used to raise aspirations.

The hospital is located in one of the poorest regions in England. It is so close to Birmingham city centre but at times it may as well as be a million miles away from the opportunities available in the city. Our charity is looking to challenge this familiar pattern of social inequality. With the money raised we will purchase outside classrooms where children can learn about science and medicine; we will be helping them take a learning journey, a journey where they will consider careers in the sciences and health. And in doing so the charity can help raise their ambitions beyond the limitations and influences that exist within their postcodes - influences that can often be detrimental to a child's future.



## We Are Metropolitan

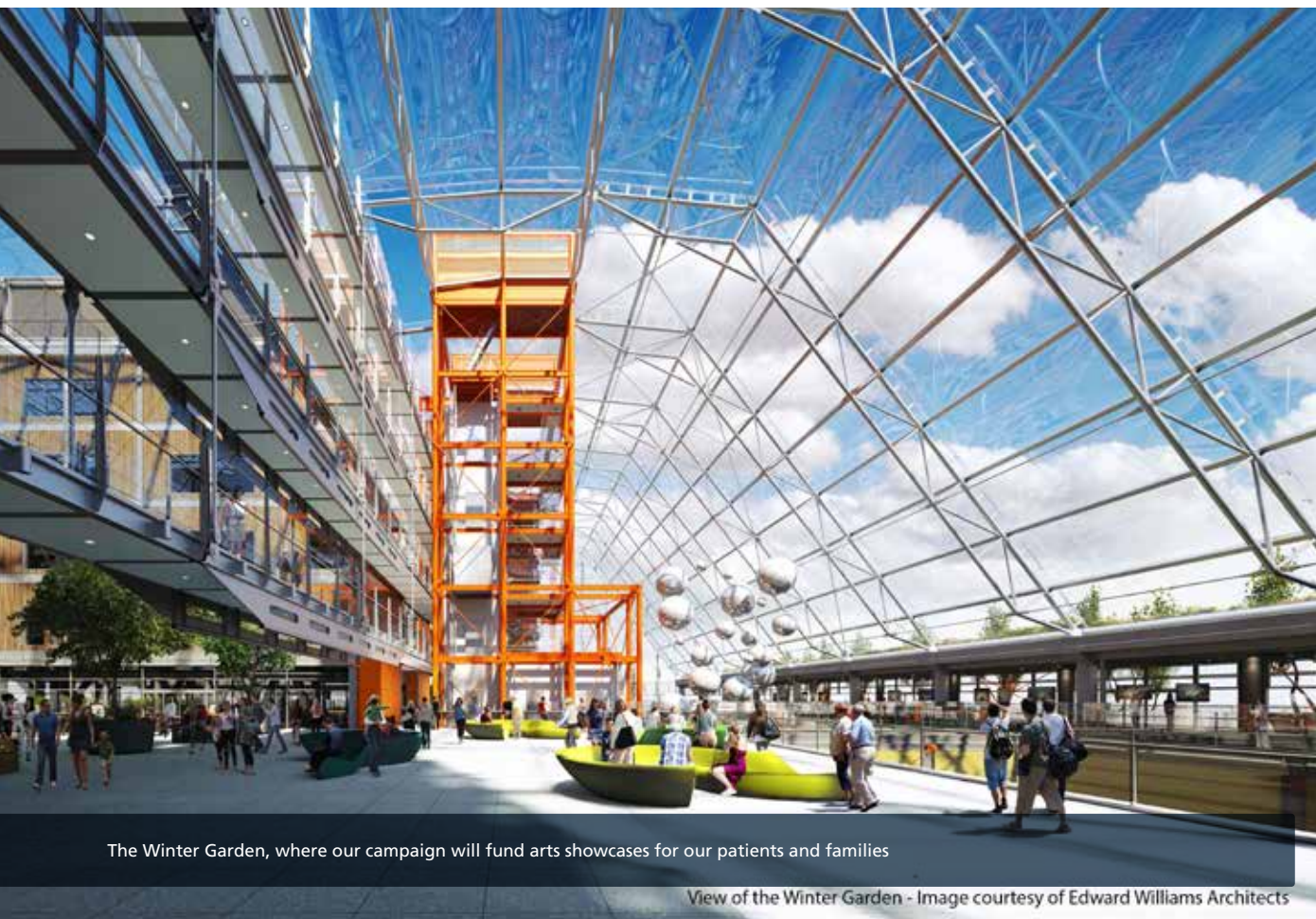
This fundraising campaign could be the catalyst for a local child to be inspired to become a doctor or a nurse, or to be the first person in their family to attend university. A donation to this campaign won't just bring changes into the new building but when used correctly by the charity your donation will help change lives.

But let's not forget some of the other things the charity will be able to support with the funds we raise. Such as an outdoor garden space for patients so they can have time away from their beds. Or be used to fund increased research and development activity so that we can explore and better treat the conditions faced by our diverse communities. Through to the more immediate, such as funding artwork in the new maternity ward that has the specific aim of creating a calming environment for new and expectant mothers. The charity, as ever, will also respond to the ideas and suggestions made by staff so that collectively we can help shape the new hospital with the funds that we raise. Thanks to initial funding from

the Wellcome Trust, we have recently completed a design inquiry with our staff and members of the community, including children and young people, on how research & development will be showcased in the new hospital.

But none of this can be realised without support from within and outside of our Trust. In reality fundraising is something we all do. We do it for the causes that we believe in. We do it for the wards and departments we work on. But now, more than ever, we need to join up our efforts and help the charity to meet its target of over £2 million. We are Metropolitan. And collectively we can surpass this target.

Over the last year, interest in our fundraising campaign has grown. The charity has invited many prominent influencers and members of the business community to see the progress being made on the building of the hospital, and all have left feeling inspired and wanting to help the charity.



The Winter Garden, where our campaign will fund arts showcases for our patients and families

View of the Winter Garden - Image courtesy of Edward Williams Architects



L-R Richard Samuda receives a campaign donation from Peter Salt, our Campaign Council Chair

From this activity we have been able to create a Campaign Council, chaired by Peter Salt, Managing Director of Salts Healthcare. Based a few miles from our new hospital in Aston, Birmingham, they are one of the UK's oldest, family-run companies, and have grown to become a highly successful international medical device manufacturer. We are extremely grateful to Peter and his colleagues at Salts Healthcare for their support towards our campaign. We have created a business committee; the members of that

committee have agreed to raise over £400,000 for the campaign. We have also received great support from Edward Williams (Ltd). Edward is the amazing architect who designed our new hospital. Edward and his team have designed and developed a product on behalf of the charity that we will use to raise significant funds. It is something both original and exciting, and the charity will be launching this product in the coming months.



L-R Dr Sarb Clare receives a fundraising pledge from Philip Braham, Group CEO of Remedium Partners

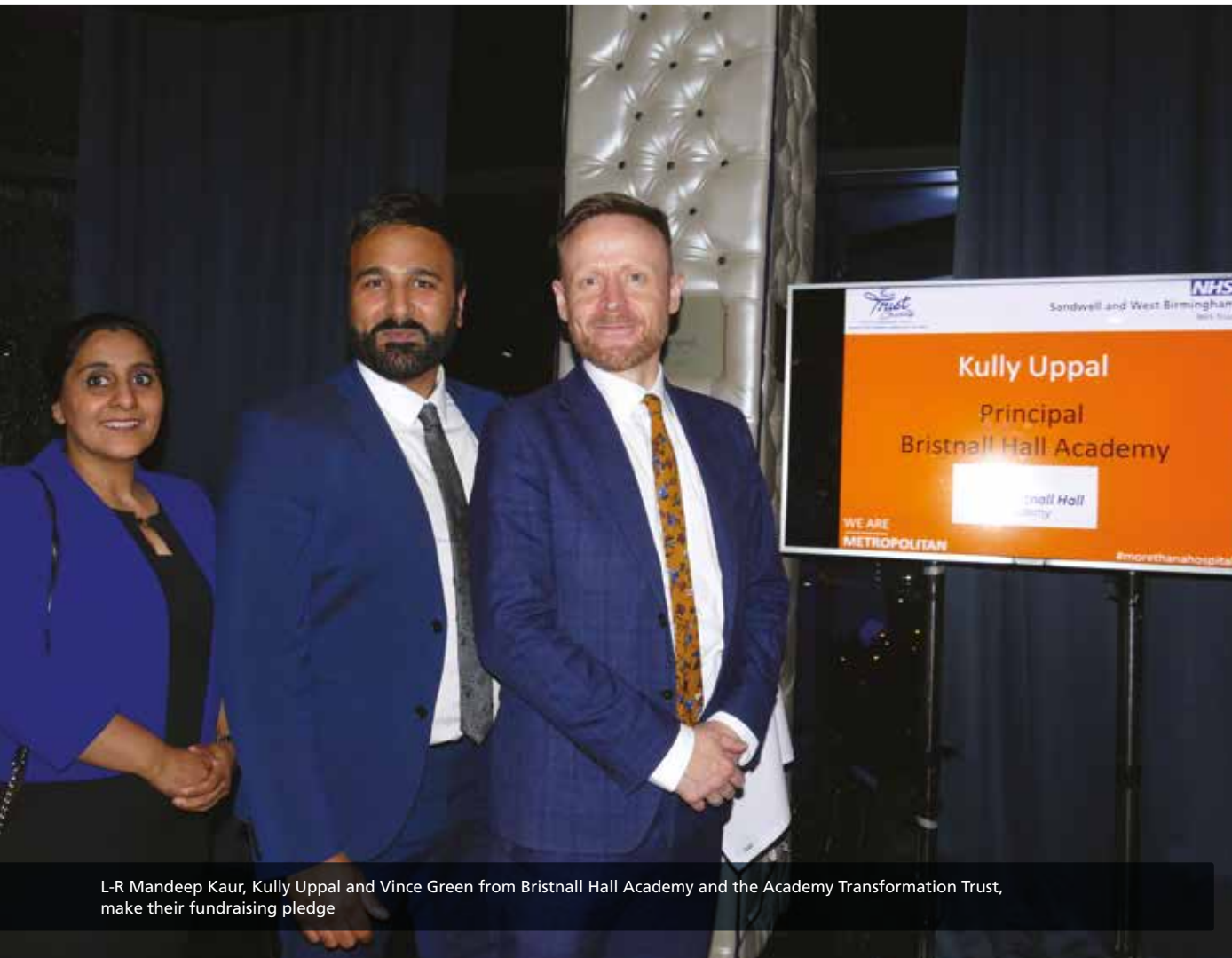


## We Are Metropolitan

While there has always been support for the campaign within the Trust we have spent the last year consolidating that support into direct action. We have created a community committee that is co-chaired by Dr Nick Makwana and Dr Sarb Clare. The principle aim of this committee is to involve our staff, patients and the wider community in helping to raise funds for the campaign. Pre COVID-19 we already served a patient population that experienced social, health and economic inequality. But during the challenges of COVID-19 we have also seen the generosity that is ingrained in our community. And the charity will need to call upon this generosity again in order to achieve our fundraising ambitions.

In support of the community fundraising strand, the charity had planned a bungee jump to take place in September. But it has been paused for now. Though let's see this as

an opportunity to make the event even bigger. So please consider signing up. Sign up as a department. Sign up with your team members. And please encourage your family members and friends to take part. Such events rely on lots of people getting involved from across all levels of the Trust and the wider community. So in the spirit of the ice-bucket challenge, let's call upon our senior leaders to take part in our fundraising activities - they will not only be raising vital funds for the campaign but it will be a great opportunity for all levels of the Trust to come together as we have throughout the recent challenges. Of course all participation is voluntary and the more money raised the better the outcome for the charity. But please do spread the word, put yourself forward, and use social media to reach out to others in the Trust and beyond and use it to challenge them to take part.



L-R Mandeep Kaur, Kully Uppal and Vince Green from Bristnall Hall Academy and the Academy Transformation Trust, make their fundraising pledge

Our charity has adjusted to the unexpected challenges it has faced in recent months, as we all have. But beyond pamper packs, the pop-up shop for our staff and the kind offers of free drinks and chocolates there exists a much harsher reality. And that reality is the charity is running the biggest fundraising campaign in its history.

We know that we can surpass the fundraising target, but we also know that we can't face this challenge alone. As at 31st March 2020 we have raised donations and pledges totalling £1.1 million. Now with your support let's push forward and reach a total of over £2 million. We are your charity, but this is our fight.



L-R Business committee members Steve Allen, Elaine Shiels and Paul Faulkner



## How you can get involved

- **Donate to We Are Metropolitan online:**  
<https://donorbox.org/your-trust-charity>
- **Donate by cheque**
- **You can always fundraise for us** - we would love to hear your ideas. Contact us for an event registration form online and we will be in touch to support you
- **Direct debit** - print out the direct debit form on our website, or complete and send a donation form back to us via Freepost
- **Bank transfer** - you can donate to us directly by bank transfer. Please contact us for our bank details
- **Leaving a gift in your will to Your Trust Charity** - a wonderful way to ensure you will still help make a difference beyond your lifetime

- **Follow us on social media:**



### Contact us:

Telephone: 0121 507 5196

Email: [trustcharity@nhs.net](mailto:trustcharity@nhs.net)

Website: <https://www.swbh.nhs.uk/charity/>



## Our Appeals

Your Trust Charity currently operates eight appeals (including a general appeal), complemented by a number of themes detailed below:

The current appeals and themes are as follows:

### Your Trust Charity General Appeal

### Women's & Child Health Appeal

- Neonatal Care
- Maternity
- Paediatrics
- Bereavement Services

### Medicine & Emergency Appeal

- Cardiology
- Diabetes
- Respiratory Medicine
- Emergency Department
- Gastroenterology & Hepatology
- Sickle Cell & Thalassaemia

### Surgical Appeal

- Cancer
- Breast Care
- Critical Care Services

### Research & Development Appeal

- Neurology
- Rheumatology
- Cardiology
- Endocrine & Metabolic R&D

### Community Appeal

- iCares
- Palliative Care
- Dementia Support

### Birmingham Midland Eye Centre (BMEC)

### Midland Metropolitan University Hospital Appeal



Veronica and Monica Vet deliver their unique Storytime sessions on our children's ward. This project, in partnership with Sandwell Public Libraries, was funded by Your Trust Charity



# What we have achieved

We would like to report total charitable income for the year of £983,000. Charitable expenditure was £950,000, reflecting an overall surplus of £33,000. Your Trust Charity's five year strategy continues to focus on spending our donations wisely in line with donor wishes. We have continued to use our commissioning model, introduced in 2018, to assess new funding requests.

Based on our four priority areas of *infrastructure, education, innovation and community resilience*, and also including We Are Metropolitan, Your Trust Charity has spent the following during 2019-20:

Priority Area	Amount (£)
Infrastructure & Equipment	135,000
Education & Development	97,000
Innovation & Research	184,000
Community Resilience	248,000
We Are Metropolitan	76,000
Total	740,000



The cutting edge Casia2 scanner, based within our Ophthalmology department

Within **Infrastructure & Equipment**, the charity purchases items that are over and above standard equipment supplied by the Trust. Spend within this area has included:

- A grant received from the Roper-Hall Eye Foundation to purchase the Casia2 scanner. This is a state-of-the-art research machine which has the ability to provide novel insights into eye conditions such as glaucoma. The machine, housed in the Academic Unit of Ophthalmology, is supporting the unit's pioneering research work where the Trust is the lead site for clinical trials.
- Part funding towards the purchase of 2 Align Radiotherapy (RT) Systems, based at a neighbouring Trust. This has enabled the delivery of the Voluntary Deep Inspiration Breath Hold (vDIBH) technique to over 30 SWB patients being treated for left sided breast cancer in the last year. This has led to increased survivorship and a better quality of life due to reduced cardiac related problems. This has also reduced psychological distress, as the technique no longer requires a permanent tattoo to be marked upon the patient.
- Purchasing two specialist chairs to provide critically ill patients with extra comfort, supporting their rehabilitation to recovery. The chair allows for early mobilisation of patients, which in turn reduces bed days and improves their psychological recovery.

Our **Education & Development** priority funds non-mandatory training requests from staff members that can demonstrate added value for patient care. In the past year, this includes:

- An advanced electrocardiogram (ECG) course for six members of our cardiology diagnostics team. The course helped them to analyse ambulatory monitor recordings, which will help to reduce waiting times and improve patient outcomes and experience.
- Attendance at the European Congress of Clinical Microbiology and Infectious Diseases (ECCMID). This has enabled SWB NHS Trust to participate in sharing best practice across this field with over 13,000 delegates from across the world.
- A 'principles of palliative care' module that further enhanced the specialist skills and knowledge of our Community Children's Nurses Team, when they are working with children receiving palliative care.



Dr Farooq Wandroo, our lead clinician coordinating the immunotherapy programme with the University of Birmingham



Our **Innovation** priority area continues to support our ground-breaking partnership into blood cancer research with the University of Birmingham. We have designed an immunotherapy programme to help our patients from black and minority ethnic backgrounds, who almost exclusively present with leukaemia affecting the T-cell. Thanks to legacies we have received and the ongoing support of the Michael Marsh Charitable Trust, a technician has been employed for two years, based at the University, to collect and analyse blood samples from our patients. We look to continue this programme, which offers new hope to our patients affected in the future, as well as those in the West Midlands and around the world.

Since 2015 the charity has also been involved in the support of a PhD project into Musculoskeletal (MSK) conditions. These affect the joints, bones and muscles, and also include rarer autoimmune diseases and back pain. There are more than 200 musculoskeletal conditions which:

- affect one in four of the adult population (many being young and of working age) which is around 9.6 million adults and 12,000 children in the UK (Graham Stringer (2011), Hansard, col: 1342)

- account for 30% of GP consultations, in England (Department of Health (2006), A Joint Responsibility: doing it differently, pp 16);
- have an enormous impact on the quality of life of millions of people in the UK; 10.8 million days are lost as a consequence of musculoskeletal conditions (Graham Stringer (2011), Hansard, col: 1347)
- are associated with a large number of co-morbidities, including diabetes, depression and obesity (Arthritis Research UK (2013), Musculoskeletal Health: a public health approach);

This PhD project aims to enable MSK patients treated in the community and primary care within Sandwell and West Birmingham Clinical Commissioning Group (CCG) to have their outcomes and experiences collected, compared, and understood appropriately. Reports showing performance data will be produced for commissioners, managers and clinicians, alongside training on how to interpret the data. This package of reports and training will be designed to help stakeholders identify the standard of care achieved across neighbouring clinics, allowing comparison with similar data collected elsewhere.

## Measuring Impact: Our Grants Programme

Our largest area of charitable spend, community resilience, reflects our continued focus on creating partnerships and community engagement. As in previous years, we have used this area to measure our impact.

The evaluation of our charity funded projects looks at:

- Evidence, feedback and performance monitoring about how the funds were spent, take-up by patients and any scope to scale up the project
- Contribution to our regional health and social care outcomes
- Supporting to our regional charity sector partnerships

### Cancer Services Wellbeing Fayre, Support Groups & Dietician - allocated £80,238

#### Project Start Date: July 2019

Cancer Services proudly ran their seventh annual wellbeing fayre on Saturday 6 July 2019, with 300 attendees including cancer patients and their carers/significant others, to celebrate where they are and what they have achieved. 186 evaluations were received, rating the following

activities which were part of the overall programme run during the fayre:

- Mindfulness - 100% good
- Meditation - 92% good
- Pampering (arm or head massage) - 94% good
- Nail art/Henna/Eyebrow threading - 96% good
- Floristry - 100% good
- Reiki – 97% good

Comments from attendees included:

**“I attend each year and the ladies are so lovely and helpful, it’s so relaxing.”**

**“It’s a wonderful event and means a lot to spend the day with my Mom, feel good factor for volunteers, patients & carers. Clearly a lot of hard work by all - thank you. All of the day is great.”**

Cancer Services have also established two new Living With and Beyond Cancer support groups in our community. Based in West Bromwich and West Birmingham respectively, the groups will look to engage with those from Black, Asian and Minority Ethnic (BAME) communities, with a particular focus on South Asian Indian, Pakistani and Bangladeshi communities. The groups will look to empower these patients to better understand cancer and to have the necessary skills and opportunities to make healthier lifestyle choices. The groups will also look to improve awareness of, and signposting to, culturally-specific information, advice and support that is available locally.

Lastly, a new part-time dietician for Cancer Services began in December 2019. Our dietitian plays a key role in supporting our patients in their cancer journey - from diagnosis to post treatment, and palliative and end of life care. Nutritional counselling and tailored advice through dietician clinics is a priority in helping to reduce the burden of symptoms. This will maintain optimum nutrition and providing relief from the distress that cancer causes. The dietician will look to work with 1,500 contacts per year.

### Domestic Abuse Service - awarded funding of £29,500 from Sandwell Safer Partnership (SSP)

#### Project Start Date: November 2015

Beginning in November 2015, Your Trust Charity funded an integrated response service to Domestic Violence and Abuse (DVA), in partnership with Black Country Women's Aid. Two Independent Domestic Violence Advisers (IDVAs) are placed within in Sandwell and City Hospital emergency departments respectively, and an Information Officer captures data across both sites. Since 2019, the charity has received an annual grant of £29,500 from Sandwell Safer Partnership (SSP) towards the Sandwell service.

From April 2019 - March 2020, 245 referrals were received by the service (133 from Sandwell). Of those:

- 63 (26%) received ongoing community support from Black Country Women's Aid
- 75 (31%) were referred to other support services
- 46 (18%) received advice and guidance/crisis intervention only
- 25 (11%) are still open to the service for further crisis interventions

The service reaches more individuals under 20, significantly more over 50s, and greater proportions of male victims and those from BAME backgrounds than community-based domestic abuse services. We are also extremely conscious that there will most likely be an increase in cases for the ED IDVA service, due to the COVID-19 emergency and lock down situation.

Comments from users of the service included:

**“I feel a lot better; you made me feel comfortable and not embarrassed at all”**

**“It was good to have someone to talk too”**

**“Thank you for listening and helping me and still contacting me again to reassure me that I will still get the same support.”**

### World of Work (WoW) Programme - allocated £118,854, awarded match-funding of £118,854 from Birmingham City Council

#### Project Start Date: October 2019

The World of Work (WoW) is a component of a much wider Greater Birmingham & Solihull Local Enterprise Partnership (GBSLEP) programme. Alongside our partners at Birmingham City Council (BCC) and the Department of Work and Pensions (DWP), the charity is delivering a strand of the European Social Fund (ESF) supported employability pathways programme. This is for unemployed people (ages 25+) that met the criteria.

Key strands of the WoW programme are:

- Project governance, performance monitoring and reporting of WoW programme delivery. This involves running a Partnership Steering Group, consisting of identified personnel representing the Trust including SWB Finance, HR Business Partners, Trust Bank, Widening Participation Teams, Volunteers Service and Your Trust Charity. Operationally, the day to day services of WoW is delivered by the Volunteer Service team, with accountabilities and project management within the remit of Your Trust Charity.



- To primarily deliver a 12 month programme, due to complete in October 2020, which would have recruited and trained 150 unemployed people from across Birmingham catchment of: - Aston, Handsworth, Handsworth Wood, Lozells, Ladywood, Perry Barr and Soho neighbourhoods of Birmingham. These are referenced by the Office for National Statistics (ONS) as 'regional super output areas, where there is an unprecedented level of high unemployment amongst marginalised groups and particularly Black, Asian and Minority Ethnic (BAME) communities.

As at 31 March 2020:

- 43 beneficiaries were recruited and have accessed the employability services being provided through WoW.
- 37 beneficiaries undertook six weeks of training with the Trust, including two weeks part-time work experience placements.
- Nine beneficiaries have successfully progressed into employment.

**Summary of beneficiary intake and progress to date:**

Figure 1

**WoW Project Beneficiaries - Performance Target (October 2019 - October 2020)**

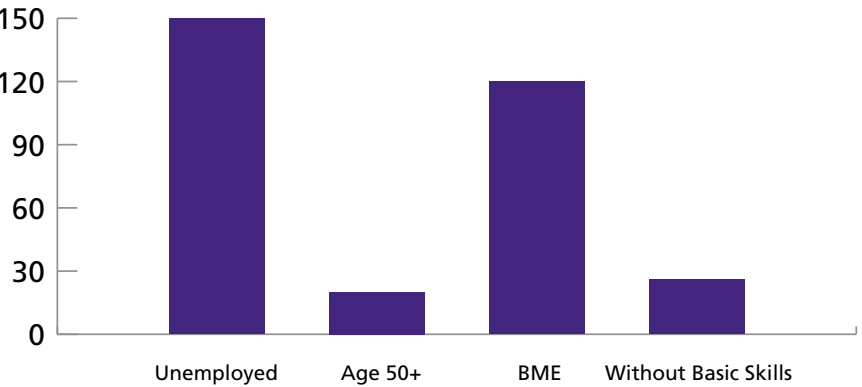
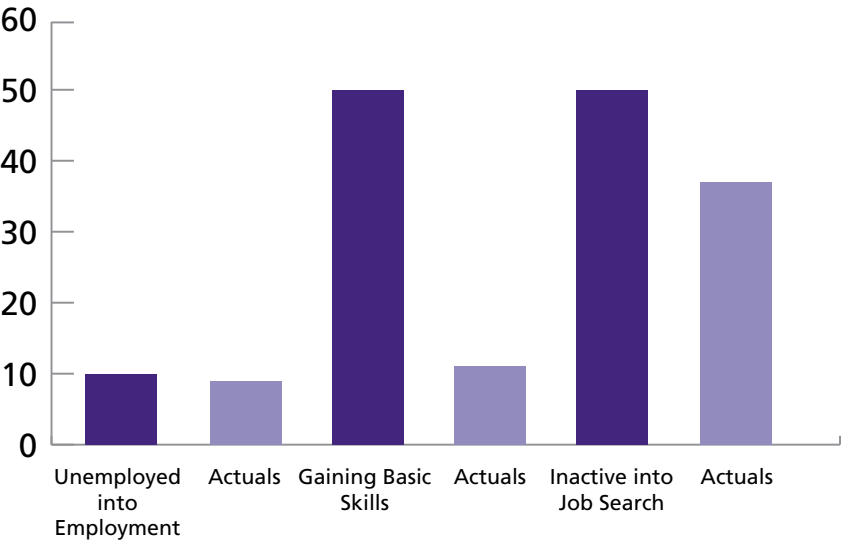


Figure 2

**Mid-term Review of WoW Beneficiarie Progressions (Forecasts & Actuals) (October 2019 - October 2020)**



**Old Hallam Hospital Sandwell, Social Housing Feasibility Study - awarded funding of £345,690 from Homes England**

**Project Start Date: October 2019**

Supported by Your Trust Charity match funds of £38,411, a robust funding application was successful in securing grant aid worth £345,690 from Homes England (HE). This was to explore the feasibility to utilise facilities and land at the old Hallam Hospital site at Sandwell General Hospital for the potential development of a new social housing scheme, led by local workers and NHS staff. With strategic input and support provided by the Trust, the study commissioned architects, design and development professionals, civil and structural engineers and quantity surveyors. This was to support the Trust and the charity to transform the project from an aspirational concept into a scheme viable to seek potential readiness for planning consent.

The feasibility study was required to rationalise a joined-up vision to explore potential demands and developments of an affordable social housing scheme for local workers and NHS staff within the catchment area. The potential

development would consist of approximately 120 dwellings, comprising of a mixed tenure of apartments, flats and houses, ranging from one to three bedrooms units.

This included compiling feasibility studies and site plans to inform the approach, rationale and business cost options for a potential flagship social housing development. In particular, feedback from surveys was completed to evidence the demand for a future scheme to be community-led. Follow-up consultations were held again with key partners, stakeholders, NHS staff and employees, emergency services personnel, key worker staff employed with schools, West Midlands Emergency Services personnel and other employees working in mainstream roles, to gauge interest about the potential development of the scheme. Subject to the interest generated, evaluation reports have since been completed and submitted to Homes England.

A business case is now being developed to begin the next stage of the planning application processes, subject to financing, consent and approval from the Trust and Sandwell Metropolitan Borough Council.

**Analysed feedback from those consulted about the scheme's tenure and sizes of accommodation:**

Figure 1

**What type of tenure would you prefer?**

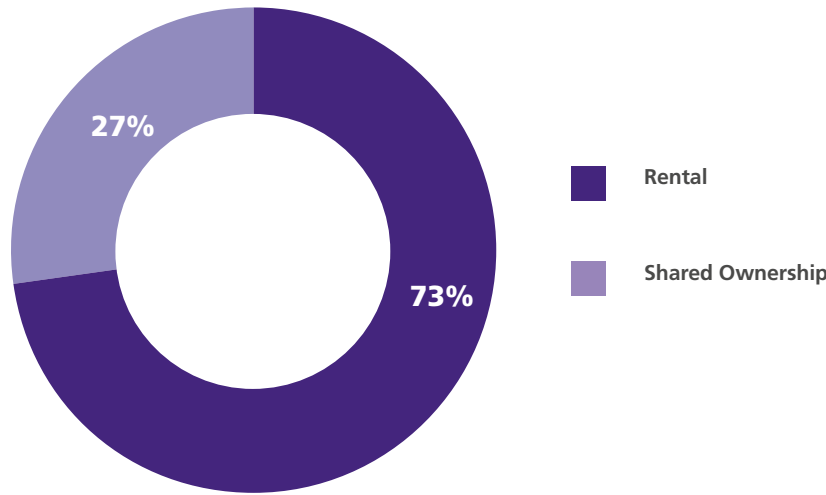
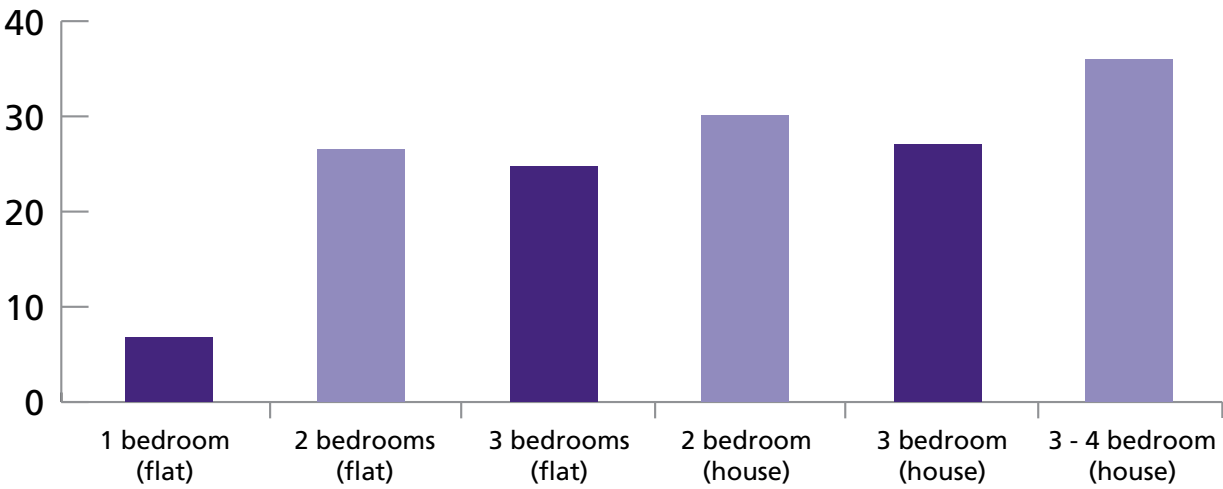




Figure 2

What would be your preferred size of living accommodation?



Parenting Young People Programme (PYPP) – allocated £48,750

Project Start and End Date: May 2017 - May 2019

As part of their well-being provision, Sandwell and West Birmingham (SWB) Trust and Your Trust Charity commissioned the Parenting Young People Programme (PYPP). This aimed to provide better support for our staff, many of whom had additional caring responsibilities for adolescents.

PYPP was delivered by St Basils Young People Services and the University of Birmingham and recruited a number of SWB participants - staff that worked across the Trust primarily with young people as part of their job role. PYPP began by assessing current practice through a literature review, before undertaking a staff survey which 169 colleagues completed. A number of workshops were then delivered to staff, with 51 colleagues participating, before an evaluation of what had been learnt.

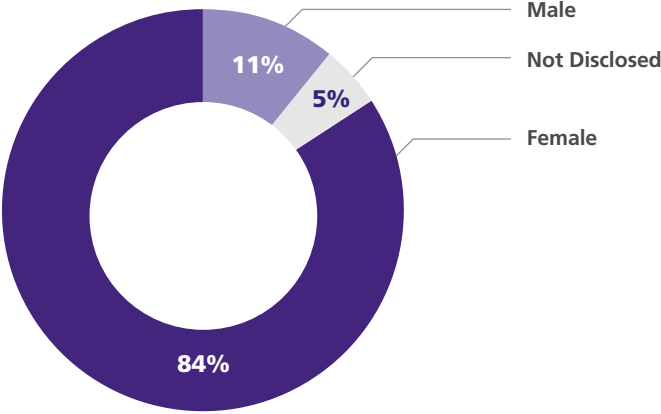
Findings from the survey and workshops highlighted a number of key issues and challenges often encountered by our staff. In particular, absenteeism due to ongoing personal issues and stress for those with parental responsibilities was shown to have a direct impact on service quality and staff wellbeing. This also identified safety issues from poorer service provision, due to fatigue or understaffing, and lower morale among staff who at times had to cover for absent co-workers. All of this resulted in higher costs for the Trust.

Results from the programme are now being used to inform recommendations for how our staff can best be supported in their interactions with young people at work, or in their relationships with young people at home. A summary of recommendations included:

- Providing training and support around coping strategies, to help staff deal effectively with challenges at home and work. Also identified was to train staff on how to better understand and 'blend in' with young people.
- Further training on 'unconscious bias'. This refers to automatic and unintentional stereotypes that can affect behaviour towards others. This has demonstrated the presence of negative attitudes towards older workers, and was also visible in how younger employees were perceived, for example, in relation to their work ethic. Therefore, further training should be considered to improve staff attitudes towards working with young people.
- Consider the option and consequences of implementing a Trust-wide policy on using mobile phones at work. Although this would reinforce expectations for appropriate behaviour in the workplace, it may also show that further input was necessary to support staff with caring/parenting responsibilities.
- Some participants suggested in their feedback that putting follow-up activities and interventions in place, such as recurring workshops or training, would have a positive impact.

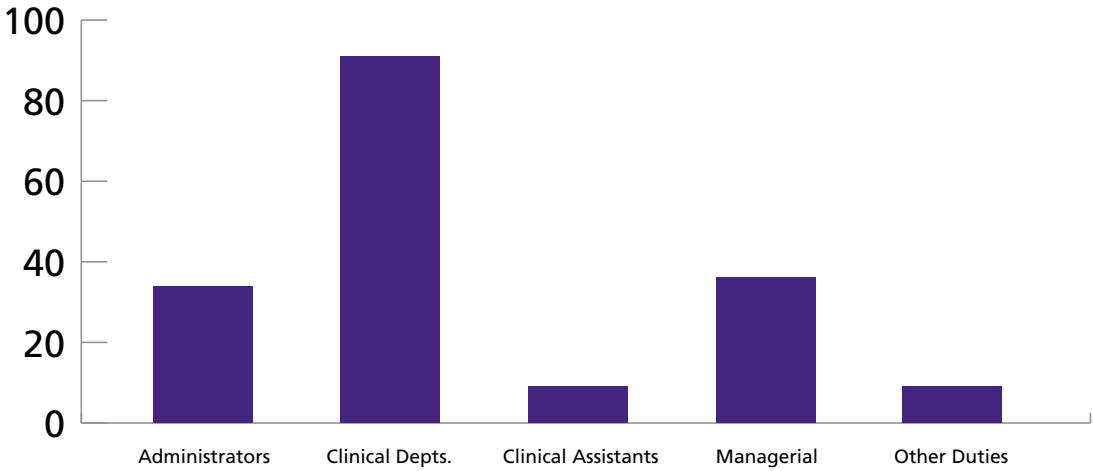
Figure 1

Parenting Young People Participants (by gender)



Job roles held by participants across the Trust

Figure 2



Micro-grants scheme

We have continued to promote and grow the micro-grants scheme, where our staff are encouraged to sign up to payroll giving for a minimum £2 per month to become members. This has allowed them to submit small ideas that will make a big difference, helping us to select which ideas to fund. Fourteen active current members have voted to award £500 to the following three micro-grants applications in the past year:

1. Purchasing four breast pumps for use at the neonatal unit. Infants who receive the most breast milk are likely to

experience fewer infections and go home sooner from the neonatal unit.

2. Purchasing two working televisions for the Medical Infusion Suite (MIS) at City Hospital. This supports the patient experience of those who are often receiving long-term day treatments at the MIS.
3. Creating and providing packs containing simple care items for vulnerable mothers receiving our care, as they start their journey through pregnancy.



## Fundraising and Working within our Community

Your Trust Charity has responded with undoubted determination to help our community of 530,000 patients and 7,000 staff during the COVID-19 crisis. We are extremely grateful to the overwhelming support we have received from our donors, supporters and colleagues during this extremely challenging time. The work of Your Trust Charity is more important than ever, as we begin to come to terms with the impact this pandemic has upon our society.

As with last year, we have continued to measure our corporate and community supporters who have offered to either volunteer their own time (worth £9 per hour using the Living Wage Foundation), or provide gifts in-kind for our wards and departments. With particular support during the pandemic up to 31 March 2020, we are proud to announce:

**51 CORPORATE/  
COMMUNITY PARTNERS  
providing £119,430 worth  
of time/in-kind gifts**

We have also continued to host a number of successful fundraisers over the past year. Particular thanks must go to the Asian Business Chamber of Commerce (ABCC) who selected us as the recipient charity for their annual awards dinner in December. Over £2,800 was raised, which will go towards a fingerprint scanner at our neonatal department, allowing parents and families to enter safely and securely.



Asian Business Chamber of Commerce

**PROUDLY SUPPORTING**

*Your Trust Charity*  
making everyone matter  
Sandwell & West Birmingham Hospitals NHS Trust Charity

#ABCC Awards19



Here is a selection of success stories from our other fundraisers:

### U can't touch this! Dr Nick Makwana dons his dancing shoes for charity danceathon



Dr Nick Makwana and Amanda Winwood at the Danceathon, which raised over £7,000 for Your Trust Charity, Children in Need and Encephalitis Society

In November, Dr Nick Makwana, Group Director of Women's and Child Health completed a danceathon. Coinciding with Children in Need it was his way of doing something fun – all in the name of charity. He said to his co-workers 'I wanna dance with somebody' and invited others to hit the dancefloor with him too.

Situated in the main reception of Sandwell, Hospital staff patients and visitors could hear the tunes blasting all around. Dancing to a selection of favourites he could be seen doing the cha-cha slide, having fun with gangnam style and doing the Macarena.

Raising funds for Your Trust Charity, Encephalitis Society and Children In Need, Dr Makwana danced from 7am – 7pm. He boogied on down performing non-stop for 12

hours. He was joined by staff from the Trust throughout the day including children from Sandwell Day Nursery. Pudsey even made an appearance too!

Dr Makwana said: "These three charities are very important. Your Trust Charity has been making a huge difference to the lives of patients and staff locally which I wanted to support. I am also a professional panel member of the encephalitis society and I have seen first-hand the great work they do in raising awareness and supporting families affected by this devastating disease. And of course, it is Children In Need day so it's a great way to recognise the work they do." Raising over £7,000, Dr Makwana had the full support of the Trust behind him.



## Sally continues to spread sunshine to cancer patients



Sally Gutteridge with her Sunshine Packs

Last year we gave a special mention to our patient Sally Gutteridge, who created 'Sally's Sunshine Packs', funded by the money she has raised through various events. The packs feature a number of different items chosen partly from Sally's experience of undergoing chemotherapy, to help patients through their own cancer journey. They include comfort items such as sweets, hand cream and tissues, as well as puzzle books and a patient journal.

Sally's bravery and selfless effort was recently recognised with a Star Award for Fundraiser of the Year by our organisation. She was also acknowledged throughout the region after she was presented with a trophy for Fundraiser of the Year by Coronation Street actor Nick Miles and legendary footballer Steve Bull at the 2019 Pride of Birmingham awards, which is organised by the Birmingham Mail.

In September, a group of 33 keen cyclists from our Trust set off on their annual cycle challenge. The team were as enthusiastic as ever and this year they were raising funds for Sally's Sunshine Packs.

Having set themselves a fundraising target of £2,000 they went on to exceed that amount, raising more than £4,000. This money is being put to good use in and around our workplace, all thanks to Sally's vision to spread a little happiness with her sunshine packs.

Vicki Evans, Chemotherapy Sister commented: "In my role, I have to share the clinical information and being able to give out one of these packs makes such a big difference. Patients like that someone has taken the time to think of them. It's a thoughtful gesture that is always well received."

## Dinah takes on Urban London's ultra marathon

Chief Finance Officer Dinah McLannahan set herself the gruelling challenge of completing a 55km run - raising £1,200 for Your Trust Charity. Taking part in the Ultra London 55 in October 2019, she started her journey at the Woowich tunnel, in East London, joining some of the fittest runners in England.

Dinah completed an arduous 15 week training programme in preparation for her biggest challenge yet. Almost two years to the day after arriving at the Trust, Dinah joined 280 runners in London and began her epic endeavour. Wearing a jersey emblazoned with the Trust's logo, Dinah

ran the distance in an impressive eight hours 13 minutes.

Having travelled through nine boroughs, Dinah finished in Richmond. She said of her challenge: "The last 12 miles were hard, but the first 24 were surprisingly ok! It was one of the best things I have ever done. There were some brilliant views and the course was never boring. Although I spent most of the training period feeling terrified about the event, sticking to a training plan helped. I'm so proud and happy to have raised such a good amount for the charity. I skipped across the finish line, I was so delighted to finish."



Dinah raised £1,200 for Your Trust Charity by taking part in the Ultra London 55



## Snow-done for Oldbury fundraisers



Oldbury Health Centre colleagues tackled the climb for charity

Our health visiting and supervision team from Oldbury Health Centre headed to Wales in May 2019 to climb to an elevation of over 3,500ft and conquer Mount Snowdon, the highest peak in Britain outside the Scottish Highlands. The volunteers were joined by friends and family for the adventure.

"I have climbed Snowdon on two previous occasions and it was rewarding and self-satisfying; a real sense of accomplishment," explained Health Visitor, Brenda Lewis. "On both occasions, climbing Snowdon was for charity. Following discussion with the health visiting and supervision team, I suggested that we could climb Snowdon and raise some funds for our homeless team."

The team, consisting of Sharona English, Zerelda Hickson, Jocelyn Smith, Debbie Corral, Pat Dore, Shellie Kerr, Joyce Holder, Leanne Murphy, Sarah Stanley and Lynk Martin joined Health Visitor Brenda Lewis and Clinical Lead Randeep Kaur.

Raising over £1,000, the team were overjoyed at the support they had received but also very proud that funds raised will make a difference to homeless patients across Sandwell receiving services from our hospital.

## Pass the popcorn - revamp of cinema room means movie time for patients



The team from HSBC at Leasowes Intermediate Care Centre

Thanks to HSBC staff, Leasowes Intermediate Care Centre now has a revamped cinema room for patients. The company secretary team from the banking giant descended on our facility in September 2019 to spruce up the room and transform an outside area. Eight kind-hearted members of staff painted the cinema room, hung up vinyls and canvasses of movies from years gone by and, painted outdoor equipment to brighten up the area. The new equipment was funded by Your Trust Charity.

Nicky Black said: "HSBC offers employees two days a year to go out into their community. We wanted to use this

opportunity to do something with our local NHS Trust.

"We're hoping patients see a real transformation in the garden area. We've brightened it up and also updated what was once a storage area into a great room where they can watch movies.

"We feel this is a worthwhile project that patients will benefit from. This is a rehab centre for them to rest and recuperate and we hope we've made the surroundings more enjoyable for them to do so."



## Keep on running - Angela and Tony take on Birmingham Half Marathon



Angela and Tony take a welcome rest after completing the Simplyhealth Great Birmingham Half Marathon in aid of Your Trust Charity

A health conscious husband and wife duo have delighted the paediatric diabetes team with their fundraising efforts by running in the Simplyhealth Birmingham Half Marathon in October 2019 for Your Trust Charity.

Angela Ruiz Morales who is a medical secretary at our Trust recently joined a local running club with her husband Tony. It wasn't long before the pair were swapping their desks and tool belts for their running shoes. They enjoyed it so much that they set themselves a challenge to complete a half marathon.

Sharing her experience, Angela said: "We decided to dedicate our effort to the paediatric diabetes team. The

money raised will help them to continue organising activities for children with diabetes, such as Christmas parties, bowling and education sessions. They are good opportunities for families to meet other children with diabetes."

Tony's company, T.S Plumbing and Heating, also decided to pledge funds to the cause. They donated a whopping £515 towards their goal. Racing across the finish line Angela completed the 13-mile course taking in the sites of Birmingham in 2 hours and 3 minutes. Tony dashed across the finish line in 1 hour 27 minutes, together raising a total of £1,200 in donations.

## Children's play area gets a McHelping hand



McDonald's staff working on Lyndon Ground's play area

The Trust got a welcome assist from local fast food businesses in May 2019 as the staff of five local McDonald's restaurants teamed up to give their time to Your Trust Charity, to help in the rejuvenation of the play area, situated outside the children's ward, Lyndon ground at Sandwell Hospital.

Armed with pots of paint, boxes of tools, armfuls of inflatables and faces full of smiles, the group of McDonald's staff helped change the outdoor area into four distinct 'zones', each catering for the needs of different age groups. They included a "rec room" for teenagers, that boasts a seating area, table football, and a pool table, and for younger children, themed areas around cars, the jungle and outer space.

Avnash Nanra, Ward Manager for Lyndon Ground, said: "We're thrilled that so many staff gave up their free time to work with Your Trust Charity to refresh the play area. It looks amazing and means that regardless of their age, there's always somewhere for the patients on the ward to go outside and have fun - which they've already been eagerly doing, especially our youngest."

Each of the five McDonald's stores, which range from West Bromwich through to Sutton Coldfield, provided five volunteers to give their time. The group also gave a donation of £500 to the Trust towards helping with the refurbishment of the outdoor play area.



## Safety is at forefront of fun kids' books



Eddie Edmead, Major Grants Manager for Your Trust Charity, with Sandwell Council's Tracey Jobber, Senior Play Development Officer, and Samantha Harman, Play Services Manager, at the launch of the Dog, Duck and Cat books in our Paediatrics Department

Children's books which aim to prevent accidents and teach youngsters and parents about keeping safe were launched at our Trust in May 2019. The range of stories features the escapades of Dog, Duck and Cat, with a strong message about how children can stay safe in a way that is fun and age appropriate.

The event took place within paediatrics outpatients and the emergency department at Sandwell Hospital but the books will be available in all areas across site where children are treated.

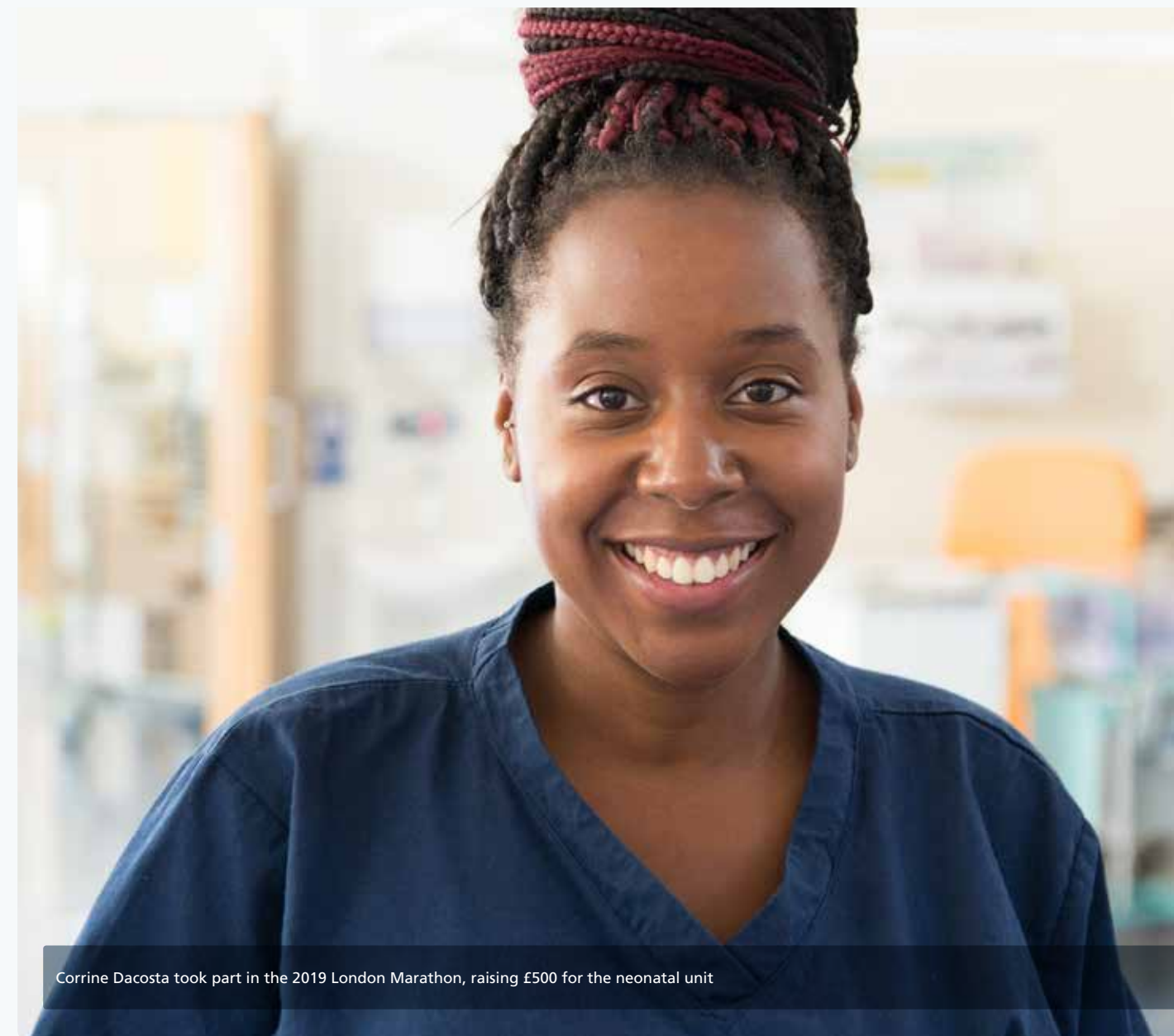
The books have been produced by the Dog, Duck & Cat Trust, and funded by Your Trust Charity, after Consultant Paediatrician, Dr Maria Atkinson ran the Birmingham Half Marathon and raised £500 to pay for the printing costs. Dr Atkinson said: "I took over as the child death lead for our organisation last year.

Through that work I was told about Dog, Duck and Cat - a great resource developed by Jon Bull, Head of DECCA and Debbie Brown from Sandwell and West Birmingham Clinical Commissioning Group.

"These stories and online resources address preventable causes of child death such as drowning, choking and safe sleeping. Volunteers will carry out regular story time sessions in our paediatrics areas to promote this important preventative work."

The books will be freely available within the paediatric areas for patients to take home and read. A cake sale also took place at the event to raise further funds to pay for more books to be printed, with regular fundraising drives to be held in future.

## Corrine's marathon run to help enhance family time on neonatal unit



Corrine Dacosta took part in the 2019 London Marathon, raising £500 for the neonatal unit

When Corrine Dacosta, Sister on the neonatal unit decided to take up running for weight loss, little did she realise it would end up with her running the London Marathon in April 2019. She said: "When I took up running, the club I joined were very encouraging about running the marathon. So I applied and was lucky to get in."

Corrine decided to use the opportunity to raise money for Your Trust Charity's neonatal unit fund. "I wanted to promote the neurodevelopment of our babies and enhance our families' time on the neonatal unit," she said. "And I was delighted to raise £500. I'm thankful to everyone who supported me."

When talking about her marathon experience, Corrine said she would have liked to have completed the run in five hours. "I did it in 5 hours 43 minutes," she explained. "It was a lot harder than I expected even though I'd trained really hard but I'm just glad I had the opportunity to run it and complete it!

"Getting to the finishing line was absolutely emotional, my feet ached so bad but I couldn't believe I'd actually done it! It was an amazing feeling and all I kept thinking was - I need to do that again."



Community Christmas cheer for our patients



WBA footballers Gareth Barry, Sam Johnstone, Matt Phillips and Charlie Austin bring festive cheer to our young patients on Lyndon Ground

Christmas is of course a time for joy and happiness. Once again we are extremely grateful to the generosity of our many supporters who took time to visit our patients with gifts during the festive season. This year also saw Your Trust Charity host our first ever carol concert, kindly hosted by All Saints Church in West Bromwich.

Our Future Plans

We have now completed three years of our five year fundraising strategy to 2021/22, which aims to position Your Trust Charity as a key service deliverer and facilitator of partnerships within the region.

Our objectives are as follows:

- 1. Increase awareness of the charity's brand with a continued integrated marketing and communications campaign i.e. website, annual report, and 'storytelling'
- 2. To further develop partnership funding bids to external grant makers
- 3. Deliver an effective grant making strategy, with effective impact and evaluation
- 4. Engaging staff in becoming active in a programme of annual fundraising events
- 5. A revised and updated member scheme, increasing the number of active members/volunteers
- 6. Develop transactional and strategic CSR relationships with Sandwell and Birmingham employers
- 7. To have created strong internal relationships with finance and fund managers
- 8. To adopt robust processes and procedures with Finance and Cash Office that meet audit recommendations, including the maximisation of tax-effective giving i.e. Gift Aid
- 9. To have raised £2m (net) for the Midland Met Appeal by 31 March 2022 in pledges

In the next year we will continue to focus on growing and diversifying our income streams, concentrating in particular on:

1. Individuals - fundraising events (internal and external) and legacies

The We Are Metropolitan campaign is expected to generate a growth in our event income, when social distancing measures are eventually relaxed. We do recognise the pandemic as a risk to our ability to fundraise and will monitor this position closely throughout the next year with the Charitable Funds Committee. We will also promote and roll out our legacy offer via our 'Planning for Your Peace of Mind' guide. Our guide, in partnership with Dunham McCarthy and Dignity plc, allows patients to plan for later life, which help to enhance their care. We have also held a number of introductory talks on Your Trust Charity at the Day Hospice.

2. Corporate - 'transactional' donations, sponsorship and in-kind, and 'strategic' partnerships

We are delighted with the overwhelming support of our 51 corporate and community supporters in this past year,

recognising that much of this unprecedented support has occurred during COVID-19. In the next year we will work to steward and grow the great relationships we have been able to make.

3. Trusts, grants and foundations

Having received the largest grant in our history through Homes England in 2019-20, the next year will see us continue to develop partnerships and consortia bids - both for the charity and the We Are Metropolitan campaign - to achieve further success and income through this area.

4. Major gifts

We are very grateful to the work of our Midland Metropolitan fundraising leadership committee in the past year, and will work with our business and community committees during 2020-21 to secure further major gifts and pledges towards our £2m target.

Monitoring our Fundraising Performance

Our core key performance indicators for fundraising in 2019-20 were as follows:

Key Performance Indicator	Actual
To achieve income target of at least £894,000	£983,000
To work with 20 active community/ corporate partners	51
To achieve at least £8,400 gifts in kind	£119,430
To raise at least £2.87 per £1 spent on total charity costs (all charity salaries plus support & governance costs)	Raised £3.84 per £1 spent

The objectives and performance of Your Trust Charity continue to be monitored and governed by the Charitable Funds Committee, a sub-committee of the Trust Board, chaired by a Non-Executive Director.

In summary, the Corporate Trustees of Your Trust Charity have continued confidence in the five year strategy to increase total income year-on-year up to 2021/22, supported by the charity brand which will continue to act as a focal point to re-engage with our supporters and donors.



# Our Supporters

Our success in raising funds this year would not have been possible without fundraising, grant aid and in-kind support from the following supporters of Your Trust Charity:

All Saints Church West Bromwich	Ellie Leong & Dr Wen Leong
Angela & Tony Morales	Free Radio Cash For Kids
ASDA Great Bridge	Future Health
ASDA Tipton	Gaijan Sushi
Asian Business Chamber of Commerce	Gracewell Health Edgbaston
Balfour Beatty	Gurpreet Bhatia
Beverley Nielsen	Harbans Singh & Co Solicitors
Blackheath Primary School	Harriet & James Goddard
Birmingham City Council	Helpforce
Black Country Foundation Partnership Trust	Health Visiting & Supervision Team
Bristnall Hall Academy	Oldbury Health Centre
BritAsia TV	Homes England
Community Heart Failure & Respiratory Teams	HSBC Company Secretary Team, Internal Audit Team & Operational Accounting Team
Corrine Dacosta	J D Gyms West Bromwich
Dignity plc	Jewels Conference Centre
Dog, Duck & Cat Trust	Kanshi TV
Dorothy Parkes Centre	McDonalds
Dr Nick Makwana	Michelle Hemmings
Dr Sarb Clare	Monmore Confectionary
Dunham McCarthy	Mr Singh’s Pizza
Edward Williams (Ltd)	NatWest Customer Service Mortgage Operations
Elaine Shiels	NEWG420 Ltd

NHS Charities Together	Steve Allen
Oldbury Fire Station	Stone Cross Library
Paul Faulkner	SWBH Cycle Ride Team
Peter Salt	Sylvia Parkin
Pfizer	The ABCD Charitable Trust
Pizza Hut Great Barr	The Academy Transformation Trust
Prestige Suites	The Horse & Jockey, West Bromwich
Remedium Partners	The Greater Birmingham Chambers of Commerce
RSM UK	The Grimmitt Trust
Ryder’s Green Primary School	The Michael Marsh Charitable Trust
Sainsbury’s Oldbury	The National Lottery Community Fund (Awards for All)
Sally Gutteridge	The Roper-Hall Eye Foundation
Salts Healthcare	The Seccombe Trust
Sandwell Public Libraries	The University of Birmingham
Sandwell Safer Partnership	The Wellcome Trust
Sarindar Sahota	Tony Shergill
Sewa Day	Waheguru Foundation
Shelly’s Treats	Walk The Walk
Sikh Toy Appeal	Waitrose Lichfield
Slimming World West Bromwich	West Bromwich Albion Football Club
St Albans Community Trust	West Bromwich Building Society
St Basils	William H Painter Funeral Directors
St Michael’s Church of England High School	



Appendix 1

Reference and administrative information

CHARITY NAME:  
SANDWELL & WEST BIRMINGHAM HOSPITALS NHS TRUST CHARITIES

CHARITY REGISTRATION NO.:  
1056127

GOVERNING DOCUMENT:  
DECLARATION OF TRUST DATED 5 JUNE 1996

(Amended by Supplemental deed: 5th June 2003)  
(Linked charities removed and funds transferred into main umbrella NHS charity: 10th May 2017)

CHARITY TRUSTEES:  
The Charity has a Corporate Trustee, the Sandwell and West Birmingham Hospitals NHS Trust (established by Statutory Instrument in March 2002 under the NHS and Community Care Act 1990). The NHS Trust Board comprises the Chairman, seven Non-Executive members and five Executive members. Non-Executive members are appointed by NHS Trust Development Agency (now NHS Improvement) and the Executive members are all Directors of the NHS Trust and comprise the Chief Executive, the Director of Finance and Performance/Chief Finance Officer, Chief Nurse, Medical Director and the Chief Operating Officer.

The NHS Trust Board has devolved responsibility for the ongoing management of funds to a Charitable Funds Sub-Committee of the Board which administers the funds on behalf of the Corporate Trustee. The Committee meets four times a year. Representatives of the Charitable Fund Investment advisors are required to attend the Committee on a twice yearly basis to present appropriate investment reports.

Persons who have acted as agents of the Corporate Trustee at any time during the course of the financial year include:

- R Samuda
- O Dutton (resigned May 2019)
- M Perry
- Prof K Thomas
- H Kang
- Cllr W Zaffar MBE (Chair of the Charitable Funds Committee)
- M Hoare
- M Lavery
- L Writtle (appointed March 2020)
- T Lewis
- D McLannahan
- R Barlow (change in appointment March 2020)
- Prof D Carruthers
- P Gardner
- R Goodby
- K Dhami
- L Kennedy (appointed March 2020)

REGISTERED OFFICE:  
Sandwell and West Birmingham Hospitals NHS Trust  
City Hospital  
Dudley Road  
Birmingham  
West Midlands  
B18 7QH

Telephone: 0121 507 5196

OBJECTIVES AND STRATEGY

All charities must have charitable purposes or aims that are for the ‘public benefit’. This is known as the ‘public benefit requirement’. ‘Public benefit’ is the legal requirement that every organisation set up for one or more charitable aims must be able to demonstrate that its aims are for the public benefit if it is to be recognised, and registered, as a charity in England and Wales. There are two key principles, both of which must be met in order to show that an organisation’s aims are for the public benefit. Firstly there must be an identifiable benefit or benefits and secondly the benefits must be to the public or section of the public. The Corporate Trustee confirms that it has had regard to the Charity Commission public benefit guidance where relevant.

The Charity has a main Umbrella Charity, formally known as “Sandwell and West Birmingham Hospitals NHS Trust Charities”. The objects of this fund are registered as being:

“To apply the income for any charitable purpose or purposes relating to the National Health Service wholly or mainly for the service provided by Sandwell and West Birmingham Hospitals NHS Trust.”

This main charity fund is considered to have NHS wide objectives and as such the Corporate Trustee considers this to be for the public benefit and as such complies with the Charity Commissions guidance on public benefit.

STRUCTURE, GOVERNANCE AND MANAGEMENT

The Charity’s main fund was established using the model declaration of trust and all the funds held on trust as at the date of registration were either included as part of this unrestricted fund or registered as part of separate restricted funds under the main umbrella charity. As of 10th May 2017, the previous linked charity structure was dissolved and merged into the main umbrella NHS charity, in line with the charity’s appeals.

During 2017/18, continued consolidation of designated funds took place, with reduction to c. 100 funds in total. Following a relaunch of the role in November 2019, fund ambassador(s) are appointed. Their responsibility is to ensure that the funds are spent accordingly, and to follow best practice in terms of appropriate charitable spend. Under a scheme of delegated authority approved by the Corporate Trustee these fund ambassadors have delegated authority for expenditure, excluding salaries, to spend up to £5,000 per request in conjunction with a nominated representative of the Chief Finance Officer. Requests less than or equal to £20,000 require the authority of the Fund Ambassador

and the Chief Finance Officer; requests less than or equal to £50,000 require the authority of the Fund Ambassador, Chief Finance Officer and the Chief Executive Officer. Any request in excess of £50,000 requires the approval of the Charitable Funds Committee. In the case of any salary expenditure (including on costs) as part of a linked research programme then this will require the approval of the Fund Ambassador, Chief Finance Officer, and Director of Research & Development.

Restricted Funds

Individual fund ambassadors are required to submit annual plans to the Corporate Trustee detailing how and on what the restricted funds are to be spent. The Corporate Trustee regularly monitors this spend against the available fund balance and takes appropriate action to ensure that funds are spent in a timely manner and in accordance with the donor’s wishes.

Designated Funds

Where general donations are received on behalf of individual wards or departments of Sandwell and West Birmingham Hospitals NHS Trust, the Corporate Trustee has agreed to transfer the donations received to a fund designated for that particular ward or department. By their very nature these funds are generally held for the purposes of satisfying needs on a day to day basis rather than for long term projects. Internal controls prevent the possibility of these funds spending in excess of the outstanding balance.

Individual fund ambassadors for these ward and departmental designated funds are required to submit annual spending plans to the Corporate Trustee setting out how they intend to spend the balance on their fund on an annual basis.

Other designated funds may be created from time to time by the Corporate Trustee in order to fund specific charitable activities.

It should be noted that the designation of funds does not commit the funds legally. Such designation may be cancelled by the Corporate Trustee if it is later decided that the Charity should not proceed or continue with the use or purpose for which the funds were originally designated.

Legal Duties

The Corporate Trustee fulfils its legal duty by ensuring that the funds are spent in accordance with the objectives of each fund and, by designating certain monies within the existing charities, the Corporate Trustee is able to respect the wishes of our generous donors to benefit patient care and advance the good health and welfare of patients, carers and staff.



Non-Executive members of the Trust Board are appointed by the NHS Trust Development Agency (now NHS Improvement) and Executive members of the Board are subject to recruitment by the NHS Trust Board. Members of the Trust Board and the Charitable Funds Committee are not individual trustees under Charity Law but act as agents on behalf of the Corporate Trustee.

Acting for the Corporate Trustee, the Charitable Funds Committee is responsible for the overall management of the charitable funds. As defined in the updated Charitable Funds Policy, the duties of the Committee are to:

- Act in the best interests of the Charity
- Manage the Charity's resources responsibly, which includes protecting and safeguarding its reputation
- Act with reasonable care and skill

The Committee is authorised by the Trust Board to:

- To investigate any activity within its Terms of Reference. It is authorized to seek any information it requires from any employee and all employees are directed to co-operate with any request made by the Committee.
- To instruct professional advisors and request the attendance of individuals and authorities from outside of the Trust with relevant experience and expertise if it considers this necessary or expedient to carrying out its functions.
- To obtain such internal information as is necessary and expedient to the fulfillment of its functions.
- Trustees are legally responsible for the Charity's fundraising. Operating effective control over the Charity's fundraising is a vital part of their compliance with legal duties as outlined in the commission guidance on the Trustee duties set out in "the essential Trustee: what you need to know, what you need to do "(CC3).

The Trustees have the right to:

- Prevent the withdrawal of funds, which do not meet the conditions set out in these guidelines.
- Authorise expenditure from a fund if the Trustees believe this to be an appropriate use of resources.
- Cease to delegate their authority to a Fund Ambassador if they believe that the regulations in this document are not fulfilled. In this case they may appoint an alternative manager or take control of the fund themselves.
- Amend these Guidelines as and when necessary. Amendments will be communicated to all Fund Managers.
- Authorise investigation of a breach of this policy that may result in the matter being treated as a disciplinary offence under the Trust's disciplinary procedure.

The accounting records and the day-to-day administration of charitable funds are dealt with by the NHS Trust Finance Department which is located in Trinity House on the Sandwell General Hospital Site, Lyndon, West Bromwich, B71 4HJ. The NHS Trust levies a charge for providing this service which is reviewed on an annual basis.

RISK ASSESSMENT

A summary of the major risks to which the Charity is exposed have been identified and placed on the Trust's Risk Register. A summary of responsibilities for managing those risks and the control and security systems in place to alleviate these risks are also summarised below:

Financial Risk

- Investments and/or major assets are not properly safeguarded
- Expenditure is inappropriately high/low, not correctly authorised, or not spent for purposes intended
- Income is not encouraged, not appropriated to specific funds, or not recognised

- Accounting transactions are inadequately recorded

Operational Risk

- The Charity is not operating within its objectives or cannot sustain income streams to meet demand for its objectives, for example, due to reduced grant opportunities as a result of Covid-19
- Risk of inadequate insurance arrangements to cover liability for charity events
- Risk of loss of team charity experience impacting on ability to meet charity targets
- Impact of COVID-19 or other pandemics on ability to do face to face fundraising activity leading to loss of projected income

Compliance Risk

- Risk of regulatory non-compliance in relation to VAT/ Corporate Tax, legislation i.e. GDPR / Data Protection / Human Rights / Health & Safety / Employment law; and statutory accounts i.e. SORP compliance and accounting standards

Reputational Risk

- Risk of negative press and social media stories
- Risk that campaigns are not funding enhanced services and do not represent value for money

The Charitable Funds Committee, Chair of Corporate Trustee, Chief Finance Officer, Director of Communications and Head of Trust Charity will regularly monitor and review procedures

in place and performance in relation to the above, obtaining professional advice where applicable.

The Corporate Trustee is content that the controls described have operated effectively during the year and therefore that the risks outlined have been mitigated.

The most significant ongoing risk to the Charity is the potential losses arising from a fall in the value of the investments held and the level of reserves available to offset the impact of any such losses. These have been carefully considered and there are procedures in place to review the investment policy and to ensure that both spending and financial commitments remain in line with income streams.

PARTNERSHIP WORKING AND NETWORKS

The Charity continues to be a member of NHS Charities Together, the trading name of the Association of NHS Charities. This organisation ensures that the Charity is kept informed and fully updated on all current and developing issues in relation to charitable funds. Concerns are highlighted and the knowledge shared with similar organisations.

The Charity has a small in-house team and each member is involved in fundraising activities. We also have a number of volunteers supporting our fundraising activities. SWBH NHS Trust Charities did not contract any third-party suppliers in a professional fundraiser or commercial participator capacity during 2019/20.

Sandwell and West Birmingham Hospitals NHS Trust Charities abide by the Fundraising Promise and Code of Conduct of the Institute of Fundraising and are registered with the Fundraising Regulator. This report covers the requirements charities must follow as set out in the Charities Act 2016.

Our website outlines our complaints policy for the public and clearly explains how an individual can complain. We received no complaints during the 2019-20 financial year and no complaints in the previous year.

	Unrestricted Funds	Restricted Funds	Total
	£000's	£000's	£000's
OPENING FUND BALANCE (1 April 2019)	2,844	2,561	5,405
ADD: Donations / Legacies / Grants and other incoming resources for the year. Interest and Dividends for the year	212	532	744
Interest and Dividends for the year	239		239
LESS: Expenditure for the year	-521	-429	-950
I&E SURPLUS / (DEFICIT) FOR YEAR	-70	103	32
Investment gains (losses) recognised in the year	-352	58	-294
CLOSING FUND BALANCE (31 March 2020)	2,492	2,619	5,111

We respond to all complaints within 10 days. Complaints are dealt with in-line with our fundraising complaints policy. Any serious complaints are escalated to the Head of Trust Charity, Director of Communications and Trustees to consider lessons learnt. We report to the Fundraising Regulator on the totality of our complaints.

We are also signed up to the Fundraising Preference Service to enable individuals to opt out from receiving fundraising communications from us. We actioned no requests from this service last year.

Our Safeguarding and Protection of Vulnerable Adults policy and Safeguarding Children policy are available from our Trust and details are contained on the Trust website. In addition to our policy we have an agreed operating procedure to protect vulnerable people. Our staff and volunteers are familiarised with the code of conduct to ensure that it is applied properly.

Sandwell and West Birmingham Hospitals NHS Trust is the main beneficiary of the Charity and is a related party by virtue of being the Corporate Trustee of the Charity. By working in partnership with the Trust, the charitable funds are used to the best effect for public benefit. When deciding upon the most beneficial way to use charitable funds, the Corporate Trustee has due regard to the main activities, objectives, strategies and plans of the NHS Trust.

REVIEW OF 2019/2020 - FINANCES

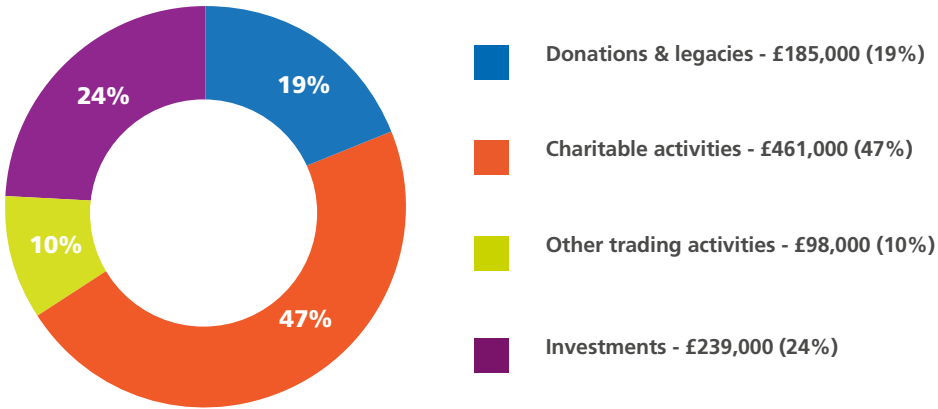
The Financial information presented below is drawn from the Charity Accounts 2019/20.

Income and Expenditure

The table on following page summarises the overall analysis of the income and expenditure position for 2019/20:

The net assets of the Charity as at 31 March 2020 were £5,111 million (2019: £5,405 million). Overall net assets increased during the year by £0.295 million.

Income 2019-20: £982,000



The Charity's fundraising efforts continues to rely upon the generosity of the general public through individual, regular and event donations, gifts in wills, a staff lottery, grants from commercial and non-commercial organisations and income from investments held to fulfil its objectives. The considerations given by the public usually represent the excellent standard of care received from the staff of the Trust whilst they, or a relative or a friend, were being treated, or to assist the Trust in providing additional facilities and comforts for patients. Grants from commercial organisations are usually given in support of ongoing charitable medical research in various clinical areas. Grants from non-commercial organisations primarily represent income from trusts and foundations for added-value activities. The income received from investments (i.e. company dividends, bank interest and royalties) is apportioned annually. The resulting income is to be

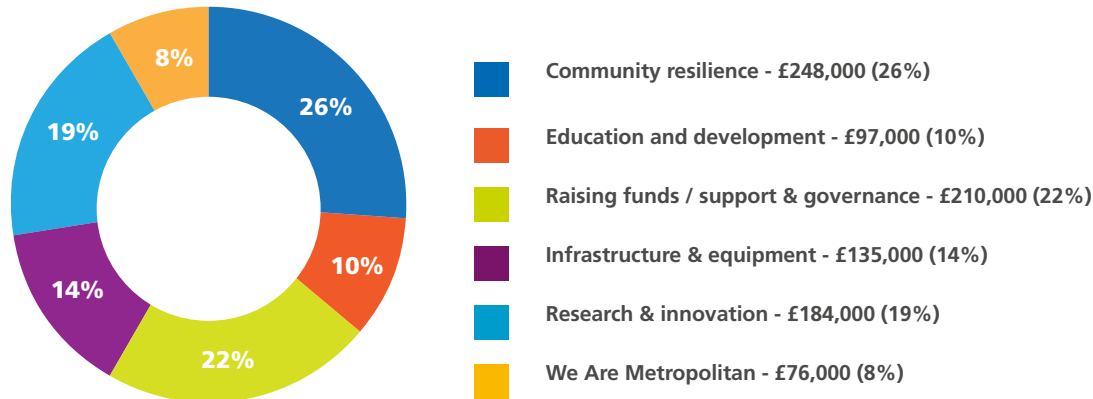
The Charity received a total of £983,000 from the following sources:-

subsequently applied in accordance with the objectives of the fund.

The Charity committed £950,000 during the year to enhance the experience of all people using services, including staff, patients, and their families. This reflects an overall surplus of £33,000. Your Trust Charity's five year strategy continues to focus on spending our donations wisely in line with donor wishes. Our four priority areas (also including the "We Are Metropolitan" fundraising campaign) are as follows:

- Infrastructure & equipment
- Education & development
- Research & innovation
- Community resilience
- We Are Metropolitan

Expenditure 2019-20: £950,000



Investments

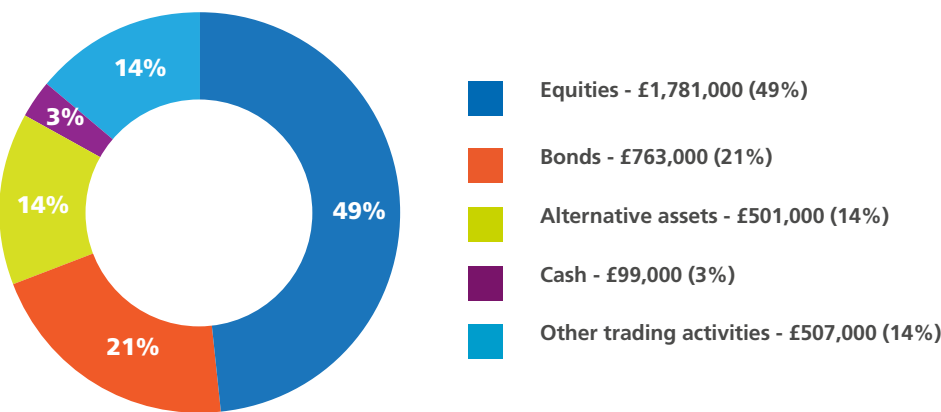
The Corporate Trustee is empowered under the declaration of trust to invest funds in stocks and shares. The Charities' holdings are held in "nominee" company with Barclays Wealth. Following a re-tendering exercise in January 2020, the Corporate Trustees have appointed Brewin Dolphin to provide continuing advice in respect of the management of investments. The transfer of assets to Brewin Dolphin will be completed in 2020/21.

Surplus cash is held in Treasury Bond and short term

deposit accounts, to ensure the charity has sufficient liquid cash resources to meet the liabilities of the charity.

The managed investments of the Charity at 31 March 2020 were £3,651,000. There was a drop of almost 12% in the value of investments in the last financial quarter of 2019/20, due to the Covid-19 crisis. The Trustees also expect a reduction in dividend income during 2020/21, which will have an impact on the investment income target. The asset allocation was as follows:-

Managed Investments - Asset Allocation



STATEMENT OF INVESTMENT POLICY

The Corporate Trustee updated the charity investment policy in November 2019. The following statements are extracted from the policy:

1. Your Trust Charity's financial investments will yield the best financial return within the level of risk considered to be acceptable. This return can then be spent on the charity's aims.
2. The charity will utilise professional investment managers to support the active implementation of its investment policy and their performance is reviewed annually.
3. The charity's assets are held in financial investments (shares, equity investments, hedge funds, cash), in line with ethical restrictions and the risk profile of the charity.
4. The Charity objectives for investment are:
  - 4.1 For the Corporate Trustee to periodically review the way in which the portfolio is managed by the investment manager, with a view to exploring short and long term positioning within portfolios, as well as alternative options.

- 4.2 For investment to maximise returns on free reserves and provide an ongoing source of funding.
- 4.3 To protect and enhance the value of the Charity's assets in order to maintain the purchasing power of the funds when taking into account the effects of inflation using the published UK CPI rate, [tax] and fees.
- 4.4 To ensure the performance target is measured and defined within the target period of 3-5 years, the Committee wish the investment managers to generate 3.5% +CPI on a net of fee basis.
- 4.5 The Charitable Funds Committee expects investment income to cover, at a minimum, the support, governance and contingency costs of the charity. This is £120,000.
5. The charity's beneficiaries are all linked to the Trust whose primary aims are healthcare related. The Corporate Trustee have therefore decided to preclude investment



- in companies with any direct investment in tobacco, alcohol and arms, as they are specifically contrary to the objectives of the charity to enhance the care of patients. Furthermore, the investment manager is expected to conduct screening for companies in relation to modern slavery.
6. For the purpose of facilitating the trustees with the process of monitoring the portfolio the investment manager will be required to provide the Charitable Funds Committee with quarterly performance, asset allocation and currency allocation data together with copy valuations in accordance with the Committee’s instructions..

RESERVES POLICY

The charitable funds committee has established a reserves policy as part of their plans to provide long term support to the four priority areas of the charity:

- Community Resilience
- Infrastructure and equipment
- Research
- Education and Development

The charitable funds committee calculate the reserves as that part of the charity’s unrestricted funds that is freely available after taking account of:

- 20% buffer for contingencies - for example - to cover investment fluctuations due to market forces (i.e. Covid-19)
- 32.75% maximum spend of designated funds (core activities)
- 40% forward planning budget for commissions (core activities)

The minimum reserve requirement is calculated as follows:

	Amount
Unrestricted Income	
Fund 0012	23,000
Fund 2111	30,000
Designated funds	2,439,000
TOTAL	2,492,000
Allocation	
20% contingencies buffer	488,000
32.75% maximum spend of designated funds	799,000
40% forward planning budget for commissions	976,000
TOTAL	2,263,000
Free reserves (unrestricted income less allocation)	229,000

Based on the assessment of the charity’s risk, income and expenditure as completed in November 2018, the proposed target range for reserves is between £1m to £1.5m.

The reserves held are currently below our target range due in large part to the ongoing expenditure of grants and commissions, as well as funding the Your Trust Charity team.

The charitable funds committee review the balances held in designated funds regularly through a ‘dormant funds pathway’ to determine whether inactive funds are likely to be committed in the near future and the extent to which there is a continuing need identified for any particular designated fund(s).

ACCOUNTING AND REPORTING RESPONSIBILITIES

The Charities Act 2011 requires the Corporate Trustee to prepare accounts for each financial year which give a true and fair view of the Charity’s financial activities during the year and of its financial position at the end of the year. In preparing these accounts the Corporate Trustee is required to:

- apply accounting policies on a consistent basis;
- make judgements and estimates which are reasonable and prudent;
- follow applicable accounting standards and the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102), disclosing and explaining any material departures in the accounts;

- prepare the accounts on the going concern basis unless it is inappropriate to assume that the Charity will continue in operation.

The Corporate Trustee is responsible for keeping proper accounting records which disclose with reasonable accuracy at any time the financial position of the Charity and to enable them to ensure that the accounts comply with charity law. The Corporate Trustee is also responsible for establishing and monitoring a system of internal control and establishing arrangements for the prevention and detection of fraud and corruption.

The Corporate Trustee confirms that they have met the responsibilities set out above and complied with the requirement for preparing the accounts on a going concern basis.



## Appendix 2

**SANDWELL AND WEST BIRMINGHAM  
HOSPITALS NHS TRUST CHARITIES  
OTHER LEGAL AND ADMINISTRATIVE  
DATA**

## INVESTMENT ADVISORS:

Barclays Wealth  
(A subsidiary of Barclays Bank PLC)  
1 Colmore Square  
Birmingham  
B4 6ES

BANKERS:

National Westminster Bank PLC  
309 High Street  
West Bromwich  
West Midlands  
B70 8ND

AUDITORS:

Grant Thornton UK LLP  
The Colmore Building  
20 Colmore Circus  
Birmingham  
B4 6AT

SOLICITORS:

Capsticks Solicitors LLP  
35 Newhall Street  
Birmingham  
B3 3PU

CHARITABLE TRUST ACCOUNT - SANDWELL & WEST BIRMINGHAM HOSPITALS NHS TRUST CHARITIES - 2019/2020

## Statement of Trustee's responsibilities in respect of the annual report and the financial statements

Under charity law, the trustees are responsible for preparing the trustees' annual report and accounts for each financial year which show a true and fair view of the state of affairs of the charity and of the excess of expenditure over income for that period

In preparing these financial statements, generally accepted accounting practice requires that the trustees:

- Select suitable accounting policies and then apply them consistently
- Make judgments and estimates that are reasonable and prudent
- State whether the recommendations of the SORP have been followed, subject to any material departures disclosed and explained in the financial statements
- State whether the financial statements comply with the trust deed, subject to any material departures disclosed and explained in the financial statements
- Prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue its activities.

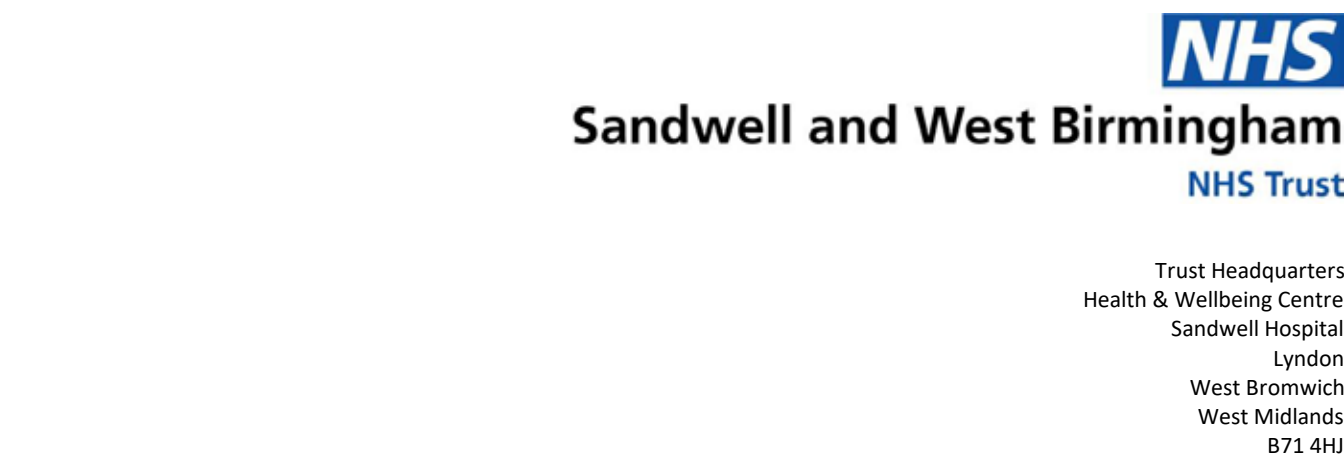
The trustees are required to act in accordance with the trust deed and the rules of the charity, within the framework of trust law. The trustees are responsible for keeping proper accounting records, sufficient to disclose at any time, with reasonable accuracy, the financial position of the charity at that time, and to enable the trustees to ensure that, where any statements of accounts are prepared by the trustees under section 132(1) of the Charities Act 2011, those statements of accounts comply with the requirements of regulations under that provision. The trustees have general responsibility for taking such steps as are reasonably open to the trustees to safeguard the assets of the charity and to prevent and detect fraud and other irregularities.

By Order of the Corporate Trustees

Chairman \_\_\_\_\_ Date \_\_\_\_\_

Corporate Trustee \_\_\_\_\_ Date \_\_\_\_\_





Grant Thornton UK LLP  
The Colmore Building  
20 Colmore Circus  
BIRMINGHAM  
B4 6AT

[Date]

Dear Sirs

**Sandwell & West Birmingham Hospitals NHS Trust Charities Financial Statements for the year ended 31 March 2020**

This representation letter is provided in connection with the audit of the financial statements of Sandwell & West Birmingham Hospitals NHS Trust Charities for the year ended 31 March 2020 for the purpose of expressing an opinion as to whether the financial statements give a true and fair view in accordance with Section 154 of the Charities Act 2011.

We confirm that to the best of our knowledge and belief having made such inquiries as we considered necessary for the purpose of appropriately informing ourselves:

**Financial Statements**

- i. We have fulfilled our responsibilities for the preparation of the financial statements in accordance with the Charities Act 2011, and the Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland ('Charities SORP (FRS 102)'), issued by the Charity Commission for England and Wales and any subsequent amendments or variations to this statement., in particular the financial statements give a true and fair view in accordance therewith.
- ii. We acknowledge our responsibility for the design, implementation and maintenance of internal control to prevent and detect fraud.
- iii. Significant assumptions used by us in making accounting estimates, including those measured at fair value, are reasonable.
- iv. Except as stated in the financial statements:
  - a. there are no unrecorded liabilities, actual or contingent;
  - b. none of the assets of the charity has been assigned, pledged or mortgaged;
  - c. there are no material prior year charges or credits, nor exceptional or non-recurring items requiring separate disclosure.
- v. Related party relationships and transactions have been appropriately accounted for and disclosed in accordance with the requirements of the Charities SORP (FRS 102) and any subsequent amendments or variations to this statement.

- vi. All events subsequent to the date of the financial statements and for which the Charities SORP (FRS 102) and any subsequent amendments or variations to this statement require adjustment or disclosure have been adjusted or disclosed.
- vii. We have considered the adjusted misstatements, and misclassification and disclosures changes schedules included in your Audit Findings Report. The financial statements have been amended for these misstatements, misclassifications and disclosure changes and are free of material misstatements, including omissions.
- viii. We have considered the unadjusted misstatements schedule included in your Audit Findings Report and attached. We have not adjusted the financial statements for these misstatements brought to our attention as they are considered to be immaterial to the results of the charity and its financial position at the year-end.

The financial statements are free of material misstatements, including omissions.

- ix. We can confirm that:
  - a. all income has been recorded;
  - b. the restricted funds have been properly applied;
  - c. constructive obligations for grants have been recognized; and
  - d. we consider there to be appropriate controls in place to ensure overseas payments are applied for charitable purposes.
- x. The charity has complied with all aspects of contractual agreements that could have a material effect on the financial statements in the event of non-compliance. There has been no non-compliance with requirements of regulatory authorities that could have a material effect on the financial statements in the event of non-compliance.
- xi. We have no plans or intentions that may materially alter the carrying value or classification of assets and liabilities reflected in the financial statements.
- xii. Actual or possible litigation and claims have been accounted for and disclosed in accordance with the requirements of UK Generally Accepted Accounting Practice.

**Information Provided**

- xiii. We have provided you with:
  - a. access to all information of which we are aware that is relevant to the preparation of the financial statements such as records, documentation and other matters;
  - b. additional information that you have requested from us for the purpose of your audit; and
  - c. unrestricted access to persons from whom you determine it necessary to obtain audit evidence.
- xiv. We have communicated to you all deficiencies in internal control of which we are aware.
- xv. We have disclosed to you the results of our assessment of the risk that the financial statements may be materially misstated as a result of fraud.
- xvi. All transactions have been recorded in the accounting records and are reflected in the financial statements.
- xvii. We have disclosed to you our knowledge of fraud or suspected fraud affecting the charity involving:
  - a. management;
  - b. employees who have significant roles in internal control; or
  - c. others where the fraud could have a material effect on the financial statements.
- xviii. We have disclosed to you our knowledge of any allegations of fraud, or suspected fraud, affecting the charity's financial statements communicated by employees, former employees, analysts, regulators or others.
- xix. We have disclosed to you all known instances of non-compliance or suspected non-compliance with laws and regulations whose effects should be considered when preparing financial statements.
- xx. We have disclosed to you the identity of the charity's related parties and all the related party relationships and transactions of which we are aware.
- xxi. We have disclosed to you all known actual or possible litigation and claims whose effects should be considered when preparing the financial statements.



xxii. We confirm that we have reviewed all correspondence with regulators, which has also been made available to you. We also confirm that no serious incident reports have been submitted to the Charity Commission, nor any events considered for submission, during the year or in the period to the date of signing of the balance sheet.

Yours faithfully

Name.....

Position.....

Date.....

Signed on behalf Sandwell & West Birmingham Hospitals NHS Trust, the Trustee

Independent auditor's report to the corporate trustee of Sandwell & West Birmingham Hospitals NHS Trust Charities

Opinion

We have audited the financial statements of Sandwell & West Birmingham Hospitals NHS Trust Charities (the ‘charity’) for the year ended 31 March 2020, which comprise the primary statements such as the Statement of Financial Activities, the Balance Sheet and notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102; ‘The Financial Reporting Standard applicable in the UK and Republic of Ireland’ (United Kingdom Generally Accepted Accounting Practice).

- In our opinion, the financial statements:
- give a true and fair view of the state of the charity's affairs as at 31 March 2020 and of its incoming resources and application of resources for the year then ended;
  - have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
  - have been prepared in accordance with the requirements of the Charities Act 2011.

Basis for opinion

We have been appointed as auditor under section 149 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act. We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the ‘Auditor’s responsibilities for the audit of the financial statements’ section of our report. We are independent of the charity in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC’s Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

The impact of macro-economic uncertainties on our audit

Our audit of the financial statements requires us to obtain an understanding of all relevant uncertainties, including those arising as a consequence of the effects of macro-economic uncertainties such as Covid-19 and Brexit. All audits assess and challenge the reasonableness of estimates made by the trustees and the related disclosures and the appropriateness of the going concern basis of preparation of the financial statements. All of these depend on assessments of the future economic environment and the charity’s future prospects and performance.

Covid-19 and Brexit are amongst the most significant economic events currently faced by the UK, and at the date of this report their effects are subject to unprecedented levels of uncertainty, with the full range of possible outcomes and their impacts unknown. We applied a standardised firm-wide approach in response to these uncertainties when assessing the charity’s future prospects and performance. However, no audit should be expected to predict the unknowable factors or all possible future implications for a charity associated with these particular events.

Conclusions relating to going concern

- We have nothing to report in respect of the following matters in relation to which the ISAs (UK) require us to report to you where:
- the corporate trustee’s use of the going concern basis of accounting in the preparation of the financial statements is not appropriate; or
  - the corporate trustee has not disclosed in the financial statements any identified material uncertainties that may cast significant doubt about the charity’s ability to continue to adopt the going concern basis of accounting for a period of at least twelve months from the date when the financial statements are authorised for issue.

In our evaluation of the corporate trustee’s conclusion, we considered the risks associated with the charity’s business, including effects arising from macro-economic uncertainties such as Covid-19 and Brexit. We analysed how those risks might affect the charity’s financial resources or ability to continue operations over the period of at least twelve months from the date when the financial statements are authorised for issue. In accordance with the above, we have nothing to report in these respects.

However, as we cannot predict all future events or conditions and as subsequent events may result in outcomes that are inconsistent with judgements that were reasonable at the time they were made, the absence of reference to a material uncertainty in this auditor’s report is not a guarantee that the charity will continue in operation.

Other information

The corporate trustee is responsible for the other information. The other information comprises the information included in the Trustees’ Annual Report, set out on pages 1 to 35 other than the financial statements and our auditor’s report thereon. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon. In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially



inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters where the Charities Act 2011 requires us to report to you if, in our opinion:

- the information given in the Trustees’ Annual Report is inconsistent in any material respect with the financial statements; or
- the charity has not kept sufficient accounting records; or
- the financial statements are not in agreement with the accounting records and returns; or
- we have not received all the information and explanations we require for our audit.

Responsibilities of the corporate trustee for the financial statements

As explained more fully in the Trustee’s Responsibilities Statement set out on page 35, the corporate trustee is responsible for the preparation of the financial statements which give a true and fair view, and for such internal control as the trustee determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the corporate trustee is responsible for assessing the charity’s ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the corporate trustee either intends to liquidate the charity or to cease operations, or has no realistic alternative but to do so.

Auditor’s responsibilities for the audit of the financial statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor’s report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists.

Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council’s website at: [www.frc.org.uk/auditorsresponsibilities](http://www.frc.org.uk/auditorsresponsibilities). This description forms part of our auditor’s report.

Use of our report

This report is made solely to the charity’s corporate trustee, as a body, in accordance with Section 154 of the Charities Act 2011. Our audit work has been undertaken so that we might state to the charity’s corporate trustee those matters we are required to state to them in an auditor’s report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and its corporate trustee as a body, for our audit work, for this report, or for the opinions we have formed.

[\*\*Signature\*\*]


Grant Thornton UK LLP  
Statutory Auditor, Chartered Accountants  
Birmingham

[\*\*Date\*\*]

Grant Thornton UK LLP is eligible to act as an auditor in terms of section 1212 of the Companies Act 2006.

Appendix 3

SANDWELL AND WEST BIRMINGHAM HOSPITALS NHS TRUST CHARITIES  
ANNUAL ACCOUNTS FOR THE YEAR ENDED 31 MARCH 2020

 CHARITY COMMISSION FOR ENGLAND AND WALES	SANDWELL & WEST BIRMINGHAM HOSPITALS NHS		Charity Number	1056127	
	Annual accounts for the period				
	Period start date	01/04/2019	To	Period end date	

Section A Statement of financial activities

Recommended categories by activity		Note	Guidance Notes	Unrestricted Funds £000 F01	Restricted Income Funds £000 F02	Endowment Funds £000 F03	Total Funds £000 F04	Prior Year Funds £000 F05
<b>Incoming resources (Note 2)</b>								
<b>Income and endowments from:</b>								
Donations and legacies	2	S01		139	46	-	185	526
Charitable activities	2	S02		24	437	-	461	156
Other trading activities	2	S03		49	49	-	98	94
Investments	2	S04		239	-	-	239	372
Separate material item of income		S05		-	-	-	-	-
Other		S06		-	-	-	-	5
<b>Total</b>		S07		451	532	-	983	1,153
<b>Resources expended (Note 5)</b>								
<b>Expenditure on:</b>								
Raising funds	5	S08		222	64	-	286	281
Charitable activities	5	S09		299	365	-	664	1,053
Separate material item of expense	5	S10		-	-	-	-	-
Other	5	S11		-	-	-	-	-
<b>Total</b>		S12		521	429	-	950	1,334
<b>Net income/(expenditure) before investment gains/(losses)</b>								
Net gains/(losses) on investments		S13		(70)	103	-	33	(181)
<b>Net income/(expenditure)</b>		S14		(159)	(168)	-	(327)	99
<b>Extraordinary items</b>		S15		(229)	(65)	-	(294)	(82)
<b>Transfers between funds</b>		S16		-	-	-	-	-
<b>Other recognised gains/(losses):</b>		S17		(123)	123	-	-	-
Gains and losses on revaluation of fixed assets for the charity's own use		S18		-	-	-	-	-
Other gains/(losses)		S19		-	-	-	-	-
<b>Net movement in funds *</b>		S20		(352)	58	-	(294)	(82)
<b>Reconciliation of funds:</b>								
Total funds brought forward		S21		2,844	2,561	-	5,405	5,488
<b>Total funds carried forward</b>		S22		2,492	2,619	-	5,111	5,405

\* During 2019/20 the Charity made a deficit which was reflective of planned investment in raising activities

## Section B Balance sheet

	Guidance Notes	Unrestricted Funds	Restricted Income Funds	Endowment Funds	Total This Year	Total Last Year
		£000 F01	£000 F02	£000 F03	£000 F04	£000 F05
<b>Fixed assets</b>						
Intangible assets (Note 14)	B01	-	-	-	-	-
Tangible assets (Note 13)	B02	-	-	-	-	-
Heritage assets (Note 15)	B03	-	-	-	-	-
Investments (Note 16)	B04	1,732	1,820	-	3,552	3,627
<b>Total fixed assets</b>	B05	1,732	1,820	-	3,552	3,627
<b>Current assets</b>						
Stocks (Note 17)	B06	-	-	-	-	-
Debtors (Note 18)	B07	59	7	-	66	15
Investments (Note 16.4)	B08	49	51	-	100	2,019
Cash at bank and in hand (Note 23)	B09	1,322	1,445	-	2,767	740
<b>Total current assets</b>	B10	1,430	1,503	-	2,933	2,774
<b>Creditors: amounts falling due within one year</b> (Note 19)	B11	670	704	-	1,374	996
<b>Net current assets/(liabilities)</b>	B12	760	799	-	1,559	1,778
<b>Total assets less current liabilities</b>	B13	2,492	2,619	-	5,111	5,405
<b>Creditors: amounts falling due after one year</b> (Note 19)	B14	-	-	-	-	-
<b>Provisions for liabilities</b>	B15	-	-	-	-	-
<b>Total net assets or liabilities</b>	B16	2,492	2,619	-	5,111	5,405
<b>Funds of the Charity</b>						
Endowment funds (Note 26)	B17	-	-	-	-	-
Restricted income funds (Note 26)	B18	-	2,619	-	2,619	2,561
Unrestricted funds	B19	2,492	-	-	2,492	2,844
Revaluation reserve	B20	-	-	-	-	-
<b>Total funds</b>	B21	2,492	2,619	-	5,111	5,405
Signed by one or two trustees on behalf of all the trustees		Signature	Print Name	Date of approval dd/mm/yyyy		

## CHARITABLE TRUST ACCOUNT - SANDWELL & WEST BIRMINGHAM HOSPITALS NHS TRUST CHARITIES- 2019/2020

### Notes on the accounts

#### 1. Accounting Policies

##### (a) Basis of preparation

The Charity is a public benefit entity. The financial statements have been prepared under the historic cost convention, with the exception of investments which are included at fair value.

The accounts (financial statements) have been prepared in accordance with the Statement of Recommended Practice: Accounting and Reporting by Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued on 16 July 2014 and the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102) and the Charities Act 2011 and UK Generally Accepted Practice as it applies from 1 January 2015.

The trustees consider that there are no material uncertainties about the SWBH Charities' ability to continue as a going concern for at least 12 months from the date that the accounts are to be signed. There are no material uncertainties affecting the current year's accounts.

In future years, the key risks to the SWBH Charities are a fall in income from donations or investment income but the trustees have arrangements in place to mitigate those risks (see the risk management and reserves sections of the annual report for more information).

##### (b) Funds structure

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified as restricted.

Restricted funds are those where the donor has provided for the donation to be spent in furtherance of a specified charitable purpose. SWBH Charities' restricted funds tend to result from appeals for specified purposes.

Those funds which are not restricted income funds, are classed as unrestricted income funds which are sub analysed between designated (earmarked) funds where the trustees have set aside amounts to be used for specific purposes or which reflect the non-binding wishes of donors and unrestricted funds which are at the trustees' discretion, including the general fund which represents the charity's reserves. The major funds held in each of these categories are disclosed in note 26.1

##### (c) Incoming resources

All incoming resources are recognised once the charity has entitlement to the resources, it is probable (more likely than not) that the resources will be received and the monetary value of incoming resources can be measured with sufficient reliability.

Where there are terms or conditions attached to incoming resources, particularly grants, then these terms or conditions must be met before the income is recognised as the entitlement condition will not be satisfied until that point. Where terms or conditions have not been met or uncertainty exists as to whether they can be met then the relevant income is not recognised in the year but deferred and shown on the balance sheet as deferred income.

##### (d) Incoming resources from legacies

Legacies are accounted for as incoming resources either upon receipt or where the receipt of the legacy is probable.

Receipt is probable when:

- Confirmation has been received from the representatives of the estate(s) that probate has been granted
- The executors have established that there are sufficient assets in the estate to pay the legacy and
- All conditions attached to the legacy have been fulfilled or are within the charity's control.

If there is uncertainty as to the amount of the legacy and it cannot be reliably estimated then the legacy is shown as a contingent asset until all of the conditions for income recognition are met.

##### (e) Resources expended and irrecoverable VAT

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to each category of expense shown in the Statement of Financial Activities. Expenditure is recognised when the following criteria are met:

- There is a present legal or constructive obligation resulting from a past event
- It is more likely than not that a transfer of benefits (usually a cash payment) will be required in settlement
- The amount of the obligation can be measured or estimated reliably.

Irrecoverable VAT is charged against the category of resources expended for which it was incurred.



(f) Recognition of expenditure and associated liabilities as a result of grant

Grants payable are payments made to linked, related party or third party NHS bodies and non NHS bodies, in furtherance of the charitable objectives of the funds held on trust, primarily relief of those who are sick.

Grant payments are recognised as expenditure when the conditions for their payment have been met or where there is a constructive obligation to make a payment.

A constructive obligation arises when:

- We have communicated our intention to award a grant to a recipient who then has a reasonable expectation that they will receive a grant
- We have made a public announcement about a commitment which is specific enough for the recipient to have a reasonable expectation that they will receive a grant
- There is an established pattern of practice which indicates to the recipient that we will honour our commitment.

The trustees have control over the amount and timing of grant payments and consequently where approval has been given by the trustees and any of the above criteria have been met then a liability is recognised. Grants are not usually awarded with conditions attached. However, when they are then those conditions have to be met before the liability is recognised.

Where an intention has not been communicated, then no expenditure is recognised but an appropriate designation is made in the appropriate fund. If a grant has been offered but there is uncertainty as to whether it will be accepted or whether conditions will be met then no liability is recognised but a contingent liability is disclosed.

(g) Allocation of support costs

Support costs are those costs which do not relate directly to a single activity. These include some staff costs, costs of administration, internal and external

(h) Fundraising costs

The costs of generating funds are those costs attributable to generating income for the charity, other than those costs incurred in undertaking charitable

(i) Charitable activities

Costs of charitable activities comprise all costs incurred in the pursuit of the charitable objects of the charity. These costs, where not wholly attributable, are

(j) Fixed asset investments

Investments are a form of basic financial instrument. Fixed asset investments are initially recognised at their transaction value and are subsequently measured at their fair value (market value) as at the balance sheet date. The Statement of Financial Activities includes the net gains and losses arising on revaluation and disposals throughout the year. Quoted stocks and shares are included in the Balance Sheet at the current market value quoted by the investment analyst, excluding dividend. Other investments are included at the trustees' best estimate of market value.

The main form of financial risk faced by the charity is that of volatility in equity markets and investment markets due to wider economic conditions, the attitude of investors to investment risk, and changes in sentiment concerning equities and within particular sectors or sub sectors. Further information on the SWBH Charities investments can be found in note 16.

(k) Debtors

Debtors are amounts owed to the charity. They are measured on the basis of their recoverable amount.

(l) Cash and cash equivalents

Cash at bank and in hand is held to meet the day to day running costs of the charity as they fall due. Cash equivalents are short term, highly liquid

(m) Creditors

Creditors are amounts owed by the charity. They are measured at the amount that the charity expects to have to pay to settle the debt. Amounts which are owed in more than a year are shown as long term creditors.

(n) Realised gains and losses

All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening carrying value (purchase date if later). Unrealised gains and losses are calculated as the difference between the market value at the year end and opening carrying value (or purchase date if later).

(o) Key Accounting Judgements and estimation of uncertainty

There are no significant judgements that management has made in the process of applying the Charity's accounting policies. There are no key assumptions concerning the future, nor other key sources of estimation uncertainty which have a significant risk of causing a material adjustment to the carrying amounts of assets and liabilities within the next reporting period .

Section C Notes to the accounts (cont)

Note 2 Analysis of income

	Unrestricted funds £000	Restricted income funds £000	Endowment funds £000	Total funds £000	Prior year £000
Analysis					
Donations and legacies:					
Individual Donations	58	15	-	73	91
Corporate Donations	17	29	-	46	13
Legacies	46	-	-	46	408
Fundraising Event - External	15	2	-	17	12
Gift Aid	3	-	-	3	2
Total	139	46	-	185	526

Charitable Activities:					
Collections	19	-	-	19	17
Grant for the Provision of Goods and Services	5	411	-	416	80
Grant for the Core Funding or General in Nature	-	26	-	26	59
Total	24	437	-	461	156

Other Trading Activities:					
Sale of Merchandise	-	-	-	-	-
Fundraising Event- Internal	39	10	-	49	28
Lotteries	4	-	-	4	-
Course Fees	2	14	-	16	15
General Grants	-	-	-	-	-
Sponsorships	4	25	-	29	50
Total	49	49	-	98	93

Income from Investments					
Interest Received	41	-	-	41	21
Dividends	74	-	-	74	102
Conversion of Endowment Funds into Income	-	-	-	-	-
Gain on disposal of a tangible fixed asset held for charity's own use	-	-	-	-	-
Gain on Disposal of a Programme Related Investment	-	-	-	-	-
Other Income Not Listed	-	-	-	-	114
Royalties from the Exploitation of Intellectual Property Rights	123	-	-	123	135
Total	239	-	-	239	372

Separate Material of Income					
	-	-	-	-	-
Total	-	-	-	-	-

Other:					
Other	-		-	-	5
Total	-	-	-	-	5

450	532	-	983	827
-----	-----	---	-----	-----

Note 3 Analysis of receipts of government grants

No Grants have been received by the Charity from government bodies.

Note 4 Donated goods, facilities and services

There are no donated goods, facilities or services

Section C

Notes to the accounts

(cont)

Note 5

Analysis of expenditure

	Analysis	Unrestricted funds £000	Restricted income funds £000	Endowment funds £000	Total funds £000	Prior year £000
Expenditure on raising funds:	Incurred seeking donations	2	0	-	2	13
	Incurred seeking legacies			-	-	-
	Incurred seeking grants			-	-	-
	Operating membership schemes and social lotteries			-	-	-
	Staging fundraising events	12	19	-	31	4
	Fundraising agents	193	45	-	238	250
	Operating charity shops			-	-	-
	Operating a trading company undertaking non-charitable trading activity			-	-	-
	Advertising, marketing, direct mail and publicity			-	-	-
	Start up costs incurred in generating new source of future income			-	-	-
	Database development costs			-	-	-
	Other trading activities			-	-	-
	Investment management costs:	15		-	15	14
	Portfolio management costs			-	-	-
	Cost of obtaining investment advice			-	-	-
	Investment administration costs			-	-	-
	Intellectual property licencing costs			-	-	-
	Rent collection, property repairs and maintenance charges			-	-	-
				-	-	-
	Total expenditure on raising funds	222	64	-	286	281
Expenditure on charitable activities *	Charitable Activities					
		299	365	-	664	1,053
	Total expenditure on charitable activities	299	365	-	664	1,053
Separate material item of expense		-	-	-	-	-
		-	-	-	-	-
	Total	-	-	-	-	-
Other	Salary recharges	-	-	-	-	-
	Audit	-	-	-	-	-
	support costs	-	-	-	-	-
	bank & Investment charges	-	-	-	-	-
	Other	-	-	-	-	-
	Total other expenditure	-	-	-	-	-
TOTAL EXPENDITURE		521	429	-	950	1,334

Other information:

\* Analysis of expenditure on charitable activities

Activity or programme	Activities undertaken directly £000	Grant funding of activities £000	Support Costs £000	Total this year £000	Total prior year £000
Community Resilience	231	-	18	249	300
Education and Development	90	-	7	97	97
Infrastructure and Equipment	125	-	10	135	219
Research & Innovation	171	-	13	184	437
Total	617	-	48	665	1,053

Section C

Notes to the accounts

(cont)

Note 6

Extraordinary items

The Charity has no extraordinary items.

Note 7

Funds received as agent

The Charity has no fund received as an agent.



Section CNotes to the accounts(cont)

Note 8Support Costs

Please complete this note if the charity has analysed its expenses using activity categories and has support costs.

Support cost (examples)	Community Resilience £000	Education and Development £000	Fundraising cost £000	Infrastructure and equipment £000	Other £000	Research & Innovation £000	Grand total £
	-	-	193	-	-	-	193
Salary Recharges- Fundraising Cost	133	-	-	-	-	126	259
Salary Recharges							
Audit	-	-	-		7	-	7
Support cost	16	6	-	8	-	12	42
Bank & Investment Charge	-	-	-	-	1	-	1
Total	149	6	193	8	8	138	502

Support costs have been apportioned based on total theme expenditure.

Section CNotes to the accounts

Note 9Details of certain items of expenditure

9.1 Fees for examination of the accounts

Audit fees

The audit fee for the year was £5,550 plus VAT.

This year £000	Last year £000
7	7

Section CNotes to the accounts(cont)

Note 10Paid employees

The Charity has no paid employees of the Charity

Note 11Defined contribution pension scheme or defined benefit scheme accounted

The Charity has no direct employees

Note 12Grantmaking

The Charity has no grants paid by the Charity

Note 13Tangible fixed assets

The Charity has no Tangible fixed assets

Note 14Intangible assets

The Charity has no intangible assets

Note 15Heritage assets

The Charity has no heritage assets

Section CNotes to the accounts(cont)

Note 16Investment assets

16.1 Fixed assets investments (please provide for each class of investment)

	Cash & cash equivalents	Listed investments	Investment properties	Social investments	Other	Total
	£000	£000	£000	£000	£000	£000
Carrying (fair) value at beginning of period	267	3,626	-	-	-	3,893
Add: additions to investments during period*	-	1,403	-	-	-	1,403
Less: disposals at carrying value	(167)	(1,150)	-	-	-	(1,317)
Less: impairments	-	-	-	-	-	-
Add: Reversal of impairments	-	-	-	-	-	-
Add/(deduct): transfer in/(out) in the period	-	-	-	-	-	-
Add/(deduct): net gain/(loss) on revaluation	-	(327)	-	-	-	(327)
Carrying (fair) value at end of year	100	3,552	-	-	-	3,652

\*Please specify additions resulting from acquisitions through business combinations, if any.

Please note that Fair Value in this context is the amount for which an asset could be exchanged between knowledgeable and willing parties in an arm's length transaction. For traded securities, the fair value is the value of the security quoted on the London Stock Exchange Daily Official List or equivalent. For other assets where there is no market price on a traded market, it is the trustees' or valuers' best estimate of fair value.

16.2 Please provide a breakdown of investments shown above agreeing with the balance sheet row B04 differentiating between those held at fair value and those held at cost less impairment.

Analysis of investments

Cash or cash equivalents

Equities

Bonds

Alternative assets

Other investments

Total

Grand total (Fair value at year end+Cost less impairment)

Fair value at year end	Cost less impairment
£000	£000
100	-
1,781	-
762	-
755	-
253	-
3,652	-

16.3 If your charity holds investment properties, please complete the following note:

The Charity has no investment properties.

16.4 Please provide a breakdown of current asset investments, if applicable, agreeing with the balance sheet.

Analysis of current asset investments

Cash or cash equivalents

Treasury Deposit Account

100 day notice account

Social investments

Other investments

Total

This year	Last year
£000	£000
-	-
-	-
-	-
-	-
0	2,019
0	2,019

Note 17Stocks

The Charity has no stocks.



Section C Notes to the accounts (cont)

**Note 18 Debtors and prepayments**  
*Please complete this note if the charity has any debtors or prepayments.*

18.1 Analysis of debtors

Trade debtors  
Prepayments and accrued income  
Other debtors

	This year £000	Last year £000
Trade debtors	-	-
Prepayments and accrued income	66	15
Other debtors		-
Total	66	15

*Please complete 18.2 where a material debtor is recoverable more than a year after the reporting date.*

18.2 Analysis of debtors recoverable in more than 1 year (included in debtors above)

Trade debtors  
Prepayments and accrued income  
Other debtors

	This year £000	Last year £000
Trade debtors	-	-
Prepayments and accrued income	-	-
Other debtors	-	-
Total	-	-

Section C Notes to the accounts (cont)

**Note 19 Creditors and accruals**  
*Please complete this note if the charity has any creditors or accruals.*

19.1 Analysis of creditors

Accruals for grants payable  
Bank loans and overdrafts  
Trade creditors  
Payments received on account for contracts or performance-related grants  
Accruals and deferred income  
Taxation and social security  
Other creditors

Amounts falling due within one year		Amounts falling due after more than one year	
This year £000	Last year £000	This year £000	Last year £000
-	-	-	-
-	-	-	-
1,260	864	-	-
-	-	-	-
114	132	-	-
-	-	-	-
-	-	-	-
Total	1,374	996	-

Note 19.1 Creditors for last year (2018/19) now split between Accruals and Trade Creditors

**19.2 Deferred income**  
The Charity has no deferred income.

Section C

Notes to the accounts

(cont)

Note 20 Provisions for liabilities and charges

There are no provisions for liabilities and charges

Note 21 Other disclosures for debtors, creditors and other basic financial instruments

There are no other disclosures for debtors, creditors and other basic financial instruments

Note 22 Contingent liabilities and contingent assets

There are no contingent liabilities or contingent assets

Note 23 Cash at bank and in hand

Short term cash investments (less than 3 months maturity date)  
Short term deposits  
Cash at bank and on hand  
Other  
Total

This year £000	Last year £000
-	-
-	-
2,767	740
-	-
2,767	740

Section C

Notes to the accounts

(cont)

Note 24 Fair value of assets and liabilities

24.1 Please provide details of the charity's exposure to credit risk (the risk of incurring a loss due to a debtor not paying what is owed) , liquidity risk (the risk of not being able to meet short term financial demands) and market risk (the risk that the value of an investment will fall due to changes in the market) arising from financial instruments to which the charity is exposed at the end of the reporting period and explain how the charity manages those risks.

As Debtors are immaterial, the charity is not exposed to Credit risk.

24.2 Please give details of the amount of change in the fair value of basic financial instruments (debtors, creditors, investments (see section 11, FRS 102 SORP)) measured at fair value through the SoFA that is attributable to changes in credit risk.

There have been no changes in fair value due to credit risk.



Section C

Notes to the accounts

(cont)

Note 25

Events after the end of the reporting period

Please complete this note events (not requiring adjustment to the accounts) have occurred after the end of the reporting period but before the accounts are authorised which relate to conditions that arose after the end of the reporting period.

Please provide details of the nature of the event

No events have occurred after the reporting date which require reporting.

Provide an estimate of the financial effect of the event or a statement that such an estimate cannot be made

No estimate is required as there have been no events that require reporting.

Section C

Notes to the accounts

(cont)

Note 26

Charity funds

26.1 Details of material funds held and movements during the CURRENT reporting period

\* Key: PE - permanent endowment funds; EE - expendable endowment funds; R - restricted income funds, including special trusts, of the charity; and UR - unrestricted funds

Fund names	Type PE, EE R or UR *	Purpose and Restrictions	Fund balances brought forward £000	Income £000	Expenditure £000	Transfers £000	Gains and losses £000	Fund balances carried forward £000
PHARMACY	R	PHARMACY ROBOT- MMH	127	-	-	-	-	127
ACADEMIC CARDIOLOGY RESEARCH	R	CARDIOLOGY RESEARCH	137	-	(65)	-	-	72
MMH - LEUKAEMIA RESEARCH	R	RESEARCH POSTS UHB	145	-	-	(17)	-	128
UROLOGY FUND	UR	GENERAL	147	-	-	-	-	147
AMALGAMATED ONCOLOGY FUND- HISTORICAL	UR	GENERAL	203	-	(51)	-	-	152
YOUR TRUST CHARITY	UR	GENERAL	205	-	(1)	(175)	-	29
OPHTHALMIC/BMEC RESEARCH AND TRAINING FUND	UR	GENERAL	232	70	(73)	-	-	229
CANCER DEVELOPMENT	R	CANCER RESEARCH	237	-	-	-	-	237
CHILDREN'S THERAPIES TRUST FUND	UR	GENERAL	385	123	(76)	-	-	432
THE OAKLEY THEATRE EQUIPMENT FUND	R	THEATRE EQUIPMENT	505	-	(1)	-	-	504
OTHER FUNDS	R	N/A	1,409	533	(363)	140	(168)	1,551
OTHER FUNDS	UR	N/A	1,673	257	(320)	52	(159)	1,503
Total Funds			5,405	983	(950)	-	(327)	5,111

Note 26 Charity funds (cont)

26.2 Details of material funds held and movements during the PREVIOUS reporting period

\* Key: PE - permanent endowment funds; EE - expendable endowment funds; R - restricted income funds, including special trusts, of the charity; and U - unrestricted funds

Fund names	Type PE, EE R or UR *	Purpose and Restrictions	Fund balances brought forward £000	Income £000	Expenditure £000	Transfers £000	Gains and losses £000	Fund balances carried forward £000
G007SOB	R	ALCOHOL UNIT AND SOBRIETY	122	(1)	(121)	1	-	1
G008VOL	R	VOLUNTEERS	128	-	(59)	-	-	69
MMH - LEUKAEMIA RESEARCH	R	RESEARCH POSTS UHB	145	(1)	-	-	-	144
UROLOGY FUND	UR	GENERAL	149	-	(2)	-	-	147
CHILDREN'S THERAPIES TRUST	UR	GENERAL	177	248	(40)	-	-	385
FUND	UR	GENERAL	212	105	(210)	-	-	107
YOUR TRUST CHARITY	UR	CANCER RESEARCH	237	-	-	-	-	237
CANCER DEVELOPMENT	R	THEATRE EQUIPMENT	288	300	(83)	-	-	505
THE OAKLEY THEATRE	R	CARDIOLOGY RESEARCH	297	46	(206)	-	-	137
EQUIPMENT FUND	R							
ACADEMIC CARDIOLOGY								
RESEARCH								
OPHTHALMIC/BMEC								
RESEARCH AND TRAINING								
FUND	UR	GENERAL	319	(87)	(1)	-	-	231
OTHER FUNDS	R	N/A	1,467			-		1,467
OTHER FUNDS	UR	N/A	1,947	542	(612)	(1)	99	1,975
Total Funds			5,488	1,152	(1,334)	-	99	5,405

Section C Notes to the accounts (cont)		
Note 26 Charity funds (cont)		
26.3 Transfers between funds		
		Amount £ '000s
Between unrestricted and restricted funds	Simplification of the Charity structure was continued this year in order to report to the Charitable Funds Committee. This has resulted in a net transfer between restricted and unrestricted funds.	123
Between endowment and restricted funds	No endowment funds held	-
Between endowment and unrestricted funds	No endowment funds held	-

26.4 Designated funds  
All unrestricted funds are considered to be designated with the exception of:  
HOSPITAL GENERAL FUND 0012  
TRUST CHARITY 2111

Of the Charity's Designated Funds, the following Material Fund balances are detailed below:-

Fund	Balance £	Purpose	Spend Plan
		Staff Training/Education- identify and purchase new equipment for the department that will further enhance patient care	
0045- Urology Fund	147,133.73		Fundraising team are liaising with Fund managers to establish a spend plan for 2019/20
		Staff Training/Education and Provision of In-reach and Out-reach Counselling, Psychotherapy and Dietician Services for SWBH Oncology & Cancer Patients	
0082-Amalgamated Oncology Fund - Historical	151,872.38		Minimum 40% spend plan in year
		Enhance lives of children with additional needs, with support of specialist equipment/resources in the community.	
2100 - Children Therapies	431,417.93		Minimum 40% spend plan in year



Section C	Notes to the accounts	(cont)
Note 27	Transactions with trustees and related parties	
<i>If the charity has any transactions with related parties (other than the trustee expenses explained in guidance notes) details of such transactions should be provided in this note. If there are no transactions to report, please enter "True" in the box or "False" if there are transactions to report.</i>		
27.1 Trustee remuneration and benefits		
None of the trustees have been paid any remuneration or received any other benefits from an employment with their charity or a related entity (True or False)		TRUE
27.2 Trustees' expenses		
<i>If the charity has paid trustees expenses for fulfilling their duties, details of such transactions should be provided in this note. If there are no transactions to report, please enter "True" in the box below. If there are transactions to report, please enter "False".</i>		
No trustee expenses have been incurred (True or False)		TRUE
27.3 Transaction(s) with related parties		
<i>Please give details of any transaction undertaken by (or on behalf of) the charity in which a related party has a material interest, including where funds have been held as agent for related parties. If there are no such transactions, please enter 'true' in the box provided.</i>		
There have been no related party transactions in the reporting period (True or False)		FALSE

Sandwell and West Birmingham Hospitals NHS Trust is considered to be a related party. The Sandwell and West Birmingham Hospitals NHS Trust (members of the Corporate Trustee are also members of the Trust Board) had a turnover in 2019/20 of £544,033,423 (£655,374,121 - 2018/19 ) with a reported deficit of £8,253,137 (surplus £158,064,168 - 2018/19)

The Charitable funds reimbursed Sandwell and West Birmingham Hospitals NHS Trust £451,496.58 (£634,181.83 2018/19) for the costs of staff employed by the Trust but working on behalf of the Charities and for the purposes of the Charities.

The Charitable funds has an amount owing to Sandwell and West Birmingham Hospitals NHS Trust of £1,259,739 at 31 March 2020 (£863,635 at 31 March 2019).

28.1 Volunteers

Your Trust Charity is very proud to be supported by a number of volunteer roles that are essential to the functioning of the team:

- Fund Managers – we have over 200 charitable funds managed by SWBH staff who are volunteering their time as fund managers. They have delegated authority from the Trustees and manage how the charity’s designated funds should be spent.

- Staff Fundraisers – Members of staff from various wards, services or departments are involved in fundraising activities both onsite at SWBH and offsite i.e. challenge events

- External Fundraisers – we have a small but growing number of fundraisers who participate in external challenge events to raise money for us – often through online fundraising pages i.e. Justgiving and Virgin Money Giving

- Charity Volunteer Resource – we have future plans to develop a charity specific volunteer resource as part of our 5 year fundraising strategy

Section C	Notes to the accounts	(cont)
Note 29	Additional Disclosures	
The following are significant matters which are not covered in other notes and need to be included to provide a proper understanding of the accounts. If there is insufficient room here, please add a separate sheet.		
There are no significant matters for the Charity to disclose that are not covered in others notes in these accounts.		

## **Sandwell and West Birmingham Hospitals NHS Trust**

Sandwell General Hospital  
Lyndon  
West Bromwich  
West Midlands  
B71 4HJ  
Tel: 0121 553 1831

Birmingham City Hospital  
Dudley Road  
Birmingham  
West Midlands  
B18 7QH  
Tel: 0121 554 3801

Birmingham Treatment Centre  
Dudley Road  
Birmingham  
West Midlands  
B18 7QH  
Tel: 0121 507 6180

Leasowes Intermediate Care Centre  
Oldbury Rd  
Smethwick  
B66 1JE  
Tel: 0121 612 3444

Rowley Regis Hospital  
Moor Lane  
Rowley Regis  
West Midlands  
B65 8DA  
Tel: 0121 507 6300

[www.swbh.nhs.uk](http://www.swbh.nhs.uk)

