

<b>REPORT TITLE:</b>	Month 1 Finance Report		
<b>SPONSORING EXECUTIVE:</b>	Dinah McLannahan – Chief Finance Officer		
<b>REPORT AUTHOR:</b>	Paul Stanaway – Associate Director of Finance, Craig Higgins – Associate Director of Finance, Simon Sheppard – Director of Operational Finance		
<b>MEETING:</b>	Public Trust Board	<b>DATE:</b>	8 June 2022

<b>1. Suggested discussion points</b> <i>[two or three issues you consider the Trust Board should focus on in discussion]</i>
<p>As the Trust Board is aware the Board approved a financial plan for 2022/23 reflecting a £31m deficit. Following further discussions with the Integrated Care System (ICS) the system plan for the Trust is a £12.2m deficit, the differences being £7.432m of income expected from the ICS as part of the overall system allocation redistribution, and an £11.314m stretch – currently identified as additional income in the plan submission.</p> <p>Month 1 financial performance is a £20k adverse position to the internal plan and £963k adverse to the ICS plan, as a result of additional income to reach the target deficit not yet identified.</p> <p>This paper also identifies the key risks to the plan, the mitigations to reduce/eliminate the risk and the governance to provide ongoing management and monitoring.</p>

<b>2. Alignment to our Vision</b> <i>[indicate with an 'X' which Strategic Objective[s] this paper supports]</i>										
<table border="1"> <thead> <tr> <th>OUR PATIENTS</th> <th></th> <th>OUR PEOPLE</th> <th></th> <th>OUR POPULATION</th> </tr> </thead> <tbody> <tr> <td>To be good or outstanding in everything that we do</td> <td>X</td> <td>To cultivate and sustain happy, productive and engaged staff</td> <td>X</td> <td>To work seamlessly with our partners to improve lives</td> </tr> </tbody> </table>	OUR PATIENTS		OUR PEOPLE		OUR POPULATION	To be good or outstanding in everything that we do	X	To cultivate and sustain happy, productive and engaged staff	X	To work seamlessly with our partners to improve lives
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<b>3. Previous consideration</b> <i>[at which meeting[s] has this paper/matter been previously discussed?]</i>
Clinical Leadership Executive; Finance, Investments & Performance Committee

<b>4. Recommendation(s)</b>
The Public Trust Board is asked to:
<b>a. NOTE</b> Month 1 performance
<b>b. DISCUSS</b> the risks and mitigations

<b>5. Impact</b> <i>[indicate with an 'X' which governance initiatives this matter relates to and, where shown, elaborate in the paper]</i>						
Board Assurance Framework Risk 01		Deliver safe, high-quality care.				
Board Assurance Framework Risk 02	X	Make best strategic use of its resources				
Board Assurance Framework Risk 03	X	Deliver the MMUH benefits case				
Board Assurance Framework Risk 04	X	Recruit, retain, train, and develop an engaged and effective workforce				
Board Assurance Framework Risk 05	X	Deliver on its ambitions as an integrated care organisation				
Corporate Risk Register [Safeguard Risk Nos]						
Equality Impact Assessment	Is this required?	Y		N	X	If 'Y' date completed
Quality Impact Assessment	Is this required?	Y		N	X	If 'Y' date completed

# SANDWELL AND WEST BIRMINGHAM NHS TRUST

## Report to the Public Trust Board on 8 June 2022

### Month 1 Finance Report

#### 1. INTRODUCTION

- 1.1 This report will look at Month 1 performance against the Trust Board agreed financial plan of £30.956m deficit and the ICS agreed deficit plan of £12.21m.
- 1.2 The difference of £18.746m is split between £7.432m of income from the ICS as part of the overall system allocation redistribution and £11.314m of stretch, currently identified within the plans as additional income.
- 1.3 The report will update on Month 1 (April 2022) performance and outline the main risks to the Financial Plan and associated mitigations.

#### 2. MONTH 1

- 2.1 The Trust has reported a £20k adverse variance to the Board agreed plan in April, and a £964k adverse variance to the ICS plan. Annex 1 provides a summary of the financial position.
- 2.2 Annex 2 looks at Clinical Group and Corporate Directorate Performance against their proposed start point budgets. Because of the late agreement of financial plans, these have not been transacted in the ledger, and these numbers do not include allocations from reserves (these will only be released if approved by the CEO). These include revised ward establishments.
- 2.3 The expectation is that start point budgets will be reflected in M2 budgets, and that a significant proportion of reserves will be allocated out to Groups, and main contract income targets based on the 2022/2023 activity plan will be in place. These are all reflected in the Annexes to this report.
- 2.4 In relation to Month 1 expenditure pressures these sit mainly within the Medicine and EC Group, are offset by favourable variances against other Groups and Corporate Directorates. Even with expected reserves the MEC Group would be around £800k adverse to expenditure plans. Key issues are additional capacity (above that funded in reserves), use of premium rate staff, and use of Modality for elective recovery. A bed capacity plan has been required by the CEO for the end of May, and the Chief Nurse and Chief Operation Officer (COO) are currently working on this, supported by senior nurses and finance staff. Following Group Reviews in May, the Group are going to investigate data points further to determine whether closing unfunded capacity during reset week is sustainable.

### 3. ACTIVITY

- 3.1 Annex 5 provides details of Month 1 performance against the patient related activity contract. As can be seen the overall position is adverse to the plan by £788k. This is due to underperformance in Surgical Services, PCCT and Women's & Child Health, offset with an over performance in Medicine & Emergency Care and Imaging.
- 3.2 With regards to elective recovery, the 2022/23 elective activity plans are set at 104% of the value of the 2019/20 outturn activity, the additional 4% funded via commissioners from the elective recovery fund.
- 3.3 Aligned Payment Incentive (API) contract rules allow for marginal rate adjustments of +/- 75% of value of under/over performance against the elective plan. A view of month 1 performance will be available once all activity is coded and submitted to SUS at the April reconciliation date.
- 3.4 It is important to note that no reflection of the risk to Elective Recovery Funding is assumed within the Month 1 numbers. In terms of the performance at M1, £9.9m actuals against a plan of £11.9m. The expectation is that this is recovered over the coming months.

### 4. CAPITAL and CASH

- 4.1 Following the Capital Management Group in May, the Clinical Leadership Executive have approved the capital programme shown in Annex 6 reflecting an over commitment against the ICS allocation.

### 5. RISKS

- 5.1 The following section proposes 5 key risks and will form part of reporting in future months. Lead for the risks will align to Exec lead for the relevant Risk Matrix reference number. Key Risks to the Financial Plan are:
- 5.2 **Group Asks (Risk identified £21.154m) (Risk Matrix Ref No10)**  
The initial submissions of cost pressures from Groups were significantly in excess of those affordable within the proposed envelope. This gap amounted to £21.154m. As part of the Group review process discussions on these risks, and any that have materialised since the planning process and to identify mitigations. It is proposed that a summary of these are brought to future committees. Operational management of the risk will be via monthly reporting processes, the bi-monthly Group Reviews, and in the "free month" monthly meetings between senior Corporate functions and Groups.
- 5.3 **ERF (Risk identified £7.5m, could be as high as 75% of any under-performance) (Risk Matrix ref No.6)**  
Current understanding around the rules highlights a maximum £7.5m risk. M1 has assumed no under-delivery although the initial contract run suggests that the full amount of risk was realised at Month 1 (£1.4m subject to the final run and coding impact). This risk is also a system risk, where the Trust can either benefit from ICS performance, or risks. It is proposed

that the COO provide narrative around this issue to the committee on a monthly basis. This risk will be managed via the Planned Care Group, and the Group Review process.

**5.4 Income, plan is reliant on (Risk Identified £11.5m) (Risk Matrix Ref, No12)**

There are two main elements to this. Firstly – Strategic Development Funding, £7m. Whilst the risk around this is not necessarily around securing the income, the plan assumes no new costs against this income source. CEO and Chief Finance Officer (CFO) to work with commissioners to ensure this is delivered. The second is income associated with Independent Sector Provider work (£3.8m). Whilst the Trust has not committed beyond agreed amounts, access to the funds are unclear, and it believed there is some challenge. COO supported by CFO to lead on securing these. Management of this is via the Executive Group.

**5.5 Controls (value to be quantified) (Risk Matrix Ref No2, No3, No4, No5, No 7)**

This risk does relate in part to the Group Asks. Significant work has gone into realistic but admittedly challenging budgets. There are though a few pieces of work vital to closing some of the remaining control gaps. The two most notable pieces yet to be completed are the bed capacity plan and the ED workforce plan. The former has been requested by the CEO to be completed by the end of May. The leads for the former are the Chief Nurse and COO, the latter the Chief Nurse and the Medicine and Emergency Care Group. Defining the affordable bed plan will allow production of information for FIPC that shows unfunded beds that are open. Beds are a significant driver of the finances, and both pieces of work will also allow better understanding of any over-use of workforce as a clear funded establishment will be in place. In terms of other financial plan decisions, the plan is to have the vast majority of these in place for M2 reporting, and if not at least in the right Group/Directorate with the right WTE establishments. Management via monthly Group meetings, meetings with budget holders, and via Group management structures up to Group Review.

**3.6 ICS Other Income (Risk identified £11.314m) (Risk Matrix Ref No11)**

This risk relates specifically to the ICS agreed plan. As the committee will know the ICS plan moved the Trust deficit plan from £30.956m to £12.21m deficit (as part of a tactical £48m system deficit plan). £7.432m is secured via ICS income re-distribution. £11.314m is sitting in other income with no current route to delivery. The Trust has written to the ICB CEO regarding risks in the plan, and the CEO supported by the CFO will lead on resolution. Management of the Risk proposed as via the Executive Group. A national NHS finance webinar on 18<sup>th</sup> May announced that a further £1.5bn would be made available to systems to fund inflationary pressures over and above that currently funded. We have yet to understand the specific impact for our system, although the estimate of unfunded inflationary pressures in SWBH is around £12m.

**4 Recommendations**

The Trust Board is asked to:

- a. **NOTE** month 1 performance
- b. **DISCUSS** the risks and mitigations

**Paul Stanaway - Associate Director of Finance**

**Craig Higgins -Associate Director of Finance**

**Simon Sheppard - Director of Operational Finance**

**22 May 2022**

- Annex 1:** Trust Income and Expenditure Performance against ICS and Internal Plans
- Annex 2:** Income and Expenditure Performance by Group against ICS and Internal Plans
- Annex 3:** Finance Risk Matrix
- Annex 4:** Patient Related Income & Activity Plan by Point of Delivery
- Annex 5:** Patient Related Activity – Performance by Group and Point of Delivery
- Annex 6:** Capital Programme
- Annex 7:** Statement of Financial Position
- Annex 8:** Cashflow
- Annex 9:** Financial Services Metrics

## Annex 1 - Trust Income and Expenditure Performance against ICS and Internal Plans

	Annual Budget £000s	Month 1 Budget £000s	Month 1 Actuals £000s	Month 1 Variance £000s
Patient Related Income	559,990	47,081	47,580	499
Other Income	52,136	3,945	4,189	244
<b>Total Income</b>	<b>612,126</b>	<b>51,026</b>	<b>51,769</b>	<b>743</b>
Pay	(409,119)	(34,274)	(34,057)	217
Non Pay	(233,963)	(19,533)	(20,514)	(981)
<b>Total Expenditure</b>	<b>(643,082)</b>	<b>(53,807)</b>	<b>(54,571)</b>	<b>(764)</b>
<b>Deficit - Internal Plan</b>	<b>(30,956)</b>	<b>(2,781)</b>	<b>(2,802)</b>	<b>(21)</b>
Patient Related Income -ICS redistribution	7,432	619	619	0
<b>Deficit</b>	<b>(23,524)</b>	<b>(2,162)</b>	<b>(2,183)</b>	<b>(21)</b>
Patient Related - ICS Stretch	11,314	943		(943)
<b>Deficit - ICS Agreed Plan</b>	<b>(12,210)</b>	<b>(1,219)</b>	<b>(2,183)</b>	<b>(964)</b>

## Annex 2 - Income and Expenditure Performance by Group against ICS and Internal Plans

	RECURRENT BUDGET							Budget - Month 1							Actuals - Month 1							Variance - Month 1							WTE		
	Contract			Other	Total			Contract			Other	Total			Contract			Other	Total			Budget	Actual	Variance							
	Pay	Non Pay	Income	Other PRI	Income	Income	Total	Pay	Non Pay	Income	Other PRI	Income	Income	Total	Pay	Non Pay	Income	Other PRI	Income	Income	Total										
	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s							
Medicine	(95,413)	(22,651)	165,584		442	166,026	47,963	(7,951)	(1,888)	13,500	299	37	13,836	3,997	(9,004)	(2,295)	12,676	257	173	13,106	1,807	(1,053)	(407)	(8,24)	(42)	136	(730)	(2,190)	1,673.07	1961.05	-287.98
Surgery	(88,923)	(28,680)	130,222		2,474	132,696	15,093	(7,410)	(2,390)	10,845	7	206	11,058	1,258	(7,209)	(2,612)	9,615	0	267	9,883	62	201	(222)	(1,230)	(7)	61	(1,175)	(1,196)	1,499.58	1487.34	12.24
Womens	(54,247)	(11,637)	86,755		2,249	89,004	23,120	(4,521)	(970)	7,184	45	187	7,417	1,927	(4,514)	(991)	6,910	45	194	7,149	1,644	6	(21)	(274)	(0)	6	(269)	(283)	1,028.00	985.11	42.89
PCCT	(60,227)	(24,078)	81,148		3,197	84,345	40	(5,019)	(2,006)	5,906	856	266	7,029	3	(4,595)	(1,986)	5,492	1,017	158	6,667	86	424	20	(414)	161	(108)	(362)	82	1,328.18	1185.12	143.06
Imaging	(17,816)	(34,144)	21,533		7,512	29,045	(22,914)	(1,485)	(2,845)	1,791	3	626	2,420	(1,909)	(1,528)	(2,960)	1,818	6	562	2,386	(2,102)	(43)	(115)	27	3	(64)	(35)	(193)	298.35	296.61	1.74
<b>Total Groups</b>	<b>(316,626)</b>	<b>(121,190)</b>	<b>485,242</b>	<b>0</b>	<b>15,875</b>	<b>501,117</b>	<b>63,302</b>	<b>(26,385)</b>	<b>(10,099)</b>	<b>39,226</b>	<b>1,211</b>	<b>1,323</b>	<b>41,760</b>	<b>5,275</b>	<b>(26,851)</b>	<b>(10,844)</b>	<b>36,511</b>	<b>1,325</b>	<b>1,354</b>	<b>39,190</b>	<b>1,495</b>	<b>(465)</b>	<b>(745)</b>	<b>(2,715)</b>	<b>114</b>	<b>31</b>	<b>(2,570)</b>	<b>(3,780)</b>	<b>5,827.18</b>	<b>5,915.23</b>	<b>-88.05</b>
Medical Director	(10,376)	(5,261)	1,745		4,219	5,964	(9,672)	(865)	(438)	145	0	352	497	(806)	(882)	(477)	149	2	440	591	(769)	(17)	(39)	4	2	88	94	37	231.41	222.76	8.65
Strategy & Governance	(6,857)	(19,055)	2,871		0	2,871	(23,041)	(571)	(1,588)	0	239	0	239	(1,920)	(558)	(1,800)	0	500	27	527	(1,832)	13	(212)	(0)	261	27	288	88	133.86	119.71	14.15
Nursing	(16,317)	(2,835)	1,966		653	2,619	(16,533)	(1,360)	(236)	144	20	54	218	(1,378)	(1,428)	(288)	143	19	53	215	(1,501)	(69)	(52)	(1)	(1)	(1)	(3)	(124)	458.07	511.79	-53.72
System Transformation	(4,382)	(32,341)	0		1,562	1,562	(35,160)	(365)	(2,695)	0	0	130	130	(2,930)	(383)	(2,759)	0		118	118	(3,024)	(18)	(64)	0	0	(12)	(12)	(94)	128.53	130.83	-2.30
Finance	(4,606)	(960)	0		253	253	(5,313)	(384)	(80)	0	0	21	21	(443)	(362)	(117)	0		20	20	(459)	22	(37)	0	0	(1)	(1)	(16)	94.16	89.47	4.69
People & OD	(7,776)	(1,771)	49		1,346	1,394	(8,153)	(648)	(148)	4	0	112	116	(679)	(649)	(103)	4		89	93	(659)	(1)	44	0	0	(23)	(23)	20	136.75	137.92	-1.17
Operations	(16,418)	(11,368)	100		292	392	(27,395)	(1,368)	(947)	8	0	24	33	(2,283)	(1,416)	(1,175)	5		144	149	(2,442)	(48)	(228)	(4)	0	120	116	(159)	440.59	448.09	-7.50
<b>Total Corporate</b>	<b>(66,731)</b>	<b>(73,592)</b>	<b>6,732</b>	<b>0</b>	<b>8,325</b>	<b>15,057</b>	<b>(125,266)</b>	<b>(8,561)</b>	<b>(6,133)</b>	<b>302</b>	<b>259</b>	<b>694</b>	<b>1,255</b>	<b>(10,489)</b>	<b>(5,678)</b>	<b>(6,721)</b>	<b>301</b>	<b>521</b>	<b>892</b>	<b>1,713</b>	<b>(10,687)</b>	<b>(11.8)</b>	<b>(589)</b>	<b>(1)</b>	<b>262</b>	<b>198</b>	<b>459</b>	<b>(248)</b>	<b>1,623.36</b>	<b>1,660.57</b>	<b>-37.21</b>
entral (including reserves)	(25,762)	(39,181)	86,762	0	27,936	114,698	49,754	(2,328)	(3,301)	7,638	7	1,928	9,573	3,944	(1,528)	(2,948)	9,541	0	1,944	11,485	7,009	800	353	1,903	(7)	16	1,912	3,064	343.23	0.00	343.23
<b>GRANDTOTAL</b>	<b>(409,119)</b>	<b>(233,963)</b>	<b>578,736</b>	<b>0</b>	<b>52,136</b>	<b>630,872</b>	<b>(12,210)</b>	<b>(34,274)</b>	<b>(19,533)</b>	<b>47,166</b>	<b>1,477</b>	<b>3,945</b>	<b>52,588</b>	<b>(1,220)</b>	<b>(34,057)</b>	<b>(20,514)</b>	<b>46,353</b>	<b>1,846</b>	<b>4,189</b>	<b>52,388</b>	<b>(2,183)</b>	<b>217</b>	<b>(981)</b>	<b>(813)</b>	<b>369</b>	<b>245</b>	<b>(200)</b>	<b>(963)</b>	<b>7,793.78</b>	<b>7,575.80</b>	<b>217.98</b>
Internal Plan	(409,119)	(233,963)	571,304	0	40,822.136	612,126	(30,956)	(34,274)	(19,533)	46,547	1,477	3,002	51,025	(2,782)	(34,057)	(20,514)	45,733	1,846	4,189	51,769	(2,802)	217	(981)	(813)	369	1,187	743	(20)			

## Annex 3 - Finance Risk Matrix

### Financial Risks as at April 2022 for Delivery of the 2022/23 Financial I&E Plan

Ref	Identified Risk	Likelihood	Severity	Trend	Risk Rating M11	Risk Rating M10	Risk Rating M9	Risk Rating M8	Risk Rating M7	Risk Rating M6	Risk Rating M5	Risk Rating M4	Risk Rating M3	Risk Rating M2	Risk Rating M1	Impact	Mitigating Actions	Exec Lead
1	Taper funding from NHSEI is not secured in line with the Plan	1	5												5	Financial		CFO
2	Delivery of the 2022/23 Cost Improvement Programme	4	5												20	Financial	Fortnightly efficiency board chaired by CFO Additional resource in post to lead the programme	CFO
3	Energy prices increase above the funded level	3	5												15	Financial	Forward purchase on energy	DST
4	Increased sickness levels resulting in greater use of agency and bank staff	4	5												20	Financial Quality Workforce Operational	Trust wellbeing offering to staff as exit covid Post covid People and OD restoration of sickness management in full.	CPO
5	Staffing levels are not within the funded establishment	3	5												15	Financial Quality Workforce Operational	Revised establishment levels have been agreed for 2022/23 plans	CPO
6	Elective Recovery trajectory delivery	4	5												20	Financial Quality Workforce Operational	Agreed monthly trajectories Ongoing tracking on costs against the income forecasts through the Groups	COO
7	Increased nursing costs due to E rostering controls	4	5												20	Financial Quality Workforce Operational	Business case being developed to refresh E Rostering system.	Ongoing
8	Decisions are made at System or Trust level without appropriate approval	3	4												12	Financial Quality Workforce Operational	Proposed new Business Case process ready for consideration and adoption External governance review commissioned and findings to be adopted Vacancy Approvals process to be reintroduced	Dir of Governance
9	Increasing number of contracts with Independent Sector providers - potential clinical, operational, workforce and financial implications if not procured appropriately	3	5												15	Financial Quality Workforce Operational	Full engagement with Procurement to ensure appropriate contracts	CFO
10	Clinical Group Risks identified at planning stage	4	5												20	Financial Quality Workforce Operational	Group Review meetings	CFO
11	Delivery of the £11.3m ICS Stretch	5	5												25	Financial Quality Workforce Operational	Ongoing engagement in the ICS wide 22/23 plan	CFO
12	Securing £7m of income from the Strategic Development Fund	4	5												20	Financial Quality Workforce Operational	Ongoing engagement in the ICS wide 22/23 plan	CFO

#### Annex 4 - Patient Related Income & Activity Plan by Point of Delivery

Income & Activity Plan v Actual by POD Group (PbR Values) Including Contract Top-up PodGroup Code	Current Month						Year-to-Date					
	Activity Plan	Activity Actual	Activity Diff	Price Plan	Price Actual	Price Diff	Activity Plan	Activity Actual	Activity Diff	Price Plan	Price Actual	Price Diff
Community	56,035	49,007	-7,028	£3,605,169	£3,489,824	-£115,345	56,035	49,007	-7,028	£3,605,169	£3,489,824	-£115,345
Elective Admissions	3,008	3,024	16	£3,795,713	£3,652,837	-£142,875	3,008	3,024	16	£3,795,713	£3,652,837	-£142,875
Emergency Admissions	4,370	4,001	-369	£9,494,503	£10,095,395	£600,892	4,370	4,001	-369	£9,494,503	£10,095,395	£600,892
Emergency Departments	18,301	18,544	243	£2,722,784	£2,883,225	£160,441	18,301	18,544	243	£2,722,784	£2,883,225	£160,441
Excess Bed Days	631	399	-232	£208,157	£126,085	-£82,072	631	399	-232	£208,157	£126,085	-£82,072
Maternity Pathway	1,421	1,439	18	£1,398,776	£1,477,027	£78,251	1,421	1,439	18	£1,398,776	£1,477,027	£78,251
Neonatal Unit	1,036	816	-220	£643,951	£498,273	-£145,678	1,036	816	-220	£643,951	£498,273	-£145,678
OP New Attendances	18,404	15,038	-3,366	£3,480,293	£2,892,322	-£587,971	18,404	15,038	-3,366	£3,480,293	£2,892,322	-£587,971
OP Procedures	10,141	8,035	-2,106	£1,689,985	£1,347,413	-£342,572	10,141	8,035	-2,106	£1,689,985	£1,347,413	-£342,572
OP Review Attendances	24,451	19,834	-4,617	£2,153,357	£1,802,373	-£350,983	24,451	19,834	-4,617	£2,153,357	£1,802,373	-£350,983
OP Non Face to Face	5,697	8,341	2,644	£391,903	£679,106	£287,203	5,697	8,341	2,644	£391,903	£679,106	£287,203
Other Contract Lines	322,246	346,476	24,230	£15,954,796	£16,518,725	£563,929	322,246	346,476	24,230	£15,954,796	£16,518,725	£563,929
Unbundled Activity	5,512	5,274	-238	£609,444	£640,270	£30,826	5,512	5,274	-238	£609,444	£640,270	£30,826
<b>Total</b>	<b>471,252</b>	<b>480,227</b>	<b>8,974</b>	<b>£46,148,831</b>	<b>£46,102,876</b>	<b>-£45,955</b>	<b>471,252</b>	<b>480,227</b>	<b>8,974</b>	<b>£46,148,831</b>	<b>£46,102,876</b>	<b>-£45,955</b>

- The activity plan is based on the 2019 -20 normalised outturn, adjusted for POD mix changes since 2019 -20 and includes amendments from the Groups. Elective activity is set at 104% of normalised outturn in line with national guidance.
- ERF actual activity is included and priced at PbR levels – which will be slightly different to formal ERF reporting
- Other contract lines includes – a monthly system top up value and a profiling adjustment to return to the national planning submission profile
- For the month of April, excluding those points above, the Trust is £0.7m below plan – please see the next slide for a breakdown
- Underperformance can be seen primarily in the planned care PODs, in particular in outpatients
- Patient related data is within the SOCI data, which also includes items such as RTA and overseas visitors

Annex 5 - Patient Related Activity – Performance by Group and Point of Delivery

Income & Activity Plan v Actual by POD Group (PbR Values ) Excluding Contract Top-up	Current Month						Year-to-Date					
	PodGroup Code	Activity Plan	Activity Actual	Activity Diff	Price Plan	Price Actual	Price Diff	Activity Plan	Activity Actual	Activity Diff	Price Plan	Price Actual
Community	56,035	49,007	-7,028	£3,605,169	£3,489,824	-£115,345	56,035	49,007	-7,028	£3,605,169	£3,489,824	-£115,345
Elective Admissions	3,008	3,024	16	£3,795,713	£3,652,837	-£142,875	3,008	3,024	16	£3,795,713	£3,652,837	-£142,875
Emergency Admissions	4,370	4,001	-369	£9,494,503	£10,095,395	£600,892	4,370	4,001	-369	£9,494,503	£10,095,395	£600,892
Emergency Departments	18,301	18,544	243	£2,722,784	£2,883,225	£160,441	18,301	18,544	243	£2,722,784	£2,883,225	£160,441
Excess Bed Days	631	399	-232	£208,157	£126,085	-£82,072	631	399	-232	£208,157	£126,085	-£82,072
Maternity Pathway	1,421	1,439	18	£1,398,776	£1,477,027	£78,251	1,421	1,439	18	£1,398,776	£1,477,027	£78,251
Neonatal Unit	1,036	816	-220	£643,951	£498,273	-£145,678	1,036	816	-220	£643,951	£498,273	-£145,678
OP New Attendances	18,404	15,038	-3,366	£3,480,293	£2,892,322	-£587,971	18,404	15,038	-3,366	£3,480,293	£2,892,322	-£587,971
OP Procedures	10,141	8,035	-2,106	£1,689,985	£1,347,413	-£342,572	10,141	8,035	-2,106	£1,689,985	£1,347,413	-£342,572
OP Review Attendances	24,451	19,834	-4,617	£2,153,357	£1,802,373	-£350,983	24,451	19,834	-4,617	£2,153,357	£1,802,373	-£350,983
OP Non Face to Face	5,697	8,341	2,644	£391,903	£679,106	£287,203	5,697	8,341	2,644	£391,903	£679,106	£287,203
Other Contract Lines	322,246	346,476	24,230	£7,940,471	£7,762,431	-£178,039	322,246	346,476	24,230	£7,940,471	£7,762,431	-£178,039
Unbundled Activity	5,512	5,274	-238	£609,444	£640,270	£30,826	5,512	5,274	-238	£609,444	£640,270	£30,826
<b>Total</b>	<b>471,252</b>	<b>480,227</b>	<b>8,974</b>	<b>£38,134,505</b>	<b>£37,346,582</b>	<b>-£787,923</b>	<b>471,252</b>	<b>480,227</b>	<b>8,974</b>	<b>£38,134,505</b>	<b>£37,346,582</b>	<b>-£787,923</b>

Income & Activity Plan v Actual by Group (PbR Values)	This Month						Year-to-Date					
	Group Name	Activity Plan	Activity Actual	Activity Diff	Price Plan	Price Actual	Price Diff	Activity Plan	Activity Actual	Activity Diff	Price Plan	Price Actual
Central	0	0	0	£535,024	£535,024	£0	0	0	0	£535,024	£535,024	£0
Corporate	3,903	63	-3,840	£387,740	£384,520	-£3,220	3,903	63	-3,840	£387,740	£384,520	-£3,220
Imaging	312,546	338,377	25,830	£1,689,867	£1,818,253	£128,386	312,546	338,377	25,830	£1,689,867	£1,818,253	£128,386
Medicine & Emergency	40,474	37,339	-3,134	£12,329,563	£12,675,773	£346,210	40,474	37,339	-3,134	£12,329,563	£12,675,773	£346,210
Primary Care, Community & Mental Health	64,080	58,971	-5,109	£5,863,210	£5,409,214	-£453,996	64,080	58,971	-5,109	£5,863,210	£5,409,214	-£453,996
Surgical Services	38,187	34,202	-3,985	£10,295,443	£9,614,040	-£681,402	38,187	34,202	-3,985	£10,295,443	£9,614,040	-£681,402
Women & Children	12,062	11,274	-788	£7,033,658	£6,909,757	-£123,901	12,062	11,274	-788	£7,033,658	£6,909,757	-£123,901
<b>Total</b>	<b>471,252</b>	<b>480,227</b>	<b>8,974</b>	<b>£38,134,505</b>	<b>£37,346,582</b>	<b>-£787,923</b>	<b>471,252</b>	<b>480,227</b>	<b>8,974</b>	<b>£38,134,505</b>	<b>£37,346,582</b>	<b>-£787,923</b>

Activity Run Rate by POD	Apr-22			May-22	Jun-22	Jul-22	Aug-22	Sep-22	Oct-22	Nov-22	Dec-22	Jan-23	Feb-23	Mar-23	YTD Total
	Plan	Actual	+/-	Plan											
Community	56,035	49,007	-7,028	57,749	56,035	57,749	57,749	56,035	57,749	56,035	57,749	57,749	52,606	57,749	49,007
Elective Admissions	3,008	3,024	16	3,889	3,779	4,167	3,822	3,944	4,443	4,263	3,726	4,388	4,084	5,507	3,024
Emergency Admissions	4,370	4,001	-369	4,515	4,370	4,515	4,515	4,370	4,515	4,370	4,515	4,515	4,078	4,520	4,001
Emergency Departments	18,301	18,544	243	18,910	18,301	18,910	18,910	18,301	18,910	18,301	18,910	18,910	17,080	18,914	18,544
Excess Bed Days	631	399	-232	683	683	689	688	683	701	682	684	685	620	682	399
Maternity Pathway	1,421	1,439	18	1,505	1,385	1,520	1,412	1,596	1,387	1,455	1,350	1,433	1,457	1,495	1,439
Neonatal Unit	1,036	816	-220	1,070	1,036	1,070	1,070	1,036	1,070	1,036	1,070	1,070	967	1,070	816
OP New Attendances	18,404	15,038	-3,366	19,058	18,024	19,836	17,718	17,850	17,939	17,973	14,418	17,488	16,204	19,857	15,038
OP Procedures	10,141	8,035	-2,106	10,376	9,961	10,789	9,396	9,466	10,095	9,802	8,411	10,319	9,340	12,293	8,035
OP Review Attendances	24,451	19,834	-4,617	24,879	24,049	25,856	22,262	22,428	21,730	21,365	17,363	21,484	19,603	20,208	19,834
OP Non Face to Face	5,697	8,341	2,644	6,314	6,369	7,459	7,066	7,534	13,590	13,070	13,886	16,930	15,515	17,349	8,341
Other Contract Lines	322,246	346,476	24,230	322,926	323,738	323,780	323,315	323,735	324,036	324,134	323,375	323,643	323,406	323,586	346,476
Unbundled Activity	5,512	5,274	-238	5,512	5,512	5,512	5,512	5,512	6,112	6,112	6,112	6,112	6,112	6,112	5,274
<b>Total</b>	<b>471,252</b>	<b>480,227</b>	<b>8,974</b>	<b>477,387</b>	<b>473,222</b>	<b>481,853</b>	<b>473,435</b>	<b>472,490</b>	<b>482,277</b>	<b>478,597</b>	<b>471,668</b>	<b>484,726</b>	<b>471,074</b>	<b>489,343</b>	<b>480,227</b>

## Annex 6: Capital Programme

SANDWELL & WEST BIRMINGHAM HOSPITALS NHS TRUST						
Summary Capital Expenditure: FY 2022/23 to P1						
	Year to Date			Year End Forecast		
	Plan £000s	Actual £000s	Variance £000s	NHSI Plan £000s	Forecast £000s	Variance £000s
<b>Internal - Self Financing</b>						
Estates	12,275		12,275	12,275	12,275	0
IT	4,466	72	4,394	4,466	4,466	0
Medical equipment	7,540	93	7,447	7,540	7,540	0
Charity	90		90	90	90	0
<b>Sub total</b>	<b>24,371</b>	<b>165</b>	<b>24,206</b>	<b>24,371</b>	<b>24,371</b>	<b>0</b>
<b>MMUH - PDC</b>						
Midland Met Construction Cost to Complete	548	12,644	-12,096	548	12,644	-12,096
<b>TOTAL</b>	<b>548</b>	<b>12,644</b>	<b>-12,096</b>	<b>548</b>	<b>12,644</b>	<b>-12,096</b>
<b>Technical-IFRIC12</b>						
BTC & MES	1,570	142	1,428	1,570	1,570	0
<b>Trust Wide Programme</b>	<b>26,489</b>	<b>12,951</b>	<b>13,538</b>	<b>26,489</b>	<b>38,585</b>	<b>-12,096</b>

## Annex 7 – Statement of Financial Position

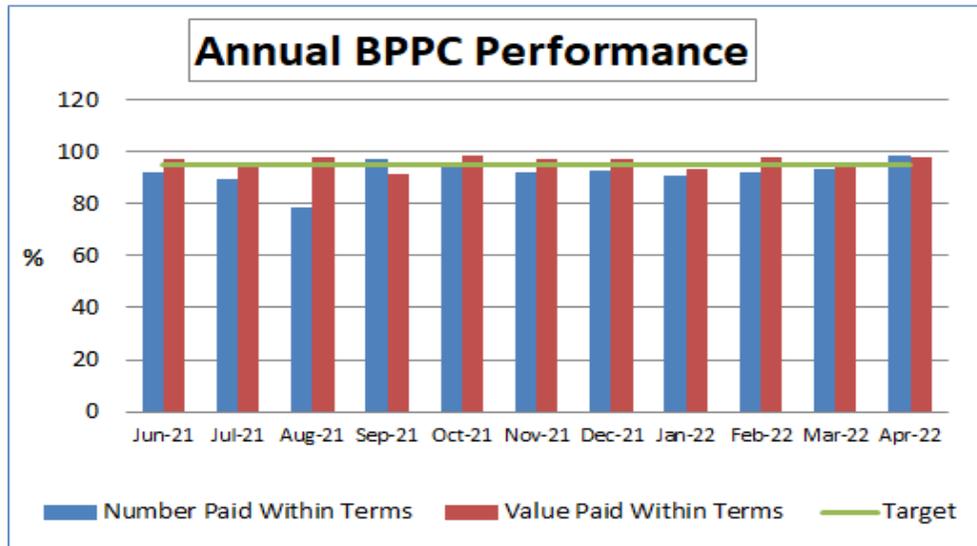
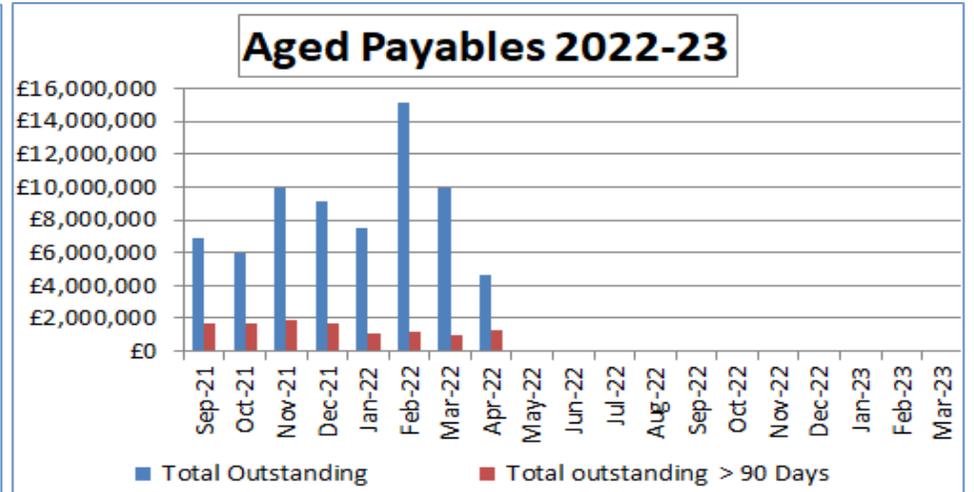
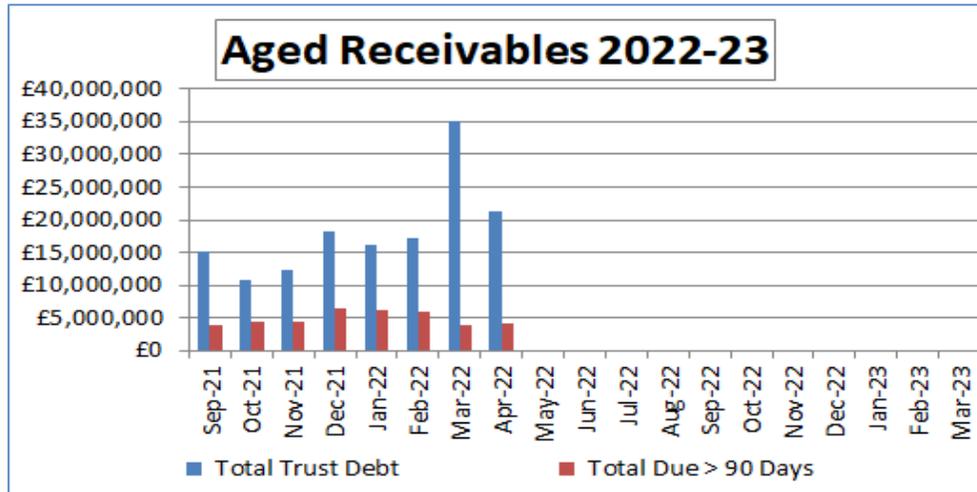
	Balance as at 31st March 2022 £000	Balance as at 30th April 2022 £000	NHSI Planned Balance as at 30th April 2022 £000	Variance to plan as at 30th April 2022 £000	NHSI Plan as at 31st March 2023 £000	Forecast 31st March 2023 £000
<b>Non Current Assets</b>						
Property, Plant and Equipment	885,952	896,935	892,573	4,362	906,982	906,982
Intangible Assets	182	179	178	1	131	131
Investment Assets	0	0	0	0	0	0
Trade and Other Receivables	100	100	100	0	100	100
<b>Current Assets</b>						
Inventories	3,585	3,585	3,437	148	3,585	3,585
Trade and Other Receivables	43,504	30,740	36,985	(6,245)	22,852	22,852
Cash and Cash Equivalents	55,013	69,854	33,651	36,203	41,503	41,503
<b>Current Liabilities</b>						
Trade and Other Payables	(84,453)	(99,875)	(98,034)	(1,841)	(91,881)	(91,881)
Provisions	(3,580)	(3,619)	(892)	(2,727)	(2,950)	(2,950)
Borrowings	(17,511)	(17,467)	(2,408)	(15,059)	(17,511)	(17,511)
DH Loan	0	0	0	0	0	0
<b>Non Current Liabilities</b>						
Provisions	(3,391)	(3,391)	(3,529)	138	(3,529)	(3,529)
Borrowings	(24,222)	(24,062)	(23,769)	(293)	(23,769)	(23,769)
DH Loan	0	0	0	0	0	0
	<b>855,179</b>	<b>852,979</b>	<b>838,292</b>	<b>14,687</b>	<b>835,513</b>	<b>835,513</b>
<b>Financed By</b>						
<b>Taxpayers Equity</b>						
Public Dividend Capital	664,942	664,942	663,762	1,180	664,151	664,151
Retained Earnings reserve	166,631	164,431	156,811	7,620	153,643	153,643
Revaluation Reserve	14,548	14,548	8,661	5,887	8,661	8,661
Other Reserves	9,058	9,058	9,058	0	9,058	9,058
	<b>855,179</b>	<b>852,979</b>	<b>838,292</b>	<b>14,687</b>	<b>835,513</b>	<b>835,513</b>

## Annex 8 - Cashflow

Sandwell & West Birmingham Hospitals NHS Trust												
CASH FLOW 2022/23												
ACTUAL/FORECAST	April	May	June	July	August	September	October	November	December	January	February	March
	Actual	Forecast										
	£000s											
<b>Receipts</b>												
SLAs: SWB CCG	51,872	33,200	33,200	33,200	33,200	33,200	33,200	33,200	33,200	33,200	33,200	33,200
Associates	7,592	7,800	7,800	7,800	7,800	7,800	7,800	7,800	7,800	7,800	7,800	7,800
Other NHS	695	701	701	701	912	907	701	709	707	701	701	721
Specialised Services	4,804	4,740	4,740	4,740	4,740	4,740	4,740	4,740	4,740	4,740	4,740	4,740
STF Funding	0	0	0	0	0	0	0	0	0	0	0	0
Over Performance	0	0	0	0	0	0	0	0	0	0	0	0
Education & Training - HEE	0	0	0	4,400	0	0	0	0	4,400	0	0	4,400
Public Dividend Capital	0	133	133	318	0	0	0	0	0	0	0	0
Loans	0	0	0	0	0	0	0	0	0	0	0	0
Other Receipts	4,325	2,075	2,075	2,075	2,075	2,075	2,075	2,075	2,075	2,075	2,075	2,075
<b>Total Receipts</b>	<b>69,288</b>	<b>48,649</b>	<b>48,649</b>	<b>53,234</b>	<b>48,727</b>	<b>48,722</b>	<b>48,516</b>	<b>48,524</b>	<b>52,922</b>	<b>48,516</b>	<b>48,516</b>	<b>52,936</b>
<b>Payments</b>												
Payroll	18,811	18,580	18,580	19,300	18,580	19,300	18,480	18,066	19,300	17,660	18,480	17,092
Tax, NI and Pensions	904	12,700	12,700	12,700	12,700	12,700	12,700	12,700	12,700	12,700	12,700	12,700
Non Pay - Trade	8,535	17,975	18,800	18,103	16,500	16,000	16,000	16,000	14,124	15,000	13,993	14,549
Non Pay - Capital	11,803	2,550	2,550	2,550	2,550	2,550	2,550	2,550	2,550	2,550	2,550	2,550
MMH Balfour Beatty	11,456	6,816	0	0	0	0	0	0	0	0	0	0
PDC Dividend	0	0	0	0	0	1,360	0	0	0	0	0	1,360
Repayment of Loans & Interest	0	0	0	0	0	0	0	0	0	0	0	0
BTC Unitary Charge	440	440	440	440	440	440	440	440	440	440	440	440
NHS Litigation Authority	1,615	1,325	1,325	1,325	1,325	1,325	1,325	1,325	1,325	1,325	0	0
Other Payments	884	94	94	89	93	117	139	189	299	179	239	179
<b>Total Payments</b>	<b>54,448</b>	<b>60,480</b>	<b>54,489</b>	<b>54,507</b>	<b>52,188</b>	<b>53,792</b>	<b>51,634</b>	<b>51,270</b>	<b>50,738</b>	<b>49,854</b>	<b>48,402</b>	<b>48,870</b>
Cash Brought Forward	54,975	69,815	57,984	52,143	50,871	47,410	42,341	39,223	36,477	38,661	37,323	37,437
Net Receipts/(Payments)	14,840	(11,831)	(5,840)	(1,273)	(3,461)	(5,069)	(3,118)	(2,746)	2,184	(1,338)	114	4,066
Cash Carried Forward	69,815	57,984	52,143	50,871	47,410	42,341	39,223	36,477	38,661	37,323	37,437	41,503

- This cash flow incorporates the actual transactions to April 2022. The balance as at 31/3/22 includes the early draw down of MMUH Funds, payable in 22/23 of £18.2m
- The CCG settled the 21/22 ERF payments in April 22
- To reduce Trust cash balances in 21/22 the Trust prepaid Tax, NI and Pensions in March, giving a cash benefit in 22/23
- Over the medium-term delivery of I&E plans are the key determinant of cash borrowing requirements, as well as capital investment.

## Annex 9: Financial Services Metrics



### Analysis

- The overall Debt owed to the Trust reduced significantly due to the payment of a £16 million invoice to the CCG for Elective Recovery Fund, Taper Relief, Energy costs and our share of the system surplus. The over 90 days debt, increased slightly, the largest over 90 days debt comprises Birmingham CC (bed days) NHS Property and Worcester Acute NHS Trust.
- The overall value of Payables has decreased from March's values, as further bills for capitalised equipment have been settled. The value of over 90 days has increased as disputes slip into the over 90 day category. We continue to pursue receipting departments for resolution of old queries; including University of Birmingham (for which Education and Training are chasing student forms) and Sandwell MBC (re the Eliza Tinsley ward 17/18, SMBC. We are continuing to investigate the historical funding flows and the resultant liability), NHS Property, Community Health Partnerships and SCCL. Negative current NHS payables comprise Black Country Pathology Credit notes from RWT.
- BPPC is stable and is consistently performing at or above the 95% target.