

Report Title	Monthly Risk Register Report		
Sponsoring Executive	Kam Dhama, Director of Governance		
Report Author	Sindeep Chatha, Head of Patient Safety and Risk		
Meeting	Trust Board (Public)	Date	1 st April 2021

1. Suggested discussion points *[two or three issues you consider the Trust Board should focus on]*

There are 10 risks overseen by the Board with upcoming action deadlines, updates on each risk are provided in this report.

The following actions were agreed at the January RMC and CLE:

- One risk has been mitigated and is being proposed for removal.

Board to Note:

- A discussion on the current red rated risks not yet presented to the Board took place at RMC in March. The committee identified further work to be done to finalise these before presentation to the Board in May.

2. Alignment to 2020 Vision *[indicate with an 'X' which Plan this paper supports]*

Safety Plan		Public Health Plan		People Plan & Education Plan	
Quality Plan		Research and Development		Estates Plan	
Financial Plan		Digital Plan		Other <i>[specify in the paper]</i>	X

3. Previous consideration *[where has this paper been previously discussed?]*

Risk Management Committee, 22nd March 2021, CLE, 23rd March 2021

4. Recommendation(s)

The Trust Board is asked to:

- APPROVE** removal of risk 534 from Board Oversight
- NOTE** the discussion around the current red rated risks

5. Impact *[indicate with an 'X' which governance initiatives this matter relates to and where shown elaborate]*

Trust Risk Register	x	Risk Number(s): various				
Board Assurance Framework	x	Risk Number(s): various				
Equality Impact Assessment	Is this required?	Y		N	x	If 'Y' date completed
Quality Impact Assessment	Is this required?	Y		N	x	If 'Y' date completed

SANDWELL AND WEST BIRMINGHAM HOSPITALS NHS TRUST

Report to the Trust Board: 1st April 2021

Monthly Risk Register Report

1.0 INTRODUCTION

1.1 This report provides the Trust Board with an update on the risks it has oversight on, held within the Trust's risk register. The report outlines progress in improving the robustness of the Trust's risk management arrangements with a review of the Risk Register entries.

1.2 The Trust has identified a range of significant risks that are currently being mitigated, whose impact could have a direct bearing on the achievement of Trust Plans and priorities and requirements within the NHSI Accountability Framework or CQC registration, should the mitigation plans be ineffective.

1.3 A summary of the main controls and mitigating actions for the significant risks currently identified in each Clinical Group and Corporate Directorate monitored by the Trust Board are available in **Appendix A**.

2.0 TRUST BOARD RISK REGISTER REVIEW

2.1 Of the 10 risks overseen by the Board, one risk is being proposed for removal as the risk has been mitigated.

2.2 No further update is required for risks 2642 (result endorsement), 3693 (amenable mortality) and 1762 (BMEC backlog of appointments), the Board agreed these risks are to be reviewed again in April 2021. Updates will be presented to the May Board.

2.3 Risks 325 and 3110 have been reviewed and there has been no further update since December as actions are in progress.

2.4 Risk 666 will continue to be monitored by RMC and remain for Trust Board oversight. No update can be provided as this is a national risk.

2.5 Since the last Board the following risk has been updated:

Risk ID	Executive Risk Lead	Title	Current rating	Target rating	Change
534	Medical Director's Office	Lack of UHB Oncologist attendance at MDT	1x2 =2	1x2=2	↓
Update	All actions for this risk have been completed. There has been a steady status quo with the WebEx with oncologists dialling in weekly. There have been no issues with having patients discussed and if no oncologist can attend then an attempt is made to discuss the patient with the oncologist prior to their leave. Feedback on the discussion back to MDT or forward all information, verbal discussion on phone and in order to continue to discussion about the patient at MDT.				
Recommendation	Remove this risk from Board oversight				

3.0 RED RISKS

3.1 Currently there are 41 red risks (live) identified on the risk register. These risks have been reviewed by the risk team and where possible recommendations have been made to the Groups in respect to the revision of the risk statement, actions, ratings etc. These risks are ready to be discussed and challenged at RMC.

3.2 A robust discussion around each risk with the Group took place at March RMC; challenging the risk statement; risk rating and seeking assurance the controls are adequate. Following from this and in addition to receiving updates a number of risks could be reduced from red to amber. Further work is being done to provide a true reflection of these risks on the register and this will be presented at May Board. Unfortunately due to the tight scheduling of these committee meetings it was difficult to amend the risks in time for CLE and Board.

3.3 A detailed MMUH risk report was presented at RMC, the committee was assured robust actions are being taken to manage these risks. A paper will be presented to update the Board in May.

4.0 The Trust Board is asked to:

- a. **APPROVE** removal of risk 534 from Board Oversight
- b. **NOTE** the discussion around the red Risks

Sindeep Chatha
Head of Patient Safety & Risk

22nd March 2021