

<b>Report Title</b>	Monthly Risk Register Report		
<b>Sponsoring Executive</b>	Kathleen French, Chief Nurse		
<b>Report Author</b>	Sindeep Chatha, Head of Patient Safety and Risk		
<b>Meeting</b>	Trust Board (Public)	<b>Date</b>	5 <sup>th</sup> November 2020

### 1. Suggested discussion points *[two or three issues you consider the Trust Board should focus on]*

There are 13 risks overseen by the Board with upcoming action deadlines, of those 1 risk has been updated since the last meeting.

The Board to note the following discussions at RMC and CLE:

- A draft Trust-wide Covid-19 risk has been added onto the risk register. The focus of this risk is the transmission of Covid-19 within the workplace. CLE has reviewed this risk and this will be formerly taken to Tactical for discussion.
- Number of Covid-19 risks in relation to corporate services has been highlighted in the red risk report with Executive leads. These Risks will be presented at November RMC and CLE for wider discussion.

### 2. Alignment to 2020 Vision *[indicate with an 'X' which Plan this paper supports]*

Safety Plan	X	Public Health Plan		People Plan & Education Plan	X
Quality Plan	X	Research and Development		Estates Plan	
Financial Plan	X	Digital Plan	X	Other <i>[specify in the paper]</i>	X

### 3. Previous consideration *[where has this paper been previously discussed?]*

Risk Management Committee on 12<sup>th</sup> October 2020, and CLE on 27<sup>th</sup> October 2020

### 4. Recommendation(s)

The Trust Board is asked to:

- NOTE** the update for each risk
- NOTE** the concerns raised at RMC and CLE

### 5. Impact *[indicate with an 'X' which governance initiatives this matter relates to and where shown elaborate]*

Trust Risk Register	x	Risk Number(s):				
Board Assurance Framework	x	Risk Number(s):				
Equality Impact Assessment	Is this required?	Y		N	x	If 'Y' date completed
Quality Impact Assessment	Is this required?	Y		N	x	If 'Y' date completed

# SANDWELL AND WEST BIRMINGHAM HOSPITALS NHS TRUST

## Report to the Trust Board: 5<sup>th</sup> November 2020

### Monthly Risk Register Report

#### 1.0 INTRODUCTION

**1.1** This report provides the Trust Board with an update on the risks it has oversight on, held within the Trust's risk register. The report outlines progress in improving the robustness of the Trust's risk management arrangements with a review of the Risk Register entries.

**1.2** The Trust has identified a range of significant risks that are currently being mitigated, whose impact could have a direct bearing on the achievement of Trust Plans and priorities and requirements within the NHSI Accountability Framework or CQC registration, should the mitigation plans be ineffective.

**1.3** A summary of the main controls and mitigating actions for the significant risks currently identified in each Clinical Group and Corporate Directorate monitored by the Trust Board are available in **Annex 1**.

#### 2.0 NEW RISKS ADDED LAST MONTH

**2.1** A Trust-wide Covid-19 risk assessment has been added onto the risk register in draft form and the risk assessment form can be found in **Annex 2**. The focus of this risk is the transmission of Covid-19 within the workplace. CLE recommended an action whereupon the risk was to be discussed at the Covid-19 Tactical meeting. This was to support a wider discussion and debate and to provide a greater level of assurance and agreement on the risk assessment.

#### 3.0 GROUP COVID 19 RELATED RISKS

**3.1** A number of red risks have been highlighted in **Annex 3** in relation to Covid-19 affecting the corporate services. These are scheduled to be presented at RMC or CLE in November 2020 for discussion. Consequently, assurance is sought by the Executive Leads that these risks are being robustly managed. An update on these will be presented at December's Board.

#### 4.0 RISK REGISTER REVIEW

- 4.1 No further update to risk 2642 (Radiology Results), risk 3693 (reduce amenable mortality), risk 2784 (diversion of funding) and risk 3021 (MMH procurement) is required since these risks are currently on track for achieving the target rating by the deadline.
- 4.2 Papers in regards to Risks 1762 (ophthalmology backlog) and 325 (cyber-attack) were being presented at October Board. Outcome of these discussions needs to be added onto the risk register.
- 4.3 Since the last Board meeting the following updates have been received and recommendations have been put forward for Board to consider.

Risk ID	Executive Risk Lead	Title	Current rating	Target rating	Change
3212	Chief Operating Officer	Standalone Hard Drive	3x3=9	1x3=3	↔
<b>Update</b>	Since the last update movement of images onto the PACS 'Live' system has been successful. An update on this will be presented at December RMC and if no further issues have been identified, this risk will have been mitigated.				
<b>Recommendation</b>	<b>NOTE the update and bring back to January Board for archiving.</b>				

#### 5.0 RECOMMENDATIONS

Trust Board is asked to:

- **NOTE** the draft Covid-19 Risk assessment
- **NOTE** the Covid-19 red risks

Sindeep Chatha  
 Head of Patient Safety and Risk  
 22<sup>nd</sup> October 2020

- Annex 1:** Trust Risk register  
**Annex 2:** Covid-19 Risk Assessment  
**Annex 3:** Corporate Covid-19 Red Risks