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|-----------------------------|---|-------------|-------------------------------|
| <b>Report Title</b>         | Annual Governance Statement – update on 2019-20 |             |                               |
| <b>Sponsoring Executive</b> | Toby Lewis, Chief Executive                     |             |                               |
| <b>Report Author</b>        | Toby Lewis, Chief Executive                     |             |                               |
| <b>Meeting</b>              | Public Trust Board                              | <b>Date</b> | 6 <sup>nd</sup> February 2020 |

### 1. Suggested discussion points *[two or three issues you consider the Trust Board should focus on]*

In April 2020 I will present my latest Annual Governance Statement (AGS) in a format centrally prescribed. This short paper reminds the Board of the weaknesses identified in the 2018/19 statement and invites comment on those and any other gaps perceived by members.

- Safety risks associated with clinical administration
- Cyber and data loss exposure
- Asset failure in our ageing estate
- Vulnerable services associated with workforce gaps

The Audit and Risk Management Committee will discuss the paper and the 2019-20 AGS. I would expect these issues, alongside our SBAF issues, to be the primary focus on our 2020-21 Internal Audit programme.

### 2. Alignment to 2020 Vision *[indicate with an 'X' which Plan this paper supports]*

|                |   |                          |   |                                     |   |
|----------------|---|--------------------------|---|-------------------------------------|---|
| Safety Plan    | X | Public Health Plan       |   | People Plan & Education Plan        | X |
| Quality Plan   | X | Research and Development |   | Estates Plan                        | X |
| Financial Plan | X | Digital Plan             | X | Other <i>[specify in the paper]</i> |   |

### 3. Previous consideration *[where has this paper been previously discussed?]*

n/a

### 4. Recommendation(s)

The Trust Board is asked to:

- a. NOTE** work done on each identified risk to mitigate the difficulties identified a year ago
- b. COMMENT** on how the Board can continue to develop its oversight of risk through the risk register, SBAF, and other mechanisms

### 5. Impact *[indicate with an 'X' which governance initiatives this matter relates to and where shown elaborate]*

|                            |                   |            |  |   |   |                       |
|----------------------------|-------------------|------------|--|---|---|-----------------------|
| Trust Risk Register        |                   | Cyber Risk |  |   |   |                       |
| Board Assurance Framework  |                   | n/a        |  |   |   |                       |
| Equality Impact Assessment | Is this required? | Y          |  | N | X | If 'Y' date completed |
| Quality Impact Assessment  | Is this required? | Y          |  | N | X | If 'Y' date completed |