

Report Title	Monthly Risk Register Report		
Sponsoring Executive	Kam Dhami, Director of Governance		
Report Author	Sindeep Chatha, Head of Patient Safety and Risk		
Meeting	Trust Board	Date	6 th February 2020

1. Suggested discussion points *[two or three issues you consider the Trust Board should focus on]*

No new risks are being proposed for oversight by the Trust Board.

Risks with initial rating as red to current rating to amber or yellow have been reviewed with respect to their mitigations and whether they are robust and timely.

Two BMEC risks have been updated and agreement that RMC will oversee these risks.

2. Alignment to 2020 Vision *[indicate with an 'X' which Plan this paper supports]*

Safety Plan	<input type="checkbox"/>	Public Health Plan	<input type="checkbox"/>	People Plan & Education Plan	<input type="checkbox"/>
Quality Plan	<input type="checkbox"/>	Research and Development	<input type="checkbox"/>	Estates Plan	<input type="checkbox"/>
Financial Plan	<input type="checkbox"/>	Digital Plan	<input type="checkbox"/>	Other <i>[specify in the paper]</i>	<input checked="" type="checkbox"/>

3. Previous consideration *[where has this paper been previously discussed?]*

Risk Management Committee, 13th January 2020, CLE, 21st January 2020

4. Recommendation(s)

The Trust Board is asked to:

- NOTE** the mitigations have been assessed against initially red rated risks and accept the current rating
- AGREE** to tolerate the two BMEC risks

5. Impact *[indicate with an 'X' which governance initiatives this matter relates to and where shown elaborate]*

Trust Risk Register	<input checked="" type="checkbox"/>	Risk Number(s):				
Board Assurance Framework	<input type="checkbox"/>	Risk Number(s):				
Equality Impact Assessment	Is this required?	Y	<input type="checkbox"/>	N	<input checked="" type="checkbox"/>	If 'Y' date completed
Quality Impact Assessment	Is this required?	Y	<input type="checkbox"/>	N	<input checked="" type="checkbox"/>	If 'Y' date completed

SANDWELL AND WEST BIRMINGHAM HOSPITALS NHS TRUST

Report to the Trust Board: 6th February 2020

Monthly Risk Register Report

1.0 INTRODUCTION

1.1 This report provides the Trust Board with an update on the risks it has oversight on, held within the Trust's risk register. The report outlines progress in improving the robustness of the Trust's risk management arrangements with a review of the Risk Register.

1.2 The Trust has identified a range of significant risks that are currently being mitigated, whose impact could have a direct bearing on the achievement of Trust Plans and priorities and requirements within the NHSI Accountability Framework or CQC registration, should the mitigation plans be ineffective.

1.3 A summary of the main controls and mitigating actions for the significant risks currently identified in each Clinical Group and Corporate Directorate monitored by the Trust Board are available in **Appendices A₁ and A₂**.

2.0 UPDATE ON BMEC RISKS

2.1 There has been an ongoing concern regarding two BMEC Risks therefore the following discussion and update was received at Risk Management Committee in January 2020:

- **Risk 1762 – (Ophthalmic capacity issues with backlog)** trajectory for 50% reduction by July 2020. Currently the backlog has become significantly worse; 14,000 are diagnostics appointments and 11,000 consultant appointments but the Committee were assured that none of these are affecting patient safety and resulting in patient harm. A plan has been devised to have an external validation of these appointments and this has been agreed by the Chief Executive. There are also options being put forward to have Vanguard manage outpatient appointments.
- **Risk 3212 - (Ophthalmic diagnostics image storage)** currently the ultrasound does not link in with the server and work is being done to have access to PAC but work on the server is still being done in France. Anticipated completion by end of Jan/Feb 2020.

2.2 The Risk Management Committee will oversee these risks.

3.0 RISKS WITH INITIAL RATING AS RED TO CURRENT RATING TO AMBER/YELLOW

3.1 At the January 2020 Risk Management Committee meeting, 5 risks (**Appendix B**) with the initial rating as red which are being monitored by the Board were discussed in order to provide assurance that additional controls have are in fact robust in order to reduce the rating to Amber.

3.2 The committee reviewed the risks and the outcome of the discussion was:

- **Risk 3021 (Midlands Met Delay)** – this contract has now been signed off.
- **Risk 2642 (Clinical results not being acknowledged)** – optimisation reports from Unity is providing the pathology results and this is working but this risk will be continually reviewed.
- **Risk 3109 (Inadequate Trust wide IT infrastructure)** – implemented a strong change control process.
- **Risk 3110 (Technical infrastructure if not robust Trust-wide)** – a process is being implemented to address the storage issues from 3 tier and this is being monitored by the Digital Board.
- **Risk 121 (Financial deficit due to unpredictable birth activity)** – a SLA has been designed and waiting sign off by other providers.

3.3 The RMC was satisfied these additional controls are adequately robust to mitigate the risk from its initial rating of red.

4.0 RECOMMENDATIONS

The Trust Board is asked to:

- a) **NOTE** the mitigations have been assessed against initially red rated risks and accept current rating.
- b) **AGREE** to tolerate the two BMEC risks.

Sindeep Chatha
Head of Patient safety and Risk

6th February 2020