

## Current rated Red risks – Trust Board Monitoring addition.

Risk No	Department	Risk Statement	Actions	Action due date	Current	Target
1762	BMEC Outpatients - Eye Centre	<p>Clinical and business risk due to lack of capacity within current ophthalmic OPD clinics to see follow up patients in a timeframe that has been requested. Currently 18.1K backlog transactions - with 12K made up of diagnostic appointments. (the latter having increased by 6k between 26.06.19 and 31.08.19 - partly due to open referrals project i.e. diverted resources &amp; additions to the backlog from that project)</p> <p>Clinical risk - potential loss of vision</p> <p>Business risk - potential for litigation, financial risk due to PRW solutions and reputational risk to the organisation.</p>	Effective monitoring and implementation of 42 week DCC activity across all clinicians, including robust flexi session monitoring	31/05/2020	15	6
			improve room capacity within BMEC OPD	30/09/2020		
2169	Accident & Emergency (C)	<p>SAFEGUARDING CHILDREN</p> <p>Cessation of Paediatric Liaison Service (PLS) at City ED. Potential harm to vulnerable unborn child, children and young people who access ED as there will be screening of attendances or onward referrals to universal services for follow up .</p>	further dates available for B8 and above emailed out to monitor uptake	31/07/2019	16	6
2815	MMH Project	MES - IT Network (including PACS) not available for equipment to be commissioned. Therefore equipment cannot be commissioned as planned.	Confirm provision of IT infrastructure via ongoing Trust/new contractor/Siemens meetings.	01/01/2020	15	5
			IT infrastructure installed and functioning as per specification	31/10/2022		
			Commissioning of MES Equipment	30/06/2022		

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3039	MMH Project	There is a risk that the delivery of retained estate to plan will be compromised because of restrictions in the capital programme budget and/or specification changes.	Develop a contingency plan for future STC schemes, planned to start once Midland Met has opened (therapies, intermediate care beds, neurophysiology, cardiophysiology, clinical admin, urgent care etc) - to include leaving services in current locations if at STC, delay schemes etc.	31/03/2021	16	12
			Funding options for Hearing Services scheme in BTC to be explored further.	30/04/2020		
			Submit FBC for STP reconfiguration funding for 4th Wave ( £15.4M)	31/08/2019		
			As new schemes are identified eg BMEC then Capital Programme to be re-prioritised or new funding sources identified	31/03/2021		
3050	MMH Project	There is a risk that the Trust will not have the management capacity and capability to deliver the workforce changes resulting in the potential for redundancy costs which are not currently provided for			15	15
3212	BMEC Visual Function	There is a risk of patient care compromise in the event that the standalone hard-drives fails on which high levels of ophthalmic ultrasound patient diagnostic data resides. There is in addition the risk of information governance breach should that data be; lost/destroyed or stolen.  specifically;  a) the old machine - do not have the ability to be transferred over to modern systems (i.e. they are not dicom compatible with PACS)	to work with the IT Business Partner in the development of a business case for a vendor neutral achieve for ophthalmology in which the images can be stored.  Business case to be submitted by the end of Jan2020  Emma Berrow to set up the necessary project group to work this development through.	31/01/2020	15	12

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		b) the new machine can speak to PACS however IT are currently unable to locate the storage location.	1) IT to resolve themes preventing the images being moved onto PACs in order to mitigate the size of the current patient safety risk (i.e. volume)  2) IT to transfer the images to SWBH current PACs	30/11/2019		
			as part of your project group please explore whether suppliers have a solution for this enabling information to be safely copied over to the Trust server (or PACs)	31/01/2020		
3232	Cancer Management (S)	There is a risk that the break down of the trusts 3 old Video Conferencing Kits, caused by age, and lack of access to alternative solutions, will result in inability of core MDT members to input into treatment option discussions for our cancer patients.			16	4
3414	Adult Surgical Unit BTC	Lack of Radiological Support for Urological operations in ASU BTC.			20	6
3588	Neonatal Unit	There is a risk of compromise to the health & wellbeing of the neonatal Consultant body due to the lack of consultant cover, which is caused by gaps in the junior doctors rotas, changes in pathways, acuity & nursing shortages. Link to risk 3558	Individual stress risk assessments Scope alternative fill for junior rota staffing ANP NHS locum	30/09/2019 26/09/2019	16	4
3654	Pharmacy (C)	Uncontrolled exposure to anaesthetic agents during their use/handling/storage/disposal can significantly harm health of staff and others	Identify all tasks involving use, handling, storage and disposal of product  Ensure all those at risk are aware of the hazards, health effects and safe system of work for use, handling, storage and disposal of product (inc. emergency procedures).	06/12/2019 06/12/2019	15	10

Risk No	Department	Risk Statement	Actions	Action due date	Current	Target
			Prohibit new and expectant mothers from tasks involving risk of exposure to product	06/12/2019		
			Formalize arrangements for the effective management of emergencies involving the product. Share with partner users, handlers, storers and disposers of the product in the Trust (e.g. Theatres, Hotel Services, Portering, Transport, Waste Management)	06/12/2019		
			Investigate engineering techniques, process enclosures or local exhaust ventilation to keep airborne levels below recommended exposure limits (see EH40).	06/12/2019		
			Provide safety glasses, chemical splash goggles, face shield or other full-faced protection, aprons according to risks of exposure (inc. emergencies)	06/12/2019		
			Provide and ensure use of chemical-resistant, impervious gloves and other garments/items to avoid prolonged or repeated exposure to the product (inc. emergencies)	06/12/2019		
			Ensure product is stored in tightly sealed containers in a dry, cool and well-ventilated place between 15 and 30 deg C.	06/12/2019		
			Ensure provision and use of respiratory protection where levels of exposure are likely to exceed Workplace Exposure Limits (see EH40)	06/12/2019		
3657	Theatres - Windmill	Uncontrolled exposure to anaesthetic agents during their use/handling/storage /disposal can significantly harm health of staff and others	Ensure all those at risk are aware of the hazards, health effects and safe system of work for use, handling, storage and disposal of product (inc. emergency procedures).	06/12/2019	15	10

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			Provide and ensure use of chemical-resistant, impervious gloves and other garments/items to avoid prolonged or repeated exposure to the product (inc. emergencies)	06/12/2019	Red	Yellow
			Identify all tasks involving use, handling, storage and disposal of product	06/12/2019		
			Prohibit new and expectant mothers from tasks involving risk of exposure to product	06/12/2019		
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			Investigate engineering techniques, process enclosures or local exhaust ventilation to keep airborne levels below recommended exposure limits (see EH40).	06/12/2019		
			Provide safety glasses, chemical splash goggles, face shield or other full-faced protection, aprons according to risks of exposure (inc. emergencies)	06/12/2019		
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3665	Child Therapy Services (Comm)	There is a risk that patient care will be compromised due to staff sickness and maternity leaves within the Children's Community Nurse, special Educational Needs Team.	Clinical lead to attend weekly team meeting	03/10/2019	15	6