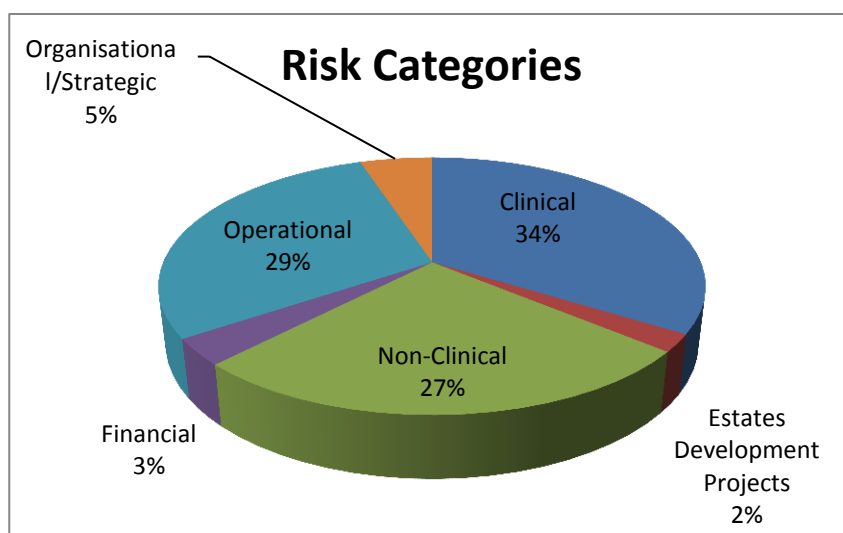


ANNEX B

Risk Mitigation Report

- 1.0** Risk Assessments are carried out at all levels of the Trust and added to the Risk Register. These risks range from those that have a high impact and high chance of happening (red risks) to those whose impact and likelihood are small (green). Within each risk assessment are risk mitigations. These are the actions needed to reduce either the impact or chance of occurrence of the risk.
- 2.0** There are 1150 live risks on the Trust risk register across all directorates and risk ratings. A list of these is provided on page 3. These risks are categorised to give better focus on the areas of business they may impact. The split is unsurprising, with the majority of risks relating to clinical and operational activities.



- 2.1** Groups and Directorates receive weekly reports on the numbers of risks overdue for review and where actions have passed their target achievement date. One report gives a Trust overview, as below, and the Group and directorate specific reports provide the risk reference numbers.

Trustwide Risks Assessment Reviews and Actions Summary Report

(Overdues : 101 Reviews and 79 Actions as at 24/04/2019 17:04:56)

Group	Total Risks live:	Reviews			Actions		
		Overdue:	in Date	Compliance	Overdue:	in Date	Compliance
Corporate Nursing Services	72	5	67	93%	3	6	67%
Corporate Operations	64	5	59	92%	2	7	78%
Estates & New Hospital Project	218	20	198	91%	12	53	82%
Finance	8	4	4	50%	4	0	0%
Imaging	29	3	26	90%	0	14	100%
Medical Director Office	15	1	14	93%	8	4	33%
Medicine & Emergency Care	190	7	183	96%	3	99	97%
Organisation Development	104	14	90	87%	6	14	70%
Pathology	10	1	9	90%	0	1	100%
Primary Care & Community Therapies	81	3	78	96%	1	13	93%
Strategy & Governance	27	4	23	85%	2	12	86%
Surgery	315	28	287	91%	28	37	57%
Women & Child Health	99	6	93	94%	10	37	79%

3.0 NEXT STEPS

- 3.1 A challenge event is being organised for May 2019. This will involve a panel being presented with each Groups assurance on their monitoring processes and how they are mitigating the risks, including robustness of actions. The aim is to ensure that they understand the risk rating they are targeting and that they believe the mitigations are adequate to achieve this.
- 3.2 RMC will facilitate processes which systematise timely reviews of risks, ensuring challenge as to the credibility of risk statements and mitigations and an evidenced actual reduction in the risk rating
- 3.3 Further to discussions at CLE in March, June's CLE meeting will review the current status of every single mitigated risk to confirm that the current state of post mitigated risks is as per the post mitigated risk score. This will then inform Q2 plans.

4.0 RECOMMENDATIONS

Trust Board is asked to:

- a) **NOTE** the plan to improve the robustness of the way risks are reviewed and updated
- b) **RECOGNISE** the significant cultural and behavioural shift required in both the corporate central team and local teams

Allison Binns
Deputy Director of Governance
24 April 2019