

Report Title	Strategic Board Assurance Framework: future risks		
Sponsoring Executive	Kam Dhami, Director of Governance		
Report Author	Executive Directors		
Meeting	Trust Board	Date	2 nd May 2019

1. Suggested discussion points *[two or three issues you consider the Trust Board should focus on]*

The Board agreed an approach to the strategic Board Assurance Framework in 2017-18 with external advice. That decision was reviewed after the recent CQC Well-Led report, and re-confirmed. However, risk register entries for each SBAF entry will be created. During 2019-20 the SBAF items will continue to be:

- Reviewed quarterly by the chair of A&RM Committee and Director of Governance
- Considered not less than bi monthly at each Board committee with an assigned entry
- Discussed within the full Board not less than quarterly

The Risk Management Committee will sign-off the risk assessments at its June meeting, and CLE will monitor delivery of the risk mitigation plans quarterly and test the robustness of the controls in place.

The paper provides updated SBAF risks for consideration. The Board is asked to agree that each merits detailed work-up, including wordsmithery. We should list any additional issues that Board members believe are important, and each will then be considered either for the SBAF, risk register or other 'observatory' mechanism.

2. Alignment to 2020 Vision *[indicate with an 'X' which Plan this paper supports]*

Safety Plan	X	Public Health Plan	X	People Plan & Education Plan	X
Quality Plan	X	Research and Development	X	Estates Plan	X
Financial Plan	X	Digital Plan	X	Other <i>[specify in the paper]</i>	X

3. Previous consideration *[where has this paper been previously discussed?]*

Board development session on April 18th

4. Recommendation(s)

The Trust Board is asked to:

- a. **CONFIRM** that each SBAF risk merits detailed work up
- b. **AGREE** to review controls for each risk at our June Board meeting
- c. **NOTE** the process of SBAF in year management agreed in Q1 2018-19

5. Impact *[indicate with an 'X' which governance initiatives this matter relates to and where shown elaborate]*

Trust Risk Register		to be determined				
Board Assurance Framework		all				
Equality Impact Assessment	Is this required?	Y		N	x	If 'Y' date completed
Quality Impact Assessment	Is this required?	Y		N	x	If 'Y' date completed