

Report Title	Monthly Risk Register Report		
Sponsoring Executive	Kam Dhami, Director of Governance		
Report Author	Allison Binns, Deputy Director of Governance		
Meeting	Trust Board	Date	4 th April 2019

1. Suggested discussion points *[two or three issues you consider the Board should focus on]*

Twenty risks continue to be monitored by the Trust Board, of which a few are due updates. The focus over the next month will be on reviewing the actions for the 'live' risks and revisiting their current risk rating.

At its March meeting the Digital MPA considered the 29 risks identified by the Informatics Service and requested clarity on the risk assessments and mitigating actions for presentation at the next meeting.

The end of the financial year was the deadline set for clearance of all reported incidents in web-holding that were over 21 days. A verbal update will provided at the April Board to confirm that this was achieved by the 31st March 2019.

2. Alignment to 2020 Vision *[indicate with an 'X' which Plan this paper supports]*

Safety Plan	X	Public Health Plan		People Plan & Education Plan	X
Quality Plan	X	Research and Development		Estates Plan	
Financial Plan	X	Digital Plan	X	Other <i>[specify in the paper]</i>	X

3. Previous consideration *[where has this paper been previously discussed?]*

Risk Management Committee on 11th March 2019

4. Recommendation(s)

Trust Board is asked to:

- CONSIDER**, challenge and confirm the correct approach has been adopted to keep potential significant risks under control;
- RECEIVE** confirmation that there are no incidents in web-holding over 21 days.

5. Impact *[indicate with an 'X' which governance initiatives this matter relates to and where shown elaborate]*

Trust Risk Register	x	Risk Number(s):				
Board Assurance Framework		Risk Number(s):				
Equality Impact Assessment	Is this required?	Y		N	x	If 'Y' date completed
Quality Impact Assessment	Is this required?	Y		N	x	If 'Y' date completed

SANDWELL AND WEST BIRMINGHAM HOSPITALS NHS TRUST

Report to Trust Board: 4th April 2019

Monthly Risk Register Report

1.0 INTRODUCTION

- 1.1** This report provides the Trust Board with an update on risks monitored at Board level. The report outlines progress in improving the robustness of the Trust's risk management arrangements with a review of the Risk Register.
- 1.2** The Trust has identified a range of significant risks that are currently being mitigated, whose impact could have a direct bearing on the achievement of Trust Plans and priorities and requirements within the NHSI Accountability Framework or CQC registration, should the mitigation plans be ineffective.
- 1.3** A summary of the main controls and mitigating actions for the significant risks currently identified in each Clinical Group and Corporate Directorate is available in **Appendix A**.

2.0 RISKS REQUIRING BOARD ATTENTION

- 2.1** The Board continues to monitor 20 risks. There is no change in those that are just being monitored.
- 2.2** Mitigating actions for the risks which are 'live with actions' are generally reviewed and revised on a monthly schedule.
- 2.3** Risk owners and Executive leads review their risks to ensure that the 'Gaps in control and planned actions' are appropriate and will reduce the chance of the risk materialising.
- 2.4** Risk owners have updated the risks following review of current action progress and the risk re-evaluated for its severity or likelihood.
- 2.5** Emphasis over the next month will be paid to ensuring that the actions to address the risk are reassessed and updated with their progress. The current risk rating of each risk will also be reviewed and revised.
- 2.6** Prima facie we would expect that review to REMOVE from Board , by downgrading below 12, the following current risks:
- 215: DTOCs
 - 2849/1643: Unfunded beds
 - 566: ED consultant numbers
 - 3211: ENT staffing
- 2.7** In addition two risks will require reframing for 19-20 as they relate wholly to 2018-19. Those being:

- 3234: CIP programme
- 114: Pay costs

2.8 With changes the CQC rating for BMEC we would expect that risks 3132 and 410 will be removed from the 12+ Board view.

3.0 WEB HOLDING INCIDENTS

3.1 There are a total of 429 incidents in web-holding waiting to be managed as at 27 March 2019, of which 86 are over 21 days, a decrease on the number reported to Trust Board last month (176).

	Overdue as at 27/03/19	In Date as at 27/03/19
Corporate Nursing & Facilities	5	1
Corporate Operations	9	6
Estates & New Hospital Project	6	8
Finance	4	0
Imaging	4	8
Medical Director Office	11	1
Medicine & Emergency Care	5	130
Organisation Development	1	1
Pathology	23	8
Primary Care & Community Therapies	2	48
Strategy & Governance	0	0
Surgery	13	71
Women & Child Health	3	51
Total	86	333

3.2 The deadline previously set for the backlog clearance was the end of the financial year. A verbal update will provided at the April Board to confirm that this was achieved as at 31st March 2019.

4.0 RECOMMENDATIONS

Trust Board is asked to:

- CONSIDER**, challenge and confirm the correct approach has been adopted to keep potential significant risks under control;
- RECEIVE** confirmation that there are no incidents in web-holding over 21 days.

Allison Binns
Deputy Director of Governance

23 March 2019