

AUDIT AND RISK MANAGEMENT COMMITTEE UPDATE	
Date of meeting	17 <sup>th</sup> October 2018
Attendees	<b>Mrs M Perry, Mr H Kang, Cllr W Zaffar</b> , Miss Dhami, Mrs Gardner, Ms McLannahan, Mr Hussain, Mr Gennard, Mr Baker, Ms Coster and Ms Dooley
Apologies	Ms Dutton
Key points of discussion relevant to the Board	<ul style="list-style-type: none"> <li>• <b>Data quality improvement plan</b> – the proposed improvement plan was received with the timelines and resources required to undertake the action plan (to address new and priority kite mark areas) will be considered by the Executive Directors and reported back to the next committee meeting.</li> <li>• <b>Internal Audit</b> – timely management responses on recommendations requires improvement, the medical staffing bank/agency review recommendations will be considered via the People and OD committee and there was partial assurance received on the IR35 review which highlighted procedures which require improvement.</li> <li>• <b>LCFS</b> – November is Fraud Awareness Month and a number of promotional activities will take place across the Trust, an update on current investigations was received, the updated anti-fraud policy will be provided to the Acting Director of Finance before the end of October 2018 for review and the committee were advised that a counter fraud risk register will be provided to the next committee meeting.</li> <li>• <b>Overseas patients/debt</b> – the team to manage this work is now in place and recent performance (invoicing and supporting the identification procedure with clinical staff) has started to improve.</li> </ul>
Positive highlights of note	<ul style="list-style-type: none"> <li>• <b>Internal Audit</b> - positive/good audit reports on the cost improvement plan and consistency of care reviews undertaken.</li> <li>• <b>2018/19 Year End Accounts</b> - accounting treatment now agreed for the Midland Met construction commencement funding received from central Government.</li> </ul>
Matters of concern or key risks to escalate to the Board	<ul style="list-style-type: none"> <li>• <b>Policies</b> - the number of Trust wide policies that require review should have an immediate focus, with oversight from the committee on assurance this is being managed and a progress update will be provided to the next committee meeting.</li> </ul>

Matters presented for information or noting	<ul style="list-style-type: none"><li>• Arrangements are in place to monitor cyber security through monthly review meetings between Audit &amp; Risk Management Committee Chair, Chief Executive, Chief Informatics Officer and Director of Governance, in advance of each Trust Board Meeting.</li><li>• Risk management – an overview presentation (and paper) on the complete risk management system was provided to committee members.</li></ul>
Decisions made	None.
Actions agreed	None.

**Mrs Marie Perry**

**Chair of Audit and Risk Management Committee**

***For the meeting of the Trust Board scheduled for 1<sup>st</sup> November 2018***