

Sandwell and West Birmingham Hospitals NHS Trust
Midland Metropolitan Hospital Project
Outline Business Case

Appendix 11c – OGC Risk Potential Assessment

RISK POTENTIAL ASSESSMENT

[When completed please forward to your Departmental Gateway Coordinator](#)

[Click here for guidance on completing this assessment](#)

Programme/Project Details

Programme/project name or title	2010 Acute Hospital Project					
Programme/project description	New Hospital Development to be procured through the PFI route.					
Programme/project type	<input type="checkbox"/> IT enabled <input checked="" type="checkbox"/> Property & Construction enabled <input type="checkbox"/> Other Acquisition <input type="checkbox"/> Other					
If a programme, list name of supporting projects	Click here to enter details					
If a project, provide, where applicable, the name of the overarching programme	The Towards 2010 Programme					
Department, Agency or NDPB name	Sandwell and West Birmingham Hospitals NHS Trust					
Name of parent department	NHS					
Total (whole life) costs of the programme/project to be OGC Gateway Reviewed						
Proposed contract/service length (yrs)	30					
Proposed procurement arrangements (e.g. conventional/PFI/PPP/design & build/PRIME)	PFI					
Expected next OGC Gateway review	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5					
OGC Gateway review requested for week commencing dd/mm/yyyy (8 weeks after the assessment meeting)	06.10.08					
Date of first issue of RPA dd/mm/yyyy	N/A					
Date of current update/version number	Add submission date					

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Programme/Project Manager

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If not the SRO or PM, please provide details of official who completed the return

Name	
Postal address	
Telephone no.	
Mobile no.	
E-mail address	

[In addition, for all Mission Critical and/or high risk programmes/projects, the following details are required:](#)

Further information is available from your departmental Centre of Excellence (CoE), or Gateway Co-ordinator or at:

[Centres of Excellence Information Pack](#)

The RPA is to be completed for all Mission Critical and/or high risk programmes/projects to help understand the nature of the programme/project and its associated complexity. Programmes/projects without the entries below completed are not ready for review.

Programme/Project Approach

Please enter the name of the responsible Minister		
Confirm that the track record of the SRO has been verified	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Confirm that the track record of the PM has been verified	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<ul style="list-style-type: none"> Confirm that, for projects at Gate 2 or beyond, the Accounting Officer has assured him/herself that the project does not suffer from any of the NAO/OGC common causes of failure 	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<ul style="list-style-type: none"> For IT enabled projects, is the project development or implementation approach Big Bang? 	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<ul style="list-style-type: none"> If yes, please confirm that any 'Big Bang' approach has Central Scrutiny Group approval 	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

(Note: Mission Critical/high risk programmes/projects without the above entries completed are not ready for review)

Data Protection Act 1998

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STRATEGIC CONTEXT AT REQUESTED OGC GATEWAY™ REVIEW

Programme/Project Status

For Departments, Agencies and NDPBs, what is the present programme/project categorisation agreed with your Centre of Excellence?

- Mission Critical^(*)
- Highly Desirable
- Desirable

Legislative Requirement

To what extent is the programme/project a prerequisite for the successful delivery of a major legislative requirement?

- Essential^(*)
- Important
- Not linked

PSA Target

To what extent is the programme/project directly linked to a PSA (Public Service Agreement) target?

- Essential^(*)
- Important
- Not linked

Relationship to Major Policy Initiative announced or owned at Cabinet level

To what extent is the programme/project a prerequisite for the successful delivery of a major policy?

- Essential^(*)
- Important
- Not linked

Dependency Level

Is the delivery of a key public service, national security or key internal operation dependent on this programme/project?

- Yes^(*)
- No

Assessment
If any of the above options marked with an asterisk are chosen, then the programme/project may be Mission Critical and therefore treated as high risk. If this differs from the agreed status, please consult your CoE.

Stakeholder Buy-In

Have the key stakeholders been identified and engaged with the programme/project?

- Key stakeholder buy-in secured
- Stakeholder analysis undertaken
- Key stakeholders not identified

Potential Score	Actual Score
0	<input type="text" value="0"/> Enter only one score according to chosen option
1	
2	

Potential impact on the public and other businesses/organisations on implementation

Please tick all those sectors who will be directly affected by the outcome of this programme/project

- Internal
- Other departments/ organisations
- Private sector organisations
- Members of the public

1	<input type="text" value="10"/> Enter sum of scores for all chosen options
2	
3	
4	

BUSINESS ISSUES AT REQUESTED OGC GATEWAY™ REVIEW

Potential Benefits

Total value of the business benefits (advice is available from HM Treasury Green Book)

- Less than £10M 1
- £10M - £100M 2
- More than £100M 4

1 Enter only one score according to chosen option

Costs

Total whole life costs including all bought in and in house costs (advice is available from HM Treasury Green Book)

- Less than £10M 1
- £10M - £100M 2
- More than £100M 4

4 Enter only one score according to chosen option

Staff Affected

Number of people affected within organisation

- Fewer than 1,000 1
- 1,000 to 10,000 4
- More than 10,000 6

4 Enter only one score according to chosen option

Business Process Change

Impact that the programme/project will have on the organisation both during development and after implementation

- Not significant 0
- New business processes 2
- Significant re-training 2
- Significant organisational restructuring 2
- Significant logistical staff & equipment move 2
- Transfer of staff/ outsourcing 4

12 Enter sum of scores for all chosen options

Programme/Project Impact on Organisation

Which business areas/units will be directly affected by this programme/project?

- Single business stream within org. 1
- Multiple business streams within org. 2
- Multiple organisations 4

4 Enter only one score according to chosen option

Complexity of Contractual Arrangements

Complexity of the supply side arrangements

- Single Supplier 1
- Multiple with prime contractor 3
- Multiple without prime contractor 4

3 Enter only one score according to chosen option

DELIVERY CAPABILITY AT REQUESTED OGC GATEWAY™ REVIEW

Delivery Skills/Team Capability

What is the allocated team's experience of successful delivery of this type of programme/project?

- Successful track record
- Mixed track record
- No track record

Enter only one score according to chosen option

Supplier Side Capability

How mature is the prospective market in delivering or meeting the needs of this programme/project?

- Not Applicable
- Successful track record
- Mixed track record
- No track record

Enter only one score according to chosen option

Organisation Resource

Is the allocated team resourced according to the programme/project requirements?

- Fully resourced
- Most key posts filled
- Posts to be allocated

Enter only one score according to chosen option

Supplier Resource

Has the supplier allocated the agreed resources to this programme/project?

- Not applicable
- Fully resourced
- Most key posts filled
- Posts to be allocated

Enter only one score according to chosen option

TECHNICAL ISSUES AT REQUESTED OGC GATEWAY™ REVIEW

Innovative Approach

The extent to which the programme/project depends upon an innovative solution to the business requirement

- Stable, proven technology
- Stable technology, new application
- New technology, stable application
- Unproven approach

Enter only one score according to chosen option

IT-Enabled Related Criteria

Scope of IT Services and Supply

The range of activity that will be undertaken by the IT supplier

- Not applicable 0
- Deliver infrastructure 1
- Packaged software 1
- Bespoke application 3
- Packaged software plus some bespoke work 3

Enter sum of scores for all chosen options

IT Integration Issues

Highlight the level to which the project will need to develop interfaces to existing systems and processes

- Not applicable 0
- Standalone - no integration 1
- Data migration 2
- Some links to legacy systems 3
- Extensive links to legacy systems 5

Enter only one score according to chosen option

Property & Construction Enabled Related Criteria

Scope of programme/project

What does this programme/project involve?

- Not applicable 0
- Acquiring/disposing of assets including lease renewal 1
- Acquisition of services including managed workspace 1
- Acquiring assets involving construction, e.g. PDS or PRIME contracts 1
- Construction Procurement e.g. Design & Build 1

Enter only one score according to chosen option

Nature of Programme/Project

What is the nature of the programme/project?

- Not applicable 0
- New construction 1
- Refurbishment 2
- Extension 3

Enter only one score according to chosen option

Site Occupation

What will the status of the occupation of the site be during the project?

- Not applicable 0
- Unoccupied Site 1
- Occupied site but segregated 2
- Involves phased decants 2
- Occupied and remaining in use 4
- Occupied, in use and open to the public 6

Enter only one score according to chosen option

Type of Facility

What are the features of the facility that impacts on its complexity?

- Not applicable 0
- New or existing facility standard construction 1
- New or existing facility non-standard construction 3
- Facilities with planning or heritage sensitivities 4

Enter only one score according to chosen option

Site Constraints

Are there any constraints that will affect the site development?

- Not applicable 0
- Lack of site knowledge 1
- Site access 1
- Environmental Issues 1
- Location 1

Enter sum of scores for all chosen options

TOTAL SCORE:

Note Score:
30 or less: Low risk
31-40: Medium risk
41 or more: High risk