

Sandwell and West Birmingham Hospitals NHS Trust
Midland Metropolitan Hospital Project
Outline Business Case

Appendix 11b Integrated Assurance and Approvals Plan (IAAP)

Sandwell and West Birmingham Hospitals NHS Trust - The Midland Metropolitan Hospital Project

Assurance / Approval	Approval Body / Assurance Lead	Date of Last Review	Status	13/14 Q1	13/14 Q2	13/14 Q3	13/14 Q4	14/15 Q1	14/15 Q2	14/15 Q3	14/15 Q4	15/16 Q1	15/16 Q2	15/16 Q3	15/16 Q4	16/17 Q1	16/17 Q2	16/17 Q3	16/17 Q4	17/18 Q1	17/18 Q2	17/18 Q3	17/18 Q4	18/19 Q1	18/19 Q2	18/19 Q3	18/19 Q4
Approvals																											
SOC	TB/SHA/DH	Jul-04	Approved																								
OBC to initiate land acquisition	TB/SHA/DH	Aug-09	Approved																								
OBC Update to initiate procurement	TB/SHA/Monitor/DH/HMT	Ongoing					Apr-14																				
Conclusion of Dialogue	TB/SHA/Monitor/DH/HMT											May-15															
ABC	TB/SHA/Monitor/DH/HMT											May-15															
CFBC	TB/SHA/Monitor/DH/HMT													Mar-16													
Independent Assurance																											
RCRH Programme SHA Review	NHS West Midlands	Jun-08	Complete, one off review																								
RCRH Programme Gate 0	Senior Responsible Owner	Nov-05	Amber																								
RCRH Programme Gate 0	Senior Responsible Owner	Nov-10	Amber																								
Risk Potential Assessment	Senior Responsible Owner	Aug-08	Complete, score of 51 (High Risk)																								
DH Gateway: Gate 1	Senior Responsible Owner	Nov-08	Green																								
DH Gateway: Gate 2	Senior Responsible Owner	Dec-10	Amber / Green																								
DH Gateway: Gate 2 repeat	Senior Responsible Owner						Mar-14																				
DH Gateway: Gate 3a	Senior Responsible Owner											May-15															
DH Gateway: Gate 3b	Senior Responsible Owner													Mar-16													
DH Gateway: Gate 4	Senior Responsible Owner																										
DH Gateway: Gate 5	Senior Responsible Owner																										Mar-19
GMPP quarterly Reports	MPA		February 2013 report issued	Jun-13	Sep-13	Dec-13	Mar-14	Jun-14	Sep-14	Dec-14	Mar-15	Jun-15	Sep-15	Dec-15	Mar-16	Jun-16	Sep-16	Dec-16	Mar-17	Jun-17	Sep-17	Dec-17	Mar-18	Jun-18	Sep-18	Dec-18	Mar-19
Internal Functional Assurance																											
Scope and activity review proces	Project Board / Trust Board	Apr-10	Complete for OBC V4.1																								
Equality Impact Assessment	Project Board	Jul-09	Action plan approved																								
Equality Impact Assessment	Project Board	Jun-11	Action plan review approved																								
Post HMT PFI report review	Project Board / Trust Board		Currently updating affordability for outcome of review and passage of time.				Mar-14																				
Audit																											
Internal Audit	Audit Committee	Mar-09	Audit of approach to management of Advisors. Action plan delivered. Future audits to be identified by Audit Committee										Sep-15				Sep-16				Sep-17					Sep-18	